

UNITED STATES DEPARTMENT OF AGRICULTURE

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SHIGA TOXIN-PRODUCING *E. coli*

ADDRESSING THE CHALLENGES,

MOVING FORWARD WITH SOLUTIONS

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April 9, 2008

8:00 a.m.

Holiday Inn Georgetown
2101 Wisconsin Avenue, N.W.
Washington, D.C. 20007

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1 P-R-O-C-E-E-D-I-N-G-S

2 (8:39 a.m.)

3 DR. GOLDMAN: Can everyone hear me fine?
4 Can you hear in the back, Danny? Okay. Good.

5 Well, good morning. This is David Goldman.
6 I'm an assistant administrator here in the Food
7 Safety Inspection Service overseeing the Office of
8 Public Health Science. I will be the moderator for
9 today and tomorrow for our meeting here on Shiga
10 Toxin-Producing *E. coli*.

11 I want to personally welcome all of you and
12 thank you for your attendance. There was quite a bit
13 of interest in this meeting. The room is nearly
14 full, and I expect it will be full later. We have
15 quite a few people also joining us on the phone. I
16 will come back after our welcome and opening remarks
17 and give you a few more details about the outline of
18 today and tomorrow's schedule so that you are
19 oriented to what we are attempting to accomplish and
20 know things like where the bathroom is and all of
21 those sorts of things that a moderator needs to let
22 you know about.

1 But before I do all of that, I would like
2 to introduce the Agency's administrator, Mr. Almanza,
3 to provide a welcome. Al, most of you know by now,
4 was appointed by Dr. Raymond, Under Secretary, then
5 Secretary Mike Johanns announced back in June of 2007
6 that Mr. Almanza would be the administrator of FSIS.
7 He is, as you are familiar, a well-experienced
8 leader, as well as a long-serving employee of FSIS,
9 nearly 30 years. He can tell us exactly in just a
10 minute. He has a strong record as an FSIS manager.
11 He has been involved in recruiting and training
12 efforts and employee relations, and he does not
13 hesitate to take strong and swift regulatory action
14 in order to protect public health.

15 Al served previously as the district
16 manager in Dallas, which, of course, includes 350
17 federally inspected establishments. He is a Texas
18 native and did join FSIS in 1978. As I mentioned,
19 he held previous positions as a slaughter inspector,
20 labor relations specialist, special assistant to the
21 district manager, and a, finally, deputy district
22 manager before becoming the district manager.

1 Please welcome Al Almanza, who will provide
2 the official welcome to this meeting.

3 MR. ALMANZA: Thank you, David. Yeah, as a
4 matter of fact, May 5th will be 30 years and I --
5 thank you for the introduction. Welcome to everybody
6 in this room. It's springtime in Washington with the
7 cherry blossoms. I hope everybody gets to see those.
8 I used to come to D.C. quite often and never
9 experienced them, but they're quite a sight. I'm not
10 sure that it's as nice as it was a few weeks ago when
11 they were all pink, but nonetheless it's quite a
12 sight.

13 What we hope to do today is have a healthy
14 discussion on *E. coli* 0157:H7 all rolled up in one
15 big package.

16 I want to welcome everyone, make sure that
17 it's a very constructive meeting over the next day
18 and a half. This meeting we'll explore options,
19 options that we'll need to take a good, hard look at.
20 And by the title of the meeting, "Shiga Toxin-
21 Producing *E. coli*, Addressing the Challenges, Moving
22 Forward with Solutions," certainly is a mouthful.

1 However, by title alone, it conveys that we have
2 quite a bit of meaningful and dynamic topics to
3 discuss.

4 Because of the content, this meeting has
5 surely generated a significant amount of interest,
6 and no doubt you all can tell in this room that it's
7 quite full, and as of yesterday I think we had a
8 little over 200 people signed up for it. So try to
9 keep yourselves as comfortable as you can.

10 On behalf of the Agency, I want to express
11 my sincere appreciation to the folks who have
12 arranged this meeting in short order and our partners
13 from outside FSIS who agreed to present and discuss
14 vital information that will help us all achieve our
15 ultimate objective, improve food safety and enhance
16 public health protection.

17 The fact that we have such a well-attended
18 meeting attests to your strong devotions and
19 commitment in making our food supply the safest it
20 can be and to the impact FSIS has had on the lives of
21 almost every citizen, every day, in America.

22 FSIS is accountable for protecting the

1 lives and well-being of approximately 300 million
2 U.S. citizens and millions more around the world.

3 Our Agency's 9,500 employees include
4 approximately 7,800 program personnel who are
5 assigned to approximately 6,200 federal slaughter,
6 food processing, and import establishments every day.

7 Since our workforce plays an integral part
8 of serving the needs of millions, I'm very pleased to
9 acknowledge that we have representatives from three
10 employee focus groups here today to take note and
11 weigh in on any initiatives discussed in this meeting
12 that will affect their daily livelihood.

13 Stanley Painter from the National Joint
14 Council traveled up from Alabama to see us.

15 Luis Zamora from ATSP came down from
16 Philadelphia.

17 Keith Reynolds from the NAFV traveled from
18 Kansas to be here, and I also noted Dr. Basu as well.

19 I'd like to thank each of you for your
20 being here and any other folks from your respective
21 organizations. We certainly value your many
22 contributions to this Agency's policy and day-to-day

1 operations.

2 This meeting is one of 11 key initiatives
3 that FSIS announced last autumn to protect public
4 health against the risk of *E. coli* 0157:H7,
5 initiatives ranging from testing and the analysis of
6 trims, testing more domestic and imported ground beef
7 components, working with small and very small plants,
8 and working with our many partners.

9 These initiatives demonstrate FSIS'
10 holistic approach to addressing problems and coming
11 up with timely solutions to deal with them, all with
12 the end goal of improving food safety.

13 But this can't be done without your support
14 and ideas, and we certainly welcome any new ideas put
15 forth on the table that will help achieve our common
16 mission to protect the public health.

17 As always, FSIS is committed to
18 transparency, and I look forward to this meeting
19 serving as an important mechanism to enable that.

20 Thank you again for your attendance.

21 DR. GOLDMAN: Thanks, Al, for that welcome.
22 Now, it's my honor and pleasure to introduce the

1 Under Secretary for Food Safety, Dr. Richard
2 Raymond -- as such is responsible for overseeing the
3 policies and programs of the Food Safety and
4 Inspection Service and as well chairs the U.S. Codex
5 Steering Committee, which provides the oversight and
6 guidance to our U.S. delegations to the Codex
7 Alimentarius Commission.

8 Dr. Raymond has extensive experience in
9 developing and implementing policies and programs
10 designed to improve public health. Prior to coming
11 to USDA years ago, Dr. Raymond served as director of
12 the Nebraska Department of Health and Human Services,
13 Regulation and Licensure Division, where he oversaw
14 regulatory programs involving healthcare,
15 environmental issues, and was also Nebraska's chief
16 medical officer since January -- in that role as
17 chief medical officer, he directed a large number of
18 public health programs, including disease prevention
19 and health promotion. He also developed
20 several anti-bioterrorism initiatives and a statewide
21 healthcare alert system. He also played an integral
22 role in developing health districts in each of

1 Nebraska's 93 counties.

2 He previously served as president of the
3 Association of State and Territorial Health Officials
4 and was a member of that association's Preparedness
5 Committee for over three years.

6 A lifelong resident of Nebraska, he
7 practiced medicine in rural Nebraska for 17 years and
8 then later established and directed a community-
9 based family practice residency program in Omaha. He
10 also served as president of the Nebraska Medical
11 Association, chaired one of then Governor Mike
12 Johann's Blue Ribbon Panels on infant mortality and
13 served on other state committees related to public
14 health.

15 He attended Hastings College where he
16 earned his bachelor's degree, and he earned his
17 medical degree from University of Nebraska in Omaha.
18 Please join me in welcoming Dr. Raymond.

19 DR. RAYMOND: Thank you, Dr. Goldman.
20 Sounds like a guy who can't keep a job for very
21 long -- welcome.

22 Good morning to everyone. Thank you all

1 for coming here today or getting on the phone with us
2 and participating in this very important two-day
3 meeting. Given the short notice that you all had
4 after we got the webpage -- those of you who know me
5 know that I don't usually -- this meeting --

6 I'm glad to see so many of our partners
7 from the whole food safety spectrum here with us for
8 today and tomorrow, folks we've been working with for
9 the past two years to improve food safety in the
10 United States by working in an open and transparent
11 fashion to improve communication and collaboration in
12 such important efforts as our highly
13 successful Salmonella initiative, risk-based
14 inspection, attribution, and non-O157 STECs.

15 Since I've been at the USDA, I've always
16 said we must tear down old walls and silos that
17 prevent us from working together toward achieving our
18 common food safety objectives. This approach towards
19 addressing challenges will continue to be a major
20 priority of mine in my final year here. It's labor-
21 intensive, but it is a labor of love, trying to
22 improve food safety --

1 This meeting is a perfect example of just
2 such an approach with our challenge of getting Shiga-
3 toxin producing *E. coli* out of the ground beef that
4 most of us in this country love to eat.

5 Over the long haul, we've made some
6 tremendous progress in controlling *E. coli* 0157:H7.
7 Between 2000 and 2006, as you see on this graph and
8 as you well know, FSIS testing shows the percentage
9 of samples testing positive for *E. coli* 0157:H7
10 declined by nearly 80 percent. Maybe this is not the
11 best indicator of prevalence of the bug, but it
12 certainly is an indicator of trends, and it's an
13 indicator of increasing industry control of this bug
14 until recently.

15 The Agency's *E. coli* 0157:H7 initiatives
16 and industry's collective response in 2002 helped
17 drive the rates of these positive samples down, and
18 these rates remained at approximately 0.17 percent
19 for 2004, 2005, and 2006. But in 2007, that rate did
20 increase to 0.23 percent. It did not seem like much,
21 and to put it into perspective, out of 12,000 samples
22 that were taken in 2007, only 27, a rather small

1 amount, were positive for *E. coli* 0157:H7. That's
2 one way to look at it. Another way to look at it is
3 we had an increase of 30 percent of samples that
4 tested positive.

5 So as far as sampling goes, we have
6 improved tremendously in the long run. But,
7 unfortunately, we've plateaued for three years, and
8 then we slipped a little bit last year.

9 But the bottom line is not product testing
10 or how much it shows as positive. It's human
11 illness, and those numbers did rise in 2005 from its
12 low in 2004 where we actually reached the Healthy
13 People 2010 goal, and then it rose again in 2006.
14 The foodborne illness data from 2007 are officially
15 due out this Friday from the Centers of Disease
16 Control and Prevention's morbidity and mortality
17 report. Now, Bob is here today, and I hope he
18 doesn't speak ill of 2007 -- we can only hope that
19 2007 isn't further bad news with further trending
20 upwards in human illness. But we have to look at
21 these trends in illness and product testing to know
22 that it is time for bold steps to be taken.

1 Last year we also experienced an increase
2 in the number of recalls related to *E. coli* 0157:H7.
3 Last year we had 21 recalls, with ten that were due
4 to reported illnesses.

5 And to put this data in perspective, we
6 have to realize, from 2002 to 2006, the number of
7 recalls of ground beef due to *E. coli* 0157:H7 had
8 decreased significantly. This is not through chance
9 or luck, but rather through our collective efforts
10 and our commitment to control this pathogen and lower
11 the risk of foodborne illness to consumers.

12 The breakout is this. In 2002, we had 21
13 recalls, two were reported illnesses; 2003, we had 12
14 recalls with five due to reported illnesses; 2004, it
15 was 6 recalls with three reported illnesses; and in
16 2005, it was only 5 recalls with 4 of those being due
17 to reported illnesses. In 2006, we went up a little
18 bit. We had 8 recalls, but all were due to product
19 testing positive. There were no illness-related
20 recalls. Last year, 21 recalls. Ten of those were
21 due to reported illnesses and outbreaks.

22 I don't think anyone became complacent in

1 the last year or two. What we may have seen is a
2 change in the ecology of the bug or the prevalence of
3 the bug or the concentration of the bug on the hides
4 or in the gut of the herd that we process. We don't
5 know yet what has caused this disconcerting upward
6 trend in recalls, positive samples, and human
7 illnesses, and until we do know that for sure so we
8 can attack the problem, so we can attack the problem
9 at the pre-harvest stage, we must do everything
10 possible to reverse the trends and to protect the
11 public health. That is our collective
12 responsibility, and we must take that responsibility
13 on together.

14 We did take a number of steps last year to
15 address the increase in positive samples, as Al
16 mentioned, and recalls associated with the pathogen,
17 which you'll hear about today, but these might be
18 considered nibbling around the edges of a problem
19 with policies that are relatively non-controversial.

20 These were good changes. But now we need
21 bolder, stronger initiatives. The bottom line is, I
22 simply want harmful *E. coli* out of the ground beef

1 supply in the United States, and you all do, too, or
2 you wouldn't be here today. Or you wouldn't be on
3 the phone today with us if you didn't share the same
4 goal.

5 Now, how we're going to achieve that
6 objective relies on how much we're willing to work
7 together during this meeting and at the meetings that
8 will surely follow. What you see on the agenda is
9 not the same old thing. We're going to be discussing
10 things that may make some of you uncomfortable. They
11 probably already have made some of you uncomfortable
12 based on what I read in the media. But the media
13 isn't where this problem will be solved. It's at the
14 collective table with everybody's sleeves rolled up
15 and everybody ready to work collaboratively in an
16 open but transparent fashion.

17 The discomfort that some of you are feeling
18 is something I want people here today to experience.
19 You may hear things that you don't agree with.
20 That's expected, but I want you to engage in
21 constructive dialogue to tear down those walls that
22 can divide us simply because we don't see eye-to-eye

1 on certain issues. Progress will not occur if we let
2 the walls stand or if we continue to build them up
3 even higher. Progress won't occur if we're just
4 wanting to avoid discomfort by maintaining the same
5 old status quo. The *E. coli* bug is obviously not
6 satisfied with the status quo, and neither should we
7 be.

8 We know this is not going to be easy, but
9 we all share a common goal, and we need everyone's
10 input here to move forward with viable and practical
11 solutions.

12 In keeping with the goals and practices of
13 this administration and this Food Safety Inspection
14 Service Agency and the Office of Food Safety to be
15 open and transparent in our deliberations, today we
16 are announcing that we are seeking a dialogue
17 regarding our next steps with *E. coli*. Because of
18 the highly infectious nature of *E. coli*, even when
19 present in small amounts, we not only cannot rely on
20 it being cooked out of ground beef, but we also
21 cannot count on it not cross-contaminating other food
22 products as has been demonstrated to do over and over

1 and over again.

2 We need to discuss what your community,
3 whether you're a producer, a processor, a consumer,
4 an academic, or a public health official, we need to
5 discuss what your community is going to do on this
6 problem, not just pointing the finger and claiming
7 what the other community should do. I've been
8 reading the comments on the Web and the blogs, and I
9 see, "Tell them just to cook it, stupid." Or I see
10 the finger pointed at feed lots or at line speeds or
11 at corporate bottom lines. These are old and worn-
12 out phrases. Finger pointing like this will not help
13 us protect the vulnerable populations that need our
14 help. They need our help, and I hope you're up to
15 that task.

16 Yes, the *E. coli* 0157:H7-related outbreaks
17 made us all unhappy, which is why I want to tackle
18 this problem head-on. I want to take a big bite, not
19 just nibble around the edges anymore. I want to take
20 a big bite out of this problem by moving forward with
21 some measured, practical, proposed, I stress
22 proposed, solutions. We will review the responses

1 from the 30-day comment period that will follow this
2 meeting, and we'll plan the next steps based on the
3 input we receive here today and in those comments.

4 I don't have a lot of time left in my
5 position as the Under Secretary, and the challenges
6 with *E. coli* 0157:H7 are not something I want to
7 ignore during that time period. I certainly don't
8 want to leave this problem for the next Under
9 Secretary to deal with, and I don't want to have a
10 prolonged, fruitless deliberation on this subject.
11 We have a problem. People are getting sick. The
12 numbers show that the problem is going in the wrong
13 direction. So let's work quickly and thoughtfully to
14 find a right prescription to solve this problem. And
15 with all that said, I look forward to a productive
16 meeting, and I hope you all will be providers of
17 thoughtful input to help us with our deliberations.
18 Thank you.

19 DR. GOLDMAN: Thank you very much,
20 Dr. Raymond, for that putting the charge into the
21 meeting here.

22 Let me just take a few minutes to orient

1 you to the agenda and remind you that, as with all of
2 our public meetings, this meeting is being recorded.
3 We have a transcriptionist here to record the
4 meeting. We have participants who are both in the
5 room and on the phone so that when you come to the
6 microphone to make a comment, please identify
7 yourself by name as well as your affiliation so that
8 we can get all this into the transcript of this
9 meeting.

10 Before I go on with that, hopefully people
11 have found the restrooms, which are out the back door
12 of this meeting room. Of course, you can leave by
13 any of these exit doors here, but the restrooms are
14 in the very back.

15 If you'll look at the agenda just a minute,
16 you'll notice that we have presentations clustered
17 together. And it may first appear that we don't have
18 very many breaks in this agenda. Therefore, if you
19 need to create your own break, please do so.

20 You'll also notice that we have provided
21 for question and comment periods after each series of
22 presentations. And, again, we want to use those

1 opportunities to the maximum to encourage your
2 contributions to this meeting. We are here not only
3 to hear from the formal presenters as outlined on the
4 agenda, but to hear from all of you here who can
5 contribute to the solutions we'd like to come to. By
6 my count, there are about three hours worth of time
7 devoted to public comment and question. So it should
8 be sufficient time spread over the day and a half for
9 everyone to make their point.

10 I will remind folks, as Robert customarily
11 does, that we'd like for you to keep your comments as
12 short as possible. Certainly, there is also the
13 opportunity to provide written comments, as was just
14 stated, but I know there are people who want to make
15 comments about what they hear during the meeting or
16 about the issues that are being raised. So if you do
17 come to the microphone, please try to keep your
18 comments as brief and succinct as possible.

19 Okay. Are there any questions before we
20 proceed with the agenda?

21 (No response.)

22 DR. GOLDMAN: Great. Okay. And one last

1 thing on the agenda. We've divided this loosely into
2 three broad areas, a broad perspective this morning.
3 You'll hear from a variety of speakers, some of whom
4 you're not accustomed to hearing from in our
5 meetings, to give their perspectives about the
6 challenges that confront all of us in trying to
7 control this pathogen.

8 Then, we'll focus primarily on FSIS
9 initiatives that are designed to help us, the Agency,
10 who is responsible for regulating the meat supply, to
11 better understand this pathogen, as well as to
12 control it. So you'll hear a variety of speakers
13 talk about that issue.

14 And, finally, tomorrow we're going to delve
15 into the many hypotheses that have been put forth
16 since last summer, or since last fall, rather, in an
17 attempt to explain what might have occurred last
18 year. So we'll hear from folks who are either in a
19 position to talk about research that has taken place
20 or at least whether or not some of these hypotheses
21 are testable.

22 And then, finally, we'll hear from a panel

1 at the end of the meeting tomorrow who will talk from
2 their organization or agency's perspective about what
3 they may be able to do or their members or
4 constituents may be able to do to help rectify this
5 problem. So that's broadly how the agenda is set
6 out.

7 We do want to begin as we should with human
8 illness. After all, that's our report card. And so
9 we're very pleased to have with us today Dr. Robert
10 Tauxe, who is currently the deputy director of the
11 Division of Foodborne, Bacterial, and Mycotic
12 Diseases at the Centers for Disease Control. Rob has
13 graduated from Yale for both his undergraduate and
14 Master's in public health work and did his medical
15 training at Vanderbilt University and is a specialist
16 in internal medicine.

17 He's been at CDC for 24 years working on
18 their surveillance investigation and control of
19 bacterial infections of the GI tract. He's been a
20 close colleague for all of us here at FSIS, and we
21 appreciate him being here to tell us from his
22 perspective about the human illnesses in particular

1 last year related to 0157.

2 Please welcome Dr. Tauxe.

3 DR. TAUXE: Thank you very much,
4 Dr. Goldman. And it's an honor and a pleasure to be
5 here. Thank you for the invitation to participate in
6 this important meeting.

7 I'm going to review some of the basic
8 information that we have and some of the most recent
9 data from the investigations and surveillance that we
10 do about *E. coli* 0157. I'm going to make just a
11 couple of comments about some of the non-0157 *E. coli*
12 as well. Let's see. The next slide, please.

13 In 1999, we published a summary of our
14 estimates of the burden of a number of foodborne
15 diseases in the aggregate, and among that was the --
16 our estimate at the time of the annual number of *E.*
17 *coli* 0157:H7 infections, 73,000 infections in the
18 U.S. each year of which 2,000 would be hospitalized,
19 and 60 might lead to death.

20 That is important for us all to recognize,
21 that the *E. coli* 0157:H7 represents a very distinct
22 category of *E. coli*, those that produce Shiga toxin,

1 and that the illnesses that 0157 and some of the
2 other Shiga toxin-producing *E. coli* cause are severe
3 and have consequences, in fact, that may last for
4 years.

5 *E. coli* 0157:H7, on ingestion, rather, it
6 appears that even a very small number may lead to
7 serious illness. After three or four days,
8 typically, non-bloody diarrhea develops, abdominal
9 cramps that can be very severe. In another day or
10 two that may progress on to bloody diarrhea, and then
11 the most feared complication is if the toxin attacks
12 the blood vessels in the kidney or the brain leading
13 to hemolytic uremic syndrome that happens on perhaps
14 8 percent of the cases that are diagnosed. And most
15 cases resolve, but that feared complication is a
16 major part of why we focus so much attention on this
17 organism.

18 Some years ago, in fact, in 1996, as a
19 collaborative effort between CDC, FSIS, FDA, and an
20 increasing number of sites based in state health
21 departments around the country, we created FoodNet, a
22 sentinel site surveillance system for foodborne

1 diseases. At that time, reporting requirements
2 varied widely among states, and it was difficult to
3 construct a national picture for a number of
4 foodborne infections. So we created this system,
5 active surveillance, in which staff contact all the
6 clinical laboratories in the area to find out what
7 they're diagnosing, as far as the major foodborne
8 infections, and also survey the population for
9 illness and exposures that might be relevant.

10 And since then this system provides our
11 best data on the burden of illness and on trends,
12 providing useful information for risk assessment.
13 And we annually report the results of the
14 surveillance, comparing it to previous years, and we,
15 as Dr. Raymond mentioned, we will shortly be
16 preparing -- publishing this year's -- the report for
17 last year, for 2007, and it's under a press embargo
18 until the time it is published. Next slide, please.

19 FoodNet began with five sites around the
20 country and expanded to include now ten sites, or 15
21 percent of the U.S. population, a reasonably
22 representative sample. And one of the things that we

1 do when we report this is account for that expansion
2 in sites because different sites have different,
3 actually, different prevalence of some of these
4 infections. Next slide.

5 But just looking at the overall incidents,
6 that is, the number of illnesses per 100,000 persons
7 living in those areas per year and comparing *E. coli*
8 to the baseline number, 1996 through 1998, which was
9 2.4 diagnosed infections per 100,000 people and a
10 healthy people 2010 objective of 1.0, in recent
11 years, the trend, as was just mentioned, has been
12 frustratingly close. Actually, we got below the 2010
13 objective in 2004, when it reached .9 per 100,000,
14 cause for real pride, I think, among a large number
15 of people who had something to do with taking that
16 number down.

17 Since then, unfortunately, the numbers have
18 returned above that objective. 2005, it was 1.05,
19 2006, 1.31, and for 2007, I'm going to have to refer
20 you to our publication coming up shortly this Friday.
21 I will say that that trend that you see of .9, 1.05,
22 1.31 does not continue linearly, and that, in fact,

1 what we are seeing now is -- resembles what we've
2 seen in the most recent years, but does not continue
3 to increase, which is, I think, is a good thing. But
4 it's certainly not below our 2010 objective either.
5 Next slide, please.

6 This graph shows not the raw numbers, but
7 it shows the numbers adjusted for that expansion and
8 the number of sites as a relative rate, setting the
9 first three years as a baseline rate and comparing
10 how the whole system has performed since then, where
11 one would mean -- the line across means that we're
12 really matching what we saw in '96 through '98, and
13 deviations below that are progress. You can see
14 there's the low point in 2004 and the increase -- oh,
15 thank you -- in 2005 -- let's see. Here's the low
16 point in 2004 and then the increase in 2005, 2006.
17 Next slide.

18 And as of the 2006 data, that represented a
19 14 percent decline from baseline, which,
20 unfortunately, was not actually physically
21 significant. Next slide.

22 How do we account for, you know, this

1 increase in *E. coli* 0157 certainly over the last few
2 years and this -- the recent search in the actual
3 outbreaks and recalls that have been related to
4 ground beef in 2007. And FoodNet collects other data
5 about the system than just the raw number of
6 outbreaks -- raw number of cases. Sorry. And this
7 shows some of them. I'm going to show you some of
8 that other data.

9 This is a series of surveys of clinical
10 laboratories in the FoodNet system asking them what
11 proportion of them are looking for *E. coli* 0157
12 routinely whenever a diarrheal stool is submitted.
13 Perhaps numbers would go up or down if clinical
14 laboratories changed their policy over, you know,
15 whether they were going to culture diarrheal stools
16 or not. And, as you can see, roughly, between 60 and
17 70 percent of the laboratories have been culturing
18 for 0157 routinely on all diarrheal stools. And the
19 most recent survey conducted in 2006-2007, the
20 proportion of labs was 66 percent, which is pretty
21 consistent with what's been going on over time. So I
22 don't see that a change in laboratory practice

1 explains recent trends. Next slide, please.

2 Similarly, we conduct surveys of the
3 population in which very cooperative people to whom
4 we are very grateful answer a number of questions
5 over the telephone about what they -- how they --
6 their health status has been and what exposures
7 they've had in the seven days before the interview.
8 And in that one of the things we -- is, well, how
9 many people are eating ground beef in the seven days
10 before the interview. And as you can see, that
11 proportions hovers right around 70 percent that say
12 yes in that time, and that has not really changed.
13 The most recent survey, 2006-2007, really within
14 statistical limits for all of this. Not changing.
15 That doesn't seem to be anything that's changing much
16 in the population. Next slide, please.

17 And then we even ask them -- you know, very
18 few people use thermometers, and it's hard to know
19 how well-cooked the ground beef was, but as an index
20 of that, we ask have you consumed pink ground beef or
21 undercooked ground beef in the seven days before the
22 interview that you noticed. And, again, that

1 proportion is really not changing much, oscillating
2 around 8 percent, or so, perhaps a little higher in
3 the most recent survey than in 2002-2003. But I
4 don't see these as major changes in population
5 behavior. Next slide, please.

6 I'm going to turn to another surveillance
7 system that we've been constructing across the
8 country in state health departments called PulseNet.
9 And PulseNet, rather than looking at individual
10 sporadic cases and counting them the way FoodNet
11 does, PulseNet is a system that's designed to detect
12 outbreaks. And it's based in state health --
13 public health laboratories. Let's see, I guess we
14 need to introduce some elements here.

15 Actually, since 2001, in all 50 states, and
16 in an increasing number of large cities as well,
17 where the *E. coli* 0157 themselves are
18 fingerprinted -- could we tap again, please? In
19 those laboratories, the PFGE, pulsed field gel
20 electrophoresis, fingerprints or DNA patterns are
21 determined for the *E. coli* 0157 isolated from people
22 with the infection. Looking in each state, looking

1 for are there clusters of one single pattern. There
2 are hundreds of hundreds of patterns that are being
3 identified all the time, but do they -- if they
4 appear to cluster in an unusual way, then that's a
5 signal. Next, please.

6 Those images are also stored at the
7 PulseNet database -- one more click, please -- at
8 CDC. And in addition to state scanning and looking
9 to identify clusters, we do that on a regular basis
10 every day at CDC and, in fact, have joined with
11 Canada as well. And so that national database has
12 greatly enhanced our ability to detect outbreaks.
13 But that reached full national participation in 2001
14 and has not recently been changed. Next slide,
15 please.

16 This shows the overall system. It's not
17 just *E. coli* 0157. It's also *Salmonella* and *Listeria*
18 and some *Shigella* and some other pathogens as well.
19 The total number of patterns that are submitted to
20 PulseNet has been rising, so that in '06 it was
21 something over 50,000, all of those pathogens
22 together, and over 60,000. So this has been an

1 increase in participation in a number of different
2 pathogens. But I think we have about 30,000
3 patterns, not all different, but 30,000 submissions
4 total in the *E. coli* 0157 database. And, as I say,
5 we reached full participation in '01. So I don't
6 think PulseNet has changed in any dramatic way in the
7 last few years. Next slide, please.

8 PulseNet does detect clusters of illness
9 with matching DNA fingerprints. A match suggests a
10 cluster, an unusual cluster suggests that the
11 infections might have a common origin. This
12 facilitates the early identification of outbreaks,
13 and it makes the epidemiologists do a great deal of
14 work. In a system in identifying outbreaks, persons
15 who have the outbreak fingerprint are the ones to
16 concentrate investigation in and interviews on and
17 when a match occurs between an isolate that's in a
18 suspect food and in a patient or in a whole group of
19 patients that can help to confirm that the outbreak
20 has that source.

21 Now, we have another very hardworking group
22 of people that we've given the name OutbreakNet to.

1 Our challenge is that PulseNet is identifying
2 clusters of possibly linked infections, and those, as
3 I say, turn into a great deal of effort to
4 investigate and look into them further. Not all of
5 them are outbreaks. Not all of them are fully formed
6 investigations. But an outbreak coordination team at
7 CDC is in regular communication with counterparts in
8 every state. And the goal of this team, OutbreakNet
9 team, is to promote the systematic investigation of
10 cases and coordinated investigation of multi-state
11 outbreaks when they occur. And it has a very strong
12 working relationship with FSIS and with FDA, as well
13 as with the states. And part of what this team does
14 is conduct the systematic and collection and review
15 of all foodborne outbreaks reported by state health
16 departments, of which there are approximately 1,200 a
17 year, considering all the etiologies, including the
18 most common etiology of all, which is etiology not
19 determined.

20 This shows the number of *E. coli* 0157
21 outbreaks over time reported to the United States
22 between 1982 and 2006. And this is to give you just

1 a sense of how the outbreak surveillance has been
2 going on. You can see back in the 1980s, there were
3 very few outbreaks identified or reported. That is
4 before clinical laboratories were doing much
5 culturing for this at all. It was before
6 surveillance began. Only the very largest and most
7 obvious outbreaks would be identified.

8 A very large outbreak occurred related to
9 ground beef in the western states in early '93.
10 Shortly after that, *E. coli* 0157 became nationally
11 notifiable. You can see the number of outbreaks
12 increases because many states began looking for it
13 and getting these notification reports. PulseNet was
14 turned on here. And you can see, at the same time,
15 much more stimulated reporting from states of a
16 variety of different foodborne outbreaks, a much more
17 participatory system. And since then all reporting
18 of all outbreaks practically doubled in '98, not
19 because necessarily of PulseNet, not because suddenly
20 things got worse, but because surveillance for
21 outbreaks was greatly reported at that time.

22 And since then, the *E. coli* 0157 outbreaks

1 have gone along at about 40 and then maybe 30 per
2 year. This is through '06. '07 data are still quite
3 preliminary and not closed out yet, but it looks like
4 the '07 data for the total number of reported *E. coli*
5 0157 outbreaks is going to be similar to that of '06.
6 We're not seeing a large spike. So it's going to be
7 similar to '06. Next slide, please.

8 Here is a summary of the sources of those
9 outbreaks that comes from a review we did in 1980 to
10 2002 showing that there are many different ways,
11 unfortunately, many different pathways by which *E.*
12 *coli* 0157 can reach us, the consumers. 61 percent of
13 the illnesses in all those outbreaks were accounted
14 for by foodborne transmission, 15 percent by drinking
15 water, some percentage never figured out, 8 percent
16 by person-to-person, chiefly in day care centers,
17 child day care centers, some animal contact,
18 recreational water, and a tiny proportion that were
19 actually acquired in laboratories.

20 So foodborne was the dominant form of
21 transmission during that time. Thank you. I think
22 that continues to the present. And then of the

1 foods, at that time, 33 percent of the foodborne --
2 of the illnesses related to food, 33 percent it was
3 specifically ground beef, 11 percent other beef. An
4 important fraction for us to recall is that about a
5 third were related to produce items, and then there
6 were a scattering of others. So ground beef and
7 other beef accounted for certainly the greatest
8 proportion of the illnesses. Thank you

9 And this slide shows, again, between 1982
10 and now through 2006 the percent of the outbreaks
11 reported to us which were attributed to beef. And
12 this is among, I think, those for which there was a
13 food source identified. So you can see early on
14 those early outbreaks -- this may be just one or two
15 outbreaks a year, but beef was the complete story for
16 the first decade.

17 And as more outbreaks began to be reported
18 and investigated, there were also the recognition of
19 transmission in day care centers, transmission
20 through produce. This would be just foodborne, so
21 this would mean produce and other sources other than
22 beef.

1 And then an interesting trend -- and as of
2 2006 -- now, 2006 was a very interesting year for *E.*
3 *coli* 0157. I'm sure people in this room remember.
4 Large spinach outbreak and several shredded lettuce-
5 associated episodes that produce really announced
6 itself as a major fraction in 2006. And we reached
7 this remarkable point where 25 percent of the
8 outbreaks in 2006 were due to beef. So kind of a
9 lower number there than had been seen in many of the
10 previous years.

11 And I don't have the final numbers for '07,
12 but I can say that it is not going to be 25 percent.
13 It'll be at least twice that. And so 2007 is going
14 to be a year where to summarize the outbreak data,
15 the preliminary information that we have -- the
16 overall number of outbreaks does not change
17 dramatically from '06, but the proportion due to beef
18 increases and that's what -- that's a change in the
19 system. Next slide, please.

20 Now, to refer briefly to those outbreaks
21 because I think in a way there are some relations
22 here that we mustn't forget. Baby spinach, in 2006,

1 large outbreak nationwide, approximately 200 cases,
2 traced back -- that were all cultured and
3 confirmed -- traced back to four farms, one of which
4 sampled environment had the outbreak strand of *E.*
5 *coli* 0157 near that environment. And the isolate
6 came from beef cattle that were in a pasture not
7 terribly far away from a stream which passed through
8 the beef cattle area and then not too far from the
9 spinach field from the feces of wild pigs, which were
10 roaming the area and a soil sample. So it was very
11 clear that this was the environment in which this
12 occurred. Next slide.

13 How exactly the spinach became contaminated
14 and whether there was an indirect connection with
15 beef was not -- oops, can we go back, please -- was
16 not so clear. The cattle were a half-mile from the
17 spinach field. They were not adjacent. There was a
18 question about spring flooding of the streams into
19 irrigation wells used for the spinach patch. And
20 there was a question about the wild pigs traversing
21 the spinach fields. And as I said there was the
22 feces from wild pigs carried 0157, which may reflect

1 the fact that they were drinking the water from the
2 streams. Next slide, please.

3 A shredded lettuce investigation also
4 carried out in the field by a remarkable group, the
5 California Department of Health, the California
6 Foodborne Emergency Response Team, who has posted the
7 results of their investigations. In this outbreak,
8 36 cases in two states traced a taco change. Why?
9 The shredded lettuce in the tacos that was the
10 implicated part of the taco came from a California
11 farm. I'm pointing to the actual field where that
12 came from, and you can see that this field, in fact,
13 is curiously and interestingly virtually adjacent to
14 two separate dairies, which are here. These
15 photographs are from the CalFERT report. And there
16 are -- tap it one more time.

17 There are here right -- sorry. This is the
18 field here, and right next to it is a water-mixing
19 device, and samples from the outbreak strain of 0157
20 came from both dairies and from three fields, and the
21 pipes made it possible, though certainly not the
22 intention, it made it possible that the tailwater

1 from the manure lagoons could be connected up with
2 the irrigation systems for the fields. Thanks.

3 That's a device that brings four different
4 water supplies together in a way that is rather
5 complex to predict what the outcome would be with
6 various valves, and it's right adjacent to the
7 spinach field. Thank you.

8 Now, to turn quickly, as mentioned, 2007
9 was a year in which there were a number of outbreaks
10 associated with beef recalls after the year of 2006
11 being zero, and that was certainly a noteworthy
12 event. Next slide, please.

13 This is the outbreaks that we have tracked
14 related to those recalls, just showing by month and
15 year that there was an early cluster in March or
16 April when half of them occurred rather early in the
17 year, and then a later cluster at the time when *E.*
18 *coli* infections in general are more common later in
19 the summer.

20 Looking at nine outbreaks which were
21 associated with beef recalls, ignoring events in
22 which a single person was ill but had an isolate that

1 matched something from beef, there were five multi-
2 state and four single state episodes. The location
3 of the exposure was the home for seven outbreaks, a
4 restaurant for one, a concession stand for one. The
5 average number of persons ill was ten ranging from 2
6 to 45. That number is not smaller nor larger than
7 the average number for outbreaks here recently for
8 0157. And the age ranged, of course, across the
9 entire population.

10 So these outbreaks are not dramatically
11 different in any particular way to me. They're not
12 all very small outbreaks that were picked up only
13 because of PulseNet, for example. They were that
14 average size of ten. And that's what we can say
15 about that. Next slide, please.

16 One particular outbreak I wanted to
17 mention, a complicated one, in September of 2007,
18 PulseNet in several states identified a cluster of a
19 particular pattern of *E. coli* 0157 infections, and in
20 this one, *E. coli* 0157 was identified in frozen
21 ground beef patties from patients' freezers and from
22 retail samples.

1 Where this one got particularly complicated
2 is that in sampling the meat, I think more than one
3 pattern was identified. And all of the sudden we're
4 not talking about just pattern X and this very pretty
5 picture where a pattern is an outbreak, a pattern is
6 a cluster. For this, more than one pattern was
7 present, in fact.

8 And then we go back to PulseNet and say,
9 well, gee, well, this other pattern, are we seeing
10 that in humans, and we contact those people who have
11 been ill and we find out if they had ground beef and
12 if they've eaten the relevant ground beef. Then it
13 becomes interesting and ping-ponging back and forth
14 between what was in the meat and what was in the
15 people.

16 Six different PFGE patterns were emerged in
17 that investigation. And a total of 43 cases in ten
18 states with one of those patterns. Now, pattern X was
19 still the predominant one, but there were these
20 others. 88 percent reported they consumed ground
21 beef. 92 percent of those said that brand X, frozen
22 ground beef patties was what they were eating.

1 And then I mentioned PulseNet crosslinks
2 with Canada, and Canada began to identify cases as
3 well. The beef, it turned out, was from a producer
4 in Canada, and the result was a large-scale recall.
5 Next slide, please.

6 The Canadian investigation found only four
7 cases that they had in PulseNet with pattern X. They
8 didn't get those frozen beef patties, but they
9 identified the same pattern X in beef from producer.
10 And that beef included ground beef, other cuts from
11 producer A, and they also led to a recall of
12 production although it was a slightly different
13 product, but it was from the same producer. Thank
14 you. Next slide.

15 I want to say just a few words about the
16 non-0157. The non-0157 have not been routinely
17 sought in clinical laboratories, and this is ones
18 where the diagnostic practices are changing recently,
19 and more and more are being detected because of
20 surveillance. Oops, can we restore the slide,
21 please? And the trend, at least in FoodNet, is one
22 of increasing numbers of non-0157 STEC that are being

1 identified and reported, and that's because of
2 changes in laboratory practice. And it's not
3 possible to say that there is any real trend in the
4 actual disease related to non-0157 STEC. It is
5 possible to say that more laboratories are looking
6 and they're identifying more cases.

7 Of those cases, they are often serotyped --
8 I'll continue without the images, which I hope can be
9 restored here shortly. Though the human isolates are
10 sent to CDC where we serotype them and of the ones
11 that have been sent in for some years, it's -- from
12 FoodNet, it's clear that there are six particular
13 serotypes that account for the bulk. 83 percent of
14 the non-1057 STEC infections are accounted for by six
15 particular serotypes.

16 And when we look at these illnesses and we
17 look at the serotypes that are involved, we see
18 illness that is similar to, though in general milder,
19 than *E. coli* 0157, not as likely to produce bloody
20 diarrhea, not as likely to lead to hemolytic uremic
21 syndrome, although some of them clearly do. And
22 those six serotypes account for, as I said, 83

1 percent of what's been detected in FoodNet and 95
2 percent of the outbreaks of the non-0157 STEC. Ten
3 of those outbreaks were foodborne. And those
4 particular serotypes are also -- or serogroups --
5 sorry -- are identified by numbers, and the numbers
6 are essentially just in order of when they were
7 identified or appeared. And they are 026, 045, 0103,
8 0111, 0121, and 0145. And this becomes sort of a
9 numerical alphabet soup. But those six serotypes, in
10 addition to 0157 are of a particular concern to us.

11 So I will conclude by saying the recent
12 trends in surveillance are that the earlier decline,
13 which was so encouraging unfortunately reversed in
14 2005 and 2006; that I don't see that that's accounted
15 for, and I don't see that that's accounted for by
16 changes in laboratory practices or consumption
17 patterns; that outbreaks overall have continued at
18 roughly the same level in the most recent years; and
19 that beef and produce are the main sources.

20 But they vary by year. And while 2006 was
21 a year of more produce problems, 2007 was a year that
22 was tilted towards more beef problems. There were

1 certainly more recalls associated -- beef recalls
2 associated with outbreaks in 2007.

3 And then our outbreak investigations really
4 have only reinforced for us that there are complex
5 pre-harvest ecologies that link the reservoirs and
6 beef and the transmission through produce. Can we go
7 to the slide just before this, please? Well, when
8 you're ready.

9 And that I think the most recent '07 multi-
10 national outbreak illustrates that we can have
11 outbreaks with multiple patterns, which is
12 interesting and also illustrates that we have a North
13 American market and that an outbreak can manifest on
14 both sides of the border, sometimes not always in the
15 same meat product.

16 And, with that, I thank you.

17 DR. GOLDMAN: Thank you, Dr. Tauxe. We're
18 going to pause for a few seconds until we can get the
19 next set of slides up. And as I mentioned, we will
20 hold questions until the end of this session, which
21 we'll -- the comment period/question period will be
22 at 11.

1 Okay. Now I'm going to spend just a few
2 minutes talking about the outbreaks that occurred in
3 2007 and our Agency's involvement in those outbreaks,
4 and, specifically, our collaborations with our state
5 and federal public health partners in investigating
6 those outbreaks.

7 And I'm going to specifically focus on 11
8 outbreaks that resulted in some public health action,
9 for the most part recalls, but there was one public
10 health alert. And I will do this in an aggregate,
11 descriptive way. I won't spent any time at all on
12 any of the individual outbreaks because, you know,
13 you can find some information about them indirectly
14 through our recall database, and there will be other
15 opportunities for longer explanation about this. But
16 this is an attempt just to briefly summarize what
17 we've found.

18 So I want to do three things. I think it's
19 important for this audience, even though many of you
20 know this, to talk a little bit about how we get
21 involved in outbreak investigations or foodborne
22 illness investigations, and, as I said, I do want to

1 present some summary data on the 2007 investigations
2 related to 0157:H7. And then there are not a great
3 number of conclusions that are drawn from this
4 descriptive analysis, but it does raise a few
5 questions which I'll end up with, as well as some
6 issues for further exploration.

7 So our Foodborne Disease Investigations
8 Branch is part of what has recently been renamed the
9 Applied Epidemiology Division, which was formerly the
10 Human Health Sciences Division. This is the branch
11 and the staff within FSIS that is responsible for
12 coordinating illness investigations. They are the
13 staff who hear first, typically hear first, from
14 their public health partners about illness
15 investigations that may be associated with products
16 that FSIS regulates.

17 Currently, they are stationed in two
18 different regional offices and have responsibilities
19 for an active liaison with public health partners in
20 the various states and territories. They also serve,
21 once an investigation is underway, as a liaison
22 between those local public health partners or state

1 public health partners and our field force, our
2 inspection personnel. Next.

3 So just a little bit more about what we
4 call our public health and epidemiology liaisons that
5 I've just described a little bit. They do assist
6 with trace-back, both providing assistance to our
7 partners, many of whom especially recently have come
8 to us with some trace-back information already in
9 hand, but also internally within the Agency, they
10 assist with trace-back and seek assistance from other
11 programs within the Agency to conduct trace-back of
12 foods that are assumed or at least initially thought
13 to be associated with illness, trying to trace them
14 back, obviously, to the slaughter processing
15 establishment that has produced them.

16 Mentioned earlier the liaison with public
17 health partners. During an active investigation,
18 they are critically involved in the role of assisting
19 state public health departments with sampling
20 products. So giving them direction about samples
21 that would be of interest to us for further
22 characterization. Some of this is done in the state

1 ag or public health labs. Others of this work is
2 done at the USDA's food regulatory labs.

3 And, finally, they assist, again, during
4 the investigations in providing epidemiologic
5 assessments as the investigation unfolds and provide
6 their expertise when, for example, a state health
7 department presents us with a case control study and
8 the results of that study. And they help us to
9 interpret that. Next.

10 So I want to spend a little bit of time on
11 this slide here. This is a depiction of some of the
12 data that you've already -- that Dr. Raymond referred
13 to and as well as Dr. Tauxe. It's going to be a
14 little bit different because we count differently,
15 and I'll mention that in just a second.

16 But there are three bars for each of these.
17 The tallest bar there is labeled OPHS. That's the
18 Office of Public Health Science, *E. coli*
19 Investigation. So each year we get contacted often
20 early on in an investigation by a state health
21 department or ag department or the CDC directly and
22 they say we've got a cluster that's just been

1 identified. We believe FSIS-regulated products are
2 among those that may be implicated. So you can tell
3 why that's the tallest bar there.

4 And then the second, the purple that is the
5 second tallest bar for most of the years, is the
6 total number of recalls related to 0157:H7.

7 And then, finally, there are, as a subset
8 of those recalls related to this particular pathogen,
9 there are those that have been initiated by illness
10 investigations or outbreaks that have been
11 investigated by our public health partners.

12 Let me make a few more points about this
13 slide. And I'll also come to this in just a minute.
14 But I will tell you in a little bit about why -- I'll
15 elaborate on why we have so many investigations that
16 we are initially involved in and why we don't
17 continue, why they don't result in some public health
18 regulatory action. Okay. Next slide.

19 So in 2007 -- I really want to focus on
20 that particular year. That division and the
21 Foodborne Disease Investigations Branch in particular
22 was involved in 36 illness-cluster investigations

1 related to 0157:H7 and associated or thought to be
2 associated with beef products. Again, that resulted
3 in ten voluntary recalls and one public health alert.
4 All of these clusters that are presented to us are
5 linked at least among themselves, initially, to --
6 that is, the cases are linked by PFGE patterns. And
7 then, of course, in those cases in which we have that
8 information, they may also be linked to food
9 products.

10 Last year in particular, there were six
11 combinations, that is, the two-enzyme PFGE
12 characterization, that were brand new to the PulseNet
13 database -- and I'll say a little bit more about that
14 in a second -- four that were characterized as rare,
15 and one that was common.

16 As I mentioned, this was a year in which we
17 actually did a public health alert as opposed to
18 recall. And in that particular case, the reason
19 there was not a recall done is that we made the
20 determination that the product that was potentially
21 contaminated was all fresh product and was out of the
22 marketplace and not in distribution at the time that

1 we made that determination.

2 Let me just elaborate a little bit about
3 the 25 investigations that did not result in a
4 regulatory action. There are common reasons that
5 vary from year to year, sometimes in combination,
6 that result in our inability to take an action.

7 Last year in particular, there were two
8 cases in which, ultimately, there was no FSIS product
9 identified. Again, commonly we hear early on that
10 there's a cluster or an outbreak, there are several
11 possibilities among the exposures that are thought to
12 have resulted in illness, and in two cases last year,
13 there was a different exposure that was just
14 ultimately determined to have caused the illness.
15 There is commonly a lack of complete epidemiologic
16 information, and by that I mean the food histories,
17 which would help us definitively link what we only
18 have by way of PFGE matches, a product to an illness.

19 In five cases last year, there was
20 insufficient product information to complete a
21 thorough and complete trace-back. What that means
22 just generally is that when we attempt a trace-back,

1 we need to have identifying information on the
2 product packaging, of course. We also need, when we
3 are conducting the trace-back, to be able to trace
4 through records and document reviews throughout the
5 distribution chain and going backwards, of course,
6 from a distribution center back through and including
7 retail. And in five cases, again, we did not have
8 sufficient or complete information to take an action
9 even though we may have had information which
10 suggested there was one establishment or perhaps
11 several that were among those that might have
12 produced the contaminated product.

13 And then in five cases as well last year,
14 there was product that was actually collected later
15 in the investigation and was found to be negative for
16 0157:H7. So I just wanted to give you flavor for the
17 investigations that did not lead to an action.

18 I do want to say a little bit about new
19 PFGE patterns. When new PFGE patterns are recognized
20 in PulseNet and there is a matching pattern between a
21 product and an illness, that gets our attention
22 because in the absence of any other information,

1 that's a very compelling link. Now, of course, we
2 still depend on the food histories to make that link
3 tight. And we have to have information from the
4 epidemiologic investigations, the food histories, and
5 as well other epidemiologic analyses to help make the
6 case. But, certainly, a new pattern suggests a
7 stronger likelihood that that product has been the
8 exposure that has caused the illness.

9 I do also want to point out that last --
10 the one case in which there was a common pattern. So
11 think about what I just said from the other end of
12 the spectrum. We have a common pattern circulating
13 in the environment, among the cattle, perhaps even in
14 the human population. In that particular case, we
15 had very definitive information from our state public
16 health partner, which was provided to us and allowed
17 us to make a link even despite the fact that there
18 was a common pattern. So in that particular case,
19 the PFGE pattern was not as helpful. However, there
20 was other epi information that helped make the case.
21 Next slide.

22 So this is just aggregate information about

1 all of the 11 investigations I just mentioned, and I
2 just want to review this just briefly. Among the 170
3 case patients that were found in the 11
4 investigations, 112 were culture-confirmed and
5 epidemiologically. The illnesses ranged among those
6 11 outbreaks or clusters from 1 to 47. I do want to
7 note here Dr. Tauxe mentioned that -- I think he
8 reported nine outbreaks related to beef products.

9 We take an action on a single case if we
10 have sufficient information to make the link between
11 the exposure and the illness, so that's why you see
12 in our range there a one, the mean number of
13 illnesses slightly higher than the information
14 portrayed by Dr. Tauxe a minute ago. There were 56
15 percent -- 56 were hospitalized, about a third, and
16 the number of cases that resulted in HUS was 7
17 percent. And you recall, he said the average is
18 usually about 8 percent. And we had no deaths that
19 were reported.

20 Okay. I want to focus now on how we
21 learned about these investigations by way of
22 demonstrating that our liaison efforts do work. In 8

1 of the 11, we were contacted directly by state health
2 departments. As is typical, we hear about outbreak
3 investigations in other ways. One in particular I
4 didn't mention earlier, we do have a full-time
5 Agency-liaison who is stationed at CDC. So in one of
6 these cases, she let us know directly about an
7 illness investigation that was just beginning.

8 An average of 4.7 states per investigation.
9 It is now routine that these investigations
10 especially with 0157 and especially with PulseNet are
11 multi-state investigations. Interestingly, we found
12 that the FoodNet states that you heard described a
13 little bit ago by Dr. Tauxe were heavily involved in
14 the investigations from last year. They were either
15 participants or in many cases led the investigations.

16 The last bullet there talks about the time
17 from first notification of FSIS -- that's what I
18 should say -- to regulatory action. So among the 11
19 investigations that resulted in a public health
20 action, it took an average of 9.9 calendar days.
21 And, of course, you see the range there as well.

22 The involvement of FoodNet I'll come back

1 to in a minute, but, certainly, FoodNet sites have
2 benefited over the years from additional resources.
3 They have been recognized as some among the strongest
4 health departments across the country when it comes
5 to foodborne investigation.

6 One last point that's not on the slide here
7 is that we calculated the average from earliest onset
8 of a case in a state or among the states to when our
9 Agency was notified as being an average of 40 days.
10 And we can talk more about that, or you might have
11 some questions about that. The range there was 8 to
12 65 days. So that is the time from the first onset of
13 a case in that cluster to notification of our Agency
14 was an average of 40 days. Okay. Next.

15 I show this to make a few points here.
16 This was one of the investigations we were involved
17 with last year, and I won't go into great detail.
18 But the red coloring there depicts the distribution
19 of product. And several people have already made the
20 point, and you well know this, that beef products, as
21 well as most food products, are widely distributed in
22 this country today. In this particular case, the

1 entire western states received product that
2 ultimately was found to be contaminated and resulted
3 in a recall. Click one more time, Keith.

4 Okay. The stars there represent the state
5 health departments that notified us during their
6 investigation. And, in essence, five state health
7 departments notified us at more or less one time that
8 they had cases or it came to our attention at one
9 time. And this resulted, the information that we
10 received from these states, resulted nine days later
11 in a recall. Now, click one more time.

12 Then there was another state that let us
13 know several days after this first set of states that
14 they had some cases that ultimately were determined
15 to be part of this same outbreak. And that resulted
16 in an expansion of a recall. In fact, there was
17 another expansion that wasn't prompted by,
18 specifically by new information from a particular
19 state.

20 But I say this to make a couple points.
21 One is that this overlay of illness and a
22 distribution of product can be very important to us

1 during investigations. Certainly, it can be
2 supportive, as it was in this case, but you may be
3 asking yourselves, well, what about Washington,
4 Oregon, Montana, and the other states who didn't
5 report cases. And that is a very good question.

6 The other point I wanted to make with the
7 stars was that it is a fact of life that we don't get
8 all the information that we'd like to get to take a
9 single action. As much as we would like that to be
10 different, we have different relationships with some
11 states. They have different capacities to do food
12 histories, to do investigations, to upload their PFGE
13 patterns. There are many steps along the way that
14 are required by individual states in order to
15 determine that cases are linked, and we just don't
16 get it all at one time.

17 Okay. Just a couple more slides here.
18 This is the characteristics of the products that were
19 involved in those 11 events, 29 million pounds. You
20 can see the range of the number of pounds that were
21 involved. The one point that I want to make here is
22 that this big orange slice of a pie is frozen

1 products, and looking back at least three years, we
2 have had recalls associated with both fresh and
3 frozen products. I don't think we can draw any
4 particular conclusions from this year that suggest
5 it's any different than before.

6 For example, in 2006, when there were eight
7 recalls and a relatively small number of pounds of
8 product were recalled. There was none that was
9 identified as frozen, but in the years prior to that,
10 2005 and 2004, 99 percent in 2005 were characterized
11 as frozen and 83 percent in 2004 were characterized
12 as frozen.

13 And, finally -- next slide -- by way of the
14 data, this just shows you the establishment size and
15 its relationship to the implicated products that
16 resulted in public health actions. Again, there are
17 not many conclusions to draw from this slide, but I
18 just wanted to show you this data. And this, of
19 course, would vary from year to year as well. Next
20 slide.

21 The conclusions here, FSIS obviously saw an
22 increase in investigations related to 0157:H7 and

1 also recalls. You know, I mentioned, or it was
2 mentioned that there were 21 recalls. Of course, 11
3 resulted in a public health action because they were
4 spurred by illness. The other ten, of course, were
5 recognized primarily through microbiological testing.
6 Recalls of frozen ground beef products was
7 noteworthy, but, again, I said it was not
8 particularly different from years past. PFGE
9 continues to be the kind of the foundation of our
10 ability kind of across the public health spectrum to
11 detect outbreaks and to engage in those
12 investigations. And FoodNet sites, as I mentioned,
13 contributed perhaps disproportionately to the
14 investigations.

15 So there are a few points that might be
16 raised by this brief descriptive analysis. The point
17 about FoodNet sites being involved at least suggests
18 the possibility that because they are resourced
19 somewhat better than other state health departments
20 that if other state health departments had similar
21 resources, among other things, including training,
22 there could be more recognition or more timely

1 recognition and investigation of outbreaks.

2 I mentioned already the timing issue
3 between illness diagnosis notification and regulatory
4 action. We are always trying to seek ways to shorten
5 that time. We continue to conduct those outreach
6 activities. I mentioned earlier we participate in a
7 group called the Council to Improve Foodborne
8 Outbreak Response, or CIFOR, which is engaged in
9 developing best practices and guidelines for public
10 health departments so that we can recognize outbreaks
11 sooner and come to some definitive action that will
12 protect public health.

13 In addition, we are -- both FSIS and FDA
14 are sponsoring meetings. Ours will be May 15th and
15 16 on enhancing collaborations and communications
16 during outbreak investigations. The FDA will have --
17 it'll be a part of their meeting this summer. So
18 both of the food regulatory agencies are, I think, in
19 kind of constant states of trying to improve our
20 relationships with our state public health partners
21 through meetings and collaborations. Next slide.

22 This is the last slide. We wonder whether

1 there is something particular about frozen ground
2 beef, but we have somewhat informally decided that
3 perhaps it was only matter that frozen ground beef is
4 more frequently recognized as a cause simply because
5 it's available for testing and therefore can be
6 associated with illnesses.

7 We do wonder, though, or have wondered from
8 time to time about the survivability of pathogens in
9 the middle of frozen patties and especially since
10 frozen patties come in different shapes and
11 dimensions. And we have asked ARS, our partners at
12 the Agriculture Research Service, to help us by doing
13 a small study which might get at this information.

14 The last point there is just to suggest
15 that with fresh products -- as I mentioned, they're
16 not often available for testing. However, trace-back
17 that I mentioned a while ago is a very important
18 feature of our investigations, and we depend on
19 grinding logs and record keeping throughout the
20 production chain to help us in those cases when fresh
21 products are not available for testing. And we are
22 hopeful that we can continue to see that improve in

1 the retail sector so that when our investigators go
2 into those facilities, we can find information, which
3 will help us determine where the implicated products
4 originated and then to take whatever appropriate
5 action there may be.

6 So I thank you for your attention. And we
7 will move on to the next presenter.

8 Okay. I guess I made us late. Next up, we
9 will hear a presentation by two FSIS members who will
10 talk to us about the follow-up the Agency has taken
11 since last October's meeting on non-0157 STECs. And
12 we will have -- as I said, it will be a co-
13 presentation. We'll have Dr. Elisabeth Hagen, who is
14 our executive associate for public health and who
15 herself is an infectious disease specialist, will
16 talk about some of the methodologic issues that the
17 Agency has worked through or continues to work
18 through since that meeting.

19 And then Dr. Daniel Engeljohn, who is our
20 deputy assistant administrator for policy, will talk
21 about the thinking the Agency has in developing,
22 possibly developing a regulatory program for these

1 other STECs.

2 So, first will be Dr. Hagen.

3 DR. HAGEN: Thanks, David. Good morning.

4 It's really good to see all of you here. Our time is
5 brief, and Dr. Engeljohn and I are going to share it.

6 So we're going to give really just kind of an
7 overview treatment to this subject and kind of bring
8 us all up to speed, and, as David said, bring
9 everyone up-to-date about what we've done since we
10 last met on this subject.

11 So what we'd like to do is tell you just
12 kind of what the Agency's current thinking is, what
13 our current considerations are on the subject of non-
14 0157 Shiga toxin-producing *E. coli*. I'm going to
15 begin with a review of really just the most salient
16 information that emphasizes -- moving forward with
17 plans for possibly developing a regulatory sampling
18 program and then share with you just a bit about our
19 approach from a methodological standpoint, how do we
20 think we're actually going to detect these organisms
21 in a regulatory laboratory setting. Dr. Engeljohn is
22 then going to discuss some of the challenges

1 associated with policy development in this particular
2 area.

3 So I thought I'd start with where we left
4 off. As many of you know, FSIS initiated a public
5 discussion on this issue last fall. On October 17th,
6 2007, we hosted a public meeting, which was co-
7 sponsored with our partners at FDA and CDC. And that
8 meeting was very well-attended. In fact, many of you
9 in the room and on the phone joined us for that very
10 important first step.

11 We had a full agenda and we had speakers
12 both from the United States and from Europe
13 discussing really a whole range of subjects,
14 including the human health burden, prevalence in food
15 animals and in certain food categories, some of the
16 challenges to detection and surveillance and the
17 efficacy of certain processing interventions. We had
18 a lot of good comments and questions that day and in
19 the follow-up period as well, and I'd like to just
20 briefly walk you through kind of just the key pieces
21 of information.

22 So the clinical spectrum of illness and the

1 illness burden estimates are really important data to
2 consider. As David mentioned earlier, that's always
3 where we start. There are many non-0157 serogroups
4 and many of those are not pathogenic. As we heard
5 earlier from Dr. Tauxe, those that are pathogenic
6 tend to cause, in general, syndromes that are milder,
7 but they certainly are capable of causing the entire
8 range of illness that we see with 0157:H7. That
9 includes diarrhea, bloody diarrhea, hemolytic uremic
10 syndrome, and even death.

11 There are over 200 serogroups of non-0157
12 STEC. And, really, six of them are the most
13 important because they cause at least three-quarters
14 of the illnesses associated with this group of
15 organisms. Those serogroups are, just to repeat
16 them, 026, 0111, 0103, 0121, 045, and 0145.

17 Reported incidents of these infections with
18 these organisms is definitely increasing. But what's
19 unclear, as Dr. Tauxe alluded to, is whether that
20 incidence is really, incidence of infection, is
21 really increasing or whether what we're seeing is
22 better reporting, more spread utilization of

1 detection capabilities and enhanced surveillance
2 programs. Next slide, please.

3 So in FoodNet, there were 575 non-0157
4 isolates submitted during the period of 2000 to 2006.
5 And just for purposes of contrast, compare and
6 contrast, there were 35 in 2002, and there were 209
7 isolates submitted in 2006. And we will know in just
8 a couple of days how many were reported in 2007.

9 What we seem to be seeing is that if you
10 look for these illnesses, you will find them. And
11 there have been a number of special studies and
12 enhanced surveillance projects in different states in
13 which reports have shown that the prevalence of non-
14 0157 in diarrheal stool samples and the incidence of
15 infection actually outnumbers or is at least equal to
16 that of 0157:H7. And I've listed Virginia and Idaho
17 up here. Those were two of the studies that our
18 group reviewed in some detail. But I should also
19 have mentioned Nebraska, Minnesota, and Connecticut
20 have done these types of special enhanced
21 surveillance programs as well.

22 And outside of the United States, in

1 Australia and some of the western European countries,
2 non-0157 infections clearly predominate as the cause
3 of STEC-related illnesses.

4 So determining the true incidence of non-
5 0157 human illness has been difficult for a number of
6 reasons, and I haven't really even listed all of them
7 here. But just go through them briefly.

8 First, there's a very limited awareness of
9 STEC other than 0157:H7 in the clinical community.
10 As David mentioned, I joined FSIS from the medical
11 community as an infectious disease physician, and I
12 can tell you that the awareness really isn't there.
13 And in many systems, where laboratories don't
14 automatically look for STECs of any kind, it really
15 depends on the physician thinking about the
16 possibility first in order to order the test. And if
17 that doesn't happen, then a lot of these stools are
18 just never looked at for 0157 or any of the STECs.

19 Second, there is a non-uniform surveillance
20 for these organisms among public health departments.
21 non-0157 STEC became nationally reportable in 2000,
22 but the ability of states to consistently obtain that

1 reporting data really varies from state to state. In
2 some clinical labs, for instance, only a Shiga toxin
3 screen is done on samples, and those samples are then
4 discarded, while in others, the broths will then be
5 sent on to their state labs and onto CDC for further
6 characterization. So we're really not getting
7 consistent information from state to state.

8 And, finally, just the laboratory detection
9 challenges themselves make getting ahead on the true
10 incidents difficult. There are a number of these,
11 and we heard a lot about these in our meeting in
12 October, but the most basic of which is that the non-
13 0157 STECs do not distinguish themselves from other
14 *E. coli* on growth media. And that's in contrast to
15 *E. coli* 0157:H7, which is readily distinguishable
16 because of its inability to ferment sorbitol and its
17 unique appearance on selected growth media. Next
18 slide.

19 So another source of information that we
20 heard about and that we've been following in terms of
21 human illness burden is the outbreak data. There
22 have been outbreaks reported worldwide, actually

1 quite a few of them, and they've been associated with
2 non-food vehicles and food vehicles, including meat
3 products. Particularly, sausages are one category of
4 meat product that we've heard reports of
5 internationally. There have been 23 outbreaks
6 reported in the United States since 1990. Just under
7 half of those outbreaks were attributed to foods, and
8 then half of those were attributed to specific foods,
9 and it's important to note that none of them in the
10 United States have been attributed to meat products.
11 Next slide.

12 So moving on from the illness burden, we
13 heard a lot about the prevalence in food animals and
14 food products, and FS scientists have actually been
15 reviewing a much more drastic set of data than what
16 I'm presenting here. But the data on prevalence in
17 cattle, which is a primary reservoir, is important.
18 Estimates in dairy cattle range from 0 to 19 percent,
19 and in beef cattle from 19 to 56 percent in feces and
20 hides, respectively.

21 The prevalence in specific food categories
22 is really largely unknown. There have been a number

1 of individual studies. We did get some data
2 specifically about beef carcasses and meat products
3 at our meeting in October. We learned that the
4 prevalence on pre-evisceration carcasses was over 50
5 percent in two separate studies from recent years.
6 And in a recent study of retail ground beef by
7 Dr. Samadpour's group, there was a prevalence of 2.3
8 percent reported in retail value.

9 And just as we see in the human illness
10 setting, there are certainly the same challenges to
11 laboratory detection of these organisms in animals
12 and in food products. And, consequently, there
13 really are very few validated methodologies for
14 isolating and identifying these organisms in food
15 matrices.

16 So I've briefly reviewed the highlights of
17 the large amount of information on non-0157 STECs
18 that FSIS has been considering since our public
19 meeting last fall. We feel that the data, and also
20 the feedback that we have received, are compelling
21 and that we really need to begin trying to think
22 about how do we get a handle on the extent to which

1 these organisms may be present in our products and
2 whether we need to be looking at a regulatory
3 sampling program for them. Next slide.

4 So what are our plans? FSIS will soon
5 begin testing ground beef and ground beef components
6 for the presence of the selected non-0157 STECs, the
7 six serogroups that we've discussed this morning.
8 Now, the primary objective, initially, is going to be
9 to determine the magnitude of the issue. Once we get
10 a handle on that, we can determine whether a
11 regulatory program is warranted and how we would go
12 about implementing such a program.

13 Our microbiology division has been working
14 and will continue to work closely with agricultural
15 research service scientists to develop and transfer
16 the technology as part of a complete methodology
17 suitable for a high throughput regulatory testing
18 environment.

19 Now, a key feature of our methodology is
20 going to be that we'll be focusing on those top six
21 serogroups, greatest public health concern, rather
22 than attempting to detect all STECs in all of our

1 products, because many of them are not going to be
2 pathogenic, and we feel that this approach is really
3 most consistent with our primary public health
4 mission.

5 So as I've said, the methodology is really
6 still under development and validation phases, so
7 some of the details are evolving, and I can really
8 just share an outline with you at this point. But
9 our general approach will be that we're going to be
10 doing a two-step PCR screening on enrichment
11 cultures, first looking at a combination of virulence
12 factors that we see in -- that are associated with
13 pathogenic STECs and then those that are positive by
14 that initial screen will go on to receive a second
15 PCR screen looking at the six specific serogroups.

16 Eventually, we will then be moving on to
17 further isolate and characterize the organisms so
18 that they are culturally confirmed, which we think
19 would be necessary for any regulatory-type testing
20 program.

21 So we recognize that the cultural
22 confirmation part of the methodology development is

1 going to take the longest, but we do plan to
2 implement the PCR-based testing while that
3 development continues. We'll soon begin applying
4 this two-step PCR screening protocol on all confirmed
5 regulatory positives, positives for 0157. And in the
6 next phase, we're going to be looking at those
7 samples, regulatory samples that were negative for
8 0157. And it's important to know that during this
9 period, which we are considering a study period,
10 these results are going to be considered study
11 results and not regulatory results.

12 So beyond the issues of developing
13 methodology for the laboratory, there are certainly
14 challenges associated with gathering and applying
15 data on these organisms which are not currently
16 defined as adulterants in a regulatory setting. And
17 my colleague, Dr. Daniel Engeljohn, is going to join
18 us on the podium now to discuss some of those
19 challenges. Thank you.

20 DR. ENGELJOHN: Good morning. I represent
21 the risk management part of the Agency, in terms of
22 how we go about addressing risk with the products

1 that we regulate. And so from my perspective, I'll
2 give you an overview about how we're going to go
3 about addressing the issue of the non-0157 STECs.

4 I'm going to touch on in the next slide
5 really five points which get at ensuring that we have
6 the laboratory methodology issues resolved, that
7 we're assessing the magnitude of the problem, that
8 we're determining the circumstances in which non-0157
9 STECs could be considered an adulterant. We would
10 then be informing our stakeholders about the FSIS
11 determination that if, in fact, we determine them to
12 be adulterants, how we would go forward with that.
13 And then, finally, identifying an implementation plan
14 for this particular policy.

15 And I would just say that having been with
16 the Agency back when we initially identified 0157:H7
17 as an adulterant, we do find that it's critical that
18 we have the infrastructure in place before we
19 actually implement a program, which is not exactly
20 what happened when we started the program with
21 0157:H7 in '94.

22 So with the laboratory methodology issue,

1 we'll be looking at the validation, and as Dr. Hagen
2 identified, we're making sure that we have a
3 validated method that can discern the non-0157 STECs
4 that we have selected, in this case the six that was
5 mentioned, from those other 0157 STECs. And it's
6 also important to note that because we're a
7 regulatory agency and we deal both with not-ready-to-
8 eat and ready-to-eat products, we also have to
9 consider how we're going to go about addressing the
10 ready-to-eat products as well and whether or not that
11 methodology would be different.

12 In terms of assessing the magnitude, this
13 really gets at helping us identify the sense of
14 urgency that we need to move forward if, in fact,
15 we're finding that there's a significant amount of
16 these organisms in the products that we regulate and,
17 frankly, that are getting through the system by just
18 focusing on 0157:H7. So what our intention would be
19 to do would be to craft a federal register document
20 that would notify the public about when we intend to
21 start analyzing samples.

22 In this case, it is our intention at this

1 time to use our regulatory testing program as the
2 population of samples that we will be working from.
3 And having said that, then, the Agency's intention
4 would be to first start with those samples that are
5 confirmed positive for 0157:H7 and then focus on
6 identifying in those samples whether or not there are
7 the six selected non-0157 present in those samples.

8 In this particular case, the Agency's
9 expectation would be that the industry would hold
10 that production -- product. As you know, we do not
11 require that production locks when we collect
12 regulatory samples for *E. coli* 0157:H7. It's our
13 recommendation that establishments do so, and it's
14 also the reason why we have product recalls, which
15 occur when we find a positive in a sample from
16 product that's released into commerce.

17 The Agency has made known to the regulated
18 industry as well that we are pursuing a rule-making
19 that would make it mandatory that product would be
20 held when we pull regulatory samples, but that would
21 not be a process in place at the time that we begin
22 this study program.

1 With those samples that screen negative for
2 0157:H7, then the Agency will be saving those samples
3 and we'll also be conducting an analysis on them and
4 looking for the selected non-0157:H7s, but not as the
5 priority. Again, these are the regulatory samples
6 that the Agency pulls, and we will be focusing on
7 looking for the 0157:H7 first, and then after that,
8 we would be focusing on a process by which we would
9 be looking for the non-0157 STECs in those that do
10 not confirm positive.

11 In this case, we would -- we're not making
12 the recommendation that the industry hold this
13 product although this may be a prudent response by
14 the establishment themselves. It is our intention to
15 report back to the establishment, as quickly as we
16 have any results, the findings that we have.

17 Our intention, then, would be to assess
18 this data over a limited period of time. Again, the
19 issue here for the Agency is to have infrastructure
20 in place to be able to implement a regulatory program
21 should we move in that direction. And it's important
22 for us to know what the magnitude of the problem is.

1 And so from this we will identify what the likelihood
2 of presence of the selected non-0157 STECs are in the
3 samples that we collect and then make some
4 determination about how quickly we could or should
5 move forward.

6 Then we would identify the circumstances
7 for what would constitute adulteration. In this
8 case, the conditions for which the selected non-0157
9 STECs are present are presumed to be the same
10 conditions for which 0157:H7 is present, meaning
11 during the slaughter dressing practice is probably
12 the most likely place where contamination occurs.
13 And then throughout the rest of the process, it's
14 distributed in the products that are handled
15 thereafter.

16 Based on the evidence that we developed on
17 this testing as well as any other evidence that might
18 be presented to the Agency, we would consider that
19 and make some determination as to whether or not from
20 a public health protection perspective we should
21 broaden the aspect and go forward with a broader
22 interpretation whereby we would and could include the

1 non-0157 STECs in our determination of what, in fact,
2 would be adulterated. This, too, would then lead us
3 to have to define which products or processes would
4 be applicable to any determination and at what points
5 the Agency likely would begin a testing program in
6 order to verify whether or not this particular hazard
7 is being controlled.

8 Then we would, again, issue a federal
9 registered document that would inform our
10 stakeholders about the information that we have
11 gathered about the decisions that we have come to
12 conclusion on whether or not we're going to declare
13 the non-0157 STECs as adulterants and the conditions
14 under which we would make that determination.

15 And then, finally, we would set forward an
16 implementation plan. It's important that all
17 stakeholders know when we're going to begin this
18 program so that, in fact, all the conditions can be
19 set such that control can be actually applied by the
20 industry. And this is also important from a domestic
21 and from an international perspective, because we
22 would not treat international product coming into the

1 country any differently than that from which is
2 produced domestically.

3 So if we then decide that we would go
4 forward with an adulteration policy, we would need to
5 identify a date that we would begin this. We would
6 have training outreach education in place for our own
7 employees, as well as for stakeholders involved and,
8 importantly, identify very clearly when and how we
9 are going to go forward, and then the Agency would
10 move upon that. Thank you.

11 DR. GOLDMAN: Thank you very much to Drs.
12 Hagan and Engeljohn. We are now going to invite two,
13 the two last speakers for this session up to the
14 podium, and we'll have all the speakers available for
15 questions, but we just don't have room here for
16 all of them at one time.

17 But, next, we'd like to invite Dr. Mansour
18 Samadpour to join us. Dr. Samadpour is a
19 microbiologist and a molecular epidemiologist who
20 previously was on faculty at the University of
21 Washington School of Public Health, which is my alma
22 mater. And he recently in the past few years

1 established a company called the Institute for
2 Environmental Health. And he has in that capacity
3 been involved in quite a number of outbreaks and
4 recall events as well as with a considerable amount
5 of testing on beef products for the presence of 0157
6 and perhaps even for some of the non-0157 STECs as
7 well. So please welcome Dr. Samadpour to give us his
8 presentation.

9 DR. SAMADPOUR: Thank you. Next. We had a
10 really bad year -- *E. coli* 0157. Everyone in the
11 room knows how we struggled last year. We are hoping
12 that that was an anomaly. We have yet to see the new
13 results to indicate if we are going to have another
14 2007. I think going through the experience has kind
15 of like forced some of us to rethink what we have
16 been doing, come up with some new solutions, and a
17 different way of looking at eliminating this bacteria
18 from the food chain. The non-0157 has been
19 underemphasized -- and that's one of the purpose of
20 this meeting, and the issue or presence of *E. coli*
21 0157 on sub-primals and primals. I will be
22 addressing all the issues but the last one during the

1 presentation. Next.

2 I want to briefly describe how we reacted
3 to this increase in the numbers of the *E. coli* 0157.
4 After that, we're going to briefly talk about the
5 non-0157 issues. And during the course of last
6 several years working in this industry and working at
7 FSIS, I have identified some points that I think we
8 need to discuss and get clearances from the Agency
9 and maybe even some re-thinking on the Agency
10 positions. Next.

11 Again, 2007, we had tremendous increase in
12 numbers of *E. coli* 0157. There are many, many
13 theories as to why this happened. I don't believe
14 that there are consensus on the issue.

15 Public get exposed to the organism through
16 ground beef. If this level of -- sustain itself, we
17 probably need more interventions and firewalls
18 between slaughter operations and grinders.

19 The way we tried to address this issue was
20 we had been using statistical process control on the
21 basis of pathogen data. The way we screen these
22 samples for presence of *E. coli* 0157 is slightly

1 different than other groups. We use seven different
2 targets, and these are various virulence factors
3 associated with *E. coli* 0157 and non-0157 STECs. We
4 also simultaneously look at *Salmonella*.

5 Now, having all these signals and looking
6 at the trim has allowed us to design or implement
7 statistical process control charts and use it as an
8 early warning system that the process is giving us
9 the control. We have to put pressure on the plan to
10 go back to assert control.

11 The next thing was that we wanted to make
12 sure that nothing escapes us as much as possible,
13 statistically possible, and the way we approached
14 that was we came up with a pilot program in which we
15 switched one of our major clients to a single combo
16 testing lot. We argued by reducing the lot size and
17 increasing the sample size, we will be increasing the
18 likelihood of identifying the pathogen if it is
19 there. And we also increase the confidence in the
20 negative results. Next.

21 During several of the establishments and
22 plants, one thing that, you know, I always do, I

1 worry about plants that are not popping positives.
2 So in one situation about two years ago, I had a
3 plant that didn't have positives, just refused to
4 have positives, regardless of how hard we are
5 working, or looking. And after looking at all
6 processes, then the next thing was, well, you know,
7 if they give us this bag of sample coming to the lab,
8 if *E. coli* 0157 is there, we'll detect it. If it's
9 not there, we can't. So let's start auditing.

10 So we designed a process in which we for
11 about 10 percent of all the samples that come to the
12 lab, we count -- we do a piece count to see whether
13 an equal at 60 is indeed an equal at 60 or we are
14 getting 20 pieces.

15 And later on, when faced with another
16 situation, we further refine that, and we also in our
17 audits, we see whether how -- what percentage of
18 these internal pieces versus external pieces. It's
19 not sufficient to collect surface samples. If you
20 get a sterile muscle and take a surface sample from
21 that, now we have to send this piece of sterile
22 tissue to the lab, and we are not going to find

1 anything in it. Next.

2 This is not the way we audited. We
3 actually transferred them aseptically from one bag to
4 another one, but this is what, you know, usually
5 comes to a lab, a number pieces of meat. Next.

6 In this situation, the blue dots represent
7 the piece count. On the left, you have lines
8 representing how many. And when we started doing the
9 piece count for this particular plant, although the
10 program was an equal 60, they were hovering somewhere
11 around 30. Once we had sufficient data, few days
12 worth of data, we communicated that with the plant
13 and the corporate, and instantly it jumped to an
14 equal at 60. So those are the blue dots.

15 Now we look at the pinkish or the reddish
16 dots, those are internal versus external pieces. So
17 we were struggling with this plant at the time,
18 still, you know, pushing them to collect more
19 external pieces. Next.

20 This is another establishment that was
21 doing it correct from the beginning. We -- equal 60
22 and they were all external pieces. Next.

1 Another situation, you see that internal
2 versus external is scattered. Another kind of
3 drastic example of -- they were collecting 20 to 30
4 pieces, so 60 was actually 20 to 30. And then after
5 it was communicated with the company, it just
6 corrected itself. Next.

7 In March, January, February, March, that's
8 when they pushed to an equal 60, the statistical
9 process control contract moved up in response to now,
10 you know, sending us more samples, because that's how
11 we are sensing whether they are in control of the
12 process. The weather got hotter during June, July,
13 you know? You see that preaudited they go out of
14 control. Red dots are number for 0157 positives that
15 they were having. So it all comes together that the
16 more you collect, you do proper sampling, and, you
17 know, monitoring, you have tons of data that you can
18 use to improve the process. Next.

19 So after sampling issues, the next thing
20 was how can we find 0157 if it is there? How can we
21 increase the likelihood? Now, one thing that no one
22 wants to discuss openly is that we all use IC-MSF

1 statistics to justify or say that a five-person
2 contamination or -- rate an equal of 60 will deliver
3 95 percent, we have 95 percent confidence.

4 There are no bases for those statistics in
5 beef or in leafy greens. We cannot apply those
6 statistics. We just have to intuitively look and
7 design sampling plans for the time being. We may be
8 using the statistics for the convenience of
9 communication and we have to -- empirical data and
10 then go back and do modeling.

11 In this particular situation, what we
12 decided to do was to go from an equal at 60 from five
13 combos to an equal at 60, meaning 60 samples taken
14 from a single combo. Next.

15 This is emphasized as definition of robust
16 sampling for trim. Takes 60 samples, 375 gram, 12
17 thin slices from each combo, try to take surface
18 samples. Next.

19 This is the type of samples that it
20 generates. But every combo, remember, we are taking
21 only 12 samples. So 75 grams out of 2,000 pounds is
22 the representation we are getting for a single combo.

1 Next.

2 The main point was that if we are going to
3 go to an equal at 60 single combo testing, one of the
4 major impediments would have been sampling. This
5 device was developed by the government of Australia
6 for sampling. That was brought in and was validated,
7 and this is what we use for single combo testing.

8 Next. Next.

9 Small plugs are taken, and they're
10 deposited in sampling bag. Next.

11 This is the way samples look if you take a
12 minimum of 60 samples from each combo. These are
13 independent samples. Next.

14 Now, this is the real proof of whether
15 system is working or not. This particular client was
16 using an equal of 60, extension samples, five combos,
17 and we are comparing 2006 data to 2007. At this
18 point, in 2007, we switched them to single combo. So
19 compared to 2006, you see, yeah, we're increasing
20 number of positives. Month-by-month is increasing.
21 If you look at November, we didn't have any positives
22 in 2006. In 2007, they're having about 200

1 positives.

2 So the data clearly shows that by having
3 to -- by going to this system, we are finding a lot
4 more positives than before. And now we're amending
5 the data for the months, early months, in 2007, when
6 we did not -- were not using a single combo testing.
7 And in January, we are comparing 2006, 7, and 8,
8 February and March. So even in those months, we are
9 finding more.

10 So, to us, this is really good proof that
11 this system is working and that's one way of reducing
12 the risk to the public, by doing more intensive
13 sampling. Next.

14 Now, one of the issues, again -- you know,
15 public gets exposed to 0157 through ground beef. One
16 of the things that has really puzzled me is this
17 directive or this notice by FSIS, the way they define
18 ground beef sampling. It just defies logic. We just
19 cannot have a situation where we don't have
20 equivalency of -- you know, we can't tell people go
21 ahead, for trim, you are limited -- you know, your
22 lot cannot be more than five combos, 10,000 pounds,

1 and then for ground beef, where most of -- you know,
2 this is where the exposure is. They're telling them,
3 go ahead, define your lot. It can be entirely --
4 production, and, at the end, test 65 grams. That
5 really needs to be considered. Next.

6 Those are the rest of the elements of that
7 notice. Now, if an establishment is doing, as an
8 example, 150,000 pounds, one day of production, one
9 lot, clean up to clean up, and they decide to do one
10 sampling. Well -- next. And you compare that to the
11 same thing that someone who is testing trim is doing,
12 for trim, it is going to be if you are doing five
13 combo testing, 15 analytical units. If they are
14 doing single combo, 75 analytical units. They'll be
15 taking 60 samples for each analytical unit. So this
16 is 900 to 4,500 pieces for the same amount of meat.
17 They will be testing 5,625 grams of this product --
18 it is trim testing for *E. coli* 0157 -- versus 65
19 grams.

20 So, again, these are some of the issues
21 that you have to consider and also consider the
22 tremendous delusion factor that we have in ground

1 beef. Ground beef testing is not the best way to
2 find *E. coli* 0157. Trim testing beats ground beef
3 every single time, no matter what sets of data we
4 look at. Next.

5 We have been talking -- have been giving
6 lectures to leafy green -- up to 2007 the success
7 that we've had in the beef industry. The beef
8 industry had tremendous success in controlling *E.*
9 *coli* 0157. And this is the data that previously was
10 shown. This is FSIS ground beef testing data. And,
11 as you see, in 2002 versus 2003 there was a drop, and
12 that drop was maintained. That drop is attributed to
13 the fact that in 2003, the trim that was destined to
14 become ground beef was tested. So this drop is
15 because this is secondary testing. This is not
16 primary testing of ground beef.

17 This also shows that in the testing
18 programs that we have, statistically, we know that,
19 but this is, you know, this documents the fact that
20 there is a certain percentage of positives that run
21 under the radar. They cannot be detected. It's not
22 usually because of the method that we use. It's

1 because of the sampling. We need better sampling.

2 Next.

3 We've got to talk about the statistical
4 process control. I briefly mentioned that because we
5 use several signals for every test. And we look at a
6 sample after enrichment. We think this is a very
7 effective way of monitoring the process. Next. Next
8 slide.

9 Now, the difference between using generic
10 *E. coli* and the pathogen data for statistical process
11 control is that when you look at sets of data for
12 generic *E. coli*, they are most zeros. You cannot go
13 toward your process if 98 percent of your samples are
14 zero. In this situation, because we go through
15 enrichment, we increase them tremendously in numbers,
16 and then we use PCR to detect the signals, and we are
17 using the virulence factors. We are using STECs,
18 EHECs, *E. coli*, and *Salmonella* signals to control the
19 process. Next.

20 We have been doing it for carcass, for
21 tree, for ground beef, and for environmental samples.
22 And we view carcass indexing as an early-warning

1 system. So by the middle of the chill process, we
2 have the data that there was issue and loss of
3 control, partial loss of control during the process.
4 That can allow us to implement more control measures
5 as carcasses enter fabrication. Next.

6 These are the type of -- go back one. Go
7 forward. These are the type of data that -- daily
8 basis. Every day we chart. This is comparison of
9 establishment's performance. There are several
10 within the same group. And we also do trending on
11 monthly basis. Next.

12 Now, every day we count the number of
13 signals that we see, total number of signals in the
14 establishment samples, and we have this formula
15 translated to a point. And this is very high. This
16 is where we want it to be, in this area. At that
17 point, they have control over the -- they have full
18 control over the process. Above red, we are going to
19 call positives. But this is a situation where
20 working with the plant, they being aware of the fact
21 that they were -- they did not have process --
22 control of their process, they were pushing it down

1 considerably. So it is possible once you have these
2 types of indexes to react to them and push the
3 process down. Next.

4 We keep track of the two shifts. We have
5 shift A and shift B, and if you look at the process
6 control, this is shift A and that's shift B, same day
7 of production. In this case, the plant has to take a
8 different action. They know that they were having a
9 problem in one of their shifts. And in this
10 situation, it's going to be lack of supervision.

11 Then we have situations where both shifts
12 trend up together. At that point, we know that there
13 may be a mechanical problem, one of the
14 interventions, maybe hot water pasteurization is not
15 working, sprays are not working properly. So there
16 are some indexes that we use to draw different
17 conclusions. Next.

18 This is when we first implemented this
19 system in 2004, and this was one of our earliest
20 charts. We talked to the establishment. We told
21 them this is what you are going to get. This is what
22 it means. If it trends up, we are going to be in

1 trouble. We don't have control. For five days, we
2 were sending them e-mails that the process is not in
3 control. They popped 17 positives. And after that,
4 then they started paying a lot of attention to this.
5 Next.

6 This is another example of two shifts not
7 performing the same in the same plant and two shifts
8 going up together. Again, supervision issues and
9 mechanical issues. Next.

10 Another example of divergence between the
11 two shifts. Next.

12 Now, we always talk about the contamination
13 being surface-borne. We keep track of different
14 types of trim that we receive and we analyze. This
15 is data for low, lean content trim. So those are
16 fatty kind of -- 50 percent, 65 percent trim. And
17 here are the lean. And most of the signals come from
18 the 50 percent, 65 percent-type excess fat trim. So
19 this is a good example of how it's, you know, surface
20 contamination. Next.

21 This is carcass indexes and how they
22 correlate to trim indexes. Carcass was trending up.

1 Trim was going up. They had a positive here.

2 Another situation they had a positive. Next.

3 This is the good news. Once we use this in
4 a plant, these were -- this is two years' worth of
5 data and how their signals have come down, how they
6 have controlled the process. So if you are working
7 with them, giving them this data on a daily basis and
8 they pay attention to you, it's possible to control
9 the process. Next.

10 Keeping track of different types of trim
11 that we receive and assigning a risk-based index to
12 them, most of our risk comes from excess-fat trim.
13 This is about 20 percent lean, 80 percent fat. After
14 that, you go 50 percent, 60 percent. So the more fat
15 you have, the more likely you are going to have 0157.
16 We do have some plants that don't have some of the --
17 they are not behaving properly and we have -- peak in
18 one of these items. Next.

19 The issue of non-0157 STECs. And if you
20 remember, if you notice I put EHECs and STECs.
21 People don't seem to agree on terminology. So the
22 first thing I want the Agency to do, please, before

1 you do anything, define this organism for us. What
2 do you consider to be a pathogenic STEC? What are
3 the virulence factors that *E. coli* should have to be
4 considered to be a pathogenic STEC. If we don't do
5 that, we are going to be in heaps of trouble.

6 Shiga toxin-producing *E. coli* can be found
7 in beef supply, in any kind of food, any kind of
8 fresh food, up to 20 percent, okay? So we just don't
9 want to have false alarms, or we don't want to start
10 regulating something that we can't measure. We
11 definitely need a good regulatory definition, precise
12 molecular level so we know what is a pathogenic STEC.

13 The other problem is we don't have an
14 official method. So you are right. You -- develop
15 one, and this is very good to have an FSIS method
16 that everyone can use. There are no commercially
17 available test kits, rapid screening test kits -- we
18 need them for this industry. Next.

19 These are some of the serotypes that are
20 intimin-negative. Most of them cannot cause any of
21 this, cannot even cause simple diarrhea. Next.

22 These are the serotypes that are just as

1 bad as *E. coli* 0157. They can kill you. And the
2 problem is that they don't look any different than
3 any ordinary *E. coli*, and that has been our challenge
4 in trying to find out. Next.

5 These are the ones that could cause
6 diarrhea. They will not cause hemolytic uremic
7 syndrome. So if we want to consider something an
8 adulterant, it really has to be, you know, in the
9 0157 *Listeria*-type category, the ones that would
10 cause -- could potentially kill someone. Again, it
11 all goes in how we define this at a molecular level
12 so, you know, we know what we are looking for. And
13 this exemplifies some of the challenges that we have
14 with this group of pathogens. Next.

15 These are my own personal conclusions. In
16 the absence of an official method, I agree with you
17 guys. You know, we don't have a method at this point
18 you are going to be developing. At this point I
19 don't believe that we can consider these things an
20 adulterant. We just don't have the infrastructure to
21 do that.

22 I think that FSIS has taken the right

1 approach in planning to conduct a baseline study
2 dealing with the developing the methodologies and
3 validating it. Once we have a gold standard, then I
4 believe there will be a lot of commercial kits that
5 can become available -- and one thing for everyone,
6 as, you know, most of you know, is that the same
7 things that control *E. coli* 0157 are going to be
8 controlling the non-0157 STECs. So if you're
9 controlling the process, you can keep them out of
10 beef successfully in -- next.

11 Okay. This is a laundry list of some of
12 the issues that I wanted to bring out. First one,
13 four working days, guys. Why do we always have
14 recalls and outbreak-related issues 4 p.m. on
15 Fridays?

16 (Laughter.)

17 DR. SAMADPOUR: Please, start the moment
18 you know there is something going on. You know, the
19 slide show that are, like, on average -- David, you
20 had, what, nine days from the time you guys are
21 informed, okay? Add another 20 days that it took the
22 public health system to get to you, okay?

1 The system is too slow. We are not really
2 protecting the health of the public. By the time we
3 are recalling, most of the stuff is gone. You're
4 just counting the number of, you know, people who
5 have become ill. The sooner you involve the
6 industry, the sooner you talk, the sooner you
7 communicate, and even the right -- you know, ask the
8 right questions, you are going to be way ahead, and
9 we are going to be way ahead.

10 In one recall conference call, I was --
11 then I ask for epidemiological data so I can go in
12 and -- it and identify, you know, what we are talking
13 about. What should we recall. Was just blatantly
14 told, that has nothing to do with epidemiology. We
15 need precise data. We need to know where we have the
16 patients, when they got sick, all the information,
17 establishment -- that information to be able to
18 define the extent of contamination, to just have a
19 single recall, not go through rolling recalls. Okay.

20 Some of the things that we ask the industry
21 to do just don't make sense. Every single time we
22 have a positive 0157, the establishment is asked to

1 reassess. We have epidemiologists here. If someone
2 forced you for every single sporadic case in a
3 community to identify a source, can you do that?
4 It's impossible. Give me three positives, five
5 positives, ten positives in establishment, I can tell
6 you probably where they came from. Give me one
7 positive, there is no need to reassess the -- these
8 are unforeseen events. These things just happen. So
9 but the point is, when we ask them to do something
10 that doesn't make sense, they're not going to take
11 the whole thing seriously anymore. Okay.

12 I have been often asked on behalf of the
13 industry to provide statistical justification. If
14 I'm saying, okay, got to do this -- show us the
15 statistics. Now, I want to emphasize to do the same
16 thing. How would we justify when we ask people do
17 modification by quarterly samples? Where is the
18 statistics behind that? What is it going to do?
19 Especially forcing it on very small establishments
20 that don't have the means even to do something like
21 that.

22 The last point is you guys also need to

1 start talking to each other. The equivalency program
2 that we have for other countries is not --
3 equivalence. It's very simple. If we are asking a
4 establishment in this country to define lot of trim
5 as no more than 10,000 pounds, can we go to another
6 country and say your entire day of production can be
7 one lot and then get containers that have five days
8 of production with one certificate of analysis. And
9 if you have a positive or if it results in an
10 outbreak, we are going to have recalls that would
11 make the top recall look like a joke. So we really
12 need to kind of like -- to adhere to same principles
13 and applied uniformity. Next.

14 This has been a pet peeve for a lot of
15 people. Some of the worst cross-contamination
16 situations that I have seen happens by FSIS
17 inspectors or in-plant personnel. We need to provide
18 uniformed training. There is no excuse for having a
19 knife into every organ, one after another on a gut
20 table and not sanitizing it; no excuse for going from
21 the live side to fab without changing.

22 These are some of the issues that have

1 been, you know, people have been talking about it,
2 but let's bring them up. Actually, you know, we are
3 going to have -- we have a level of cross-
4 contamination that can be due to that.

5 We consider your hazard matrices. We have
6 a situation that, you know, say, okay, if it has the
7 highest volume, that's where I'm going to concentrate
8 on that. Some of the low volume items, some of the
9 variety meat are more -- than anything I've seen. I
10 mean, they're smoking hot with regards to 0157. So
11 we consider that. See -- look at the process and see
12 where we can -- where we have products most likely to
13 be contaminated as -- rather than the volume.

14 Don't punish plants that are looking for --
15 and are finding it. Worry about the ones that don't
16 have any. Simple. If we look, if we look hard, we
17 are going to find it. Our intent is to find it and
18 control the product, not send the contaminated
19 product to the market. That's what we want to do.
20 Don't take punitive actions for those plants. If
21 someone doesn't have any positives, start paying
22 attention.

1 Provide guidance when guidance is due. I
2 still don't have a regulatory definition for *E. coli*
3 0157. It can be defined in so many ways, it's not
4 even funny. But we really badly need a molecular
5 definition: *E. coli* 0157, the adulterant, should
6 have these virulence factors. If it's a non-
7 pathogenic *E. coli* 0157:H7, how am I supposed to
8 eject the load? If it's a pathogenic 0157-H-, am I
9 supposed to release the load to the market?

10 Again, clearance -- two more points. I'll
11 be off.

12 (Laughter.)

13 DR. SAMADPOUR: You have some guidance but
14 I have --

15 UNIDENTIFIED SPEAKER: I think you said
16 enough.

17 (Laughter.)

18 DR. SAMADPOUR: An attorney with a heart.

19 (Laughter.)

20 DR. SAMADPOUR: I know what to do when I
21 have one positive. I know what to do when I have
22 two, three, four, five positives. When I have 20

1 percent positive, 15 percent positive, you know, I
2 usually spend a whole day to -- there's a gray area,
3 8 percent, 9 percent, 10 percent, 12 percent. You
4 need to define that. You need to define that for
5 small establishments, medium-size establishments, and
6 large establishments. Have in mind that small
7 establishment may have four samples a day. So having
8 one positive is 25 percent. That's why you separate
9 them. Next.

10 For God's sake, do something about this
11 one. The so-called third-party audit has become more
12 of a joke. I have not seen any recall situations,
13 any plant that has been in trouble that doesn't have
14 several of these things with 96+ scores, okay? We
15 need uniformity. If a company is not a certification
16 body, they have no business doing auditing. So let's
17 tighten that. It has become a deterrent. We have
18 plants that are getting audited on a weekly basis.
19 It actually deters from, you know, the attention of
20 trying to -- process. Next.

21 We have put all the emphasis on the
22 producers, on slaughter operations, to a point that

1 they're using every intervention possible in the
2 market and they are using several that have no
3 effectiveness and they're just using it for the
4 psyche.

5 Grinders. They are the recipient of
6 slaughter operations process failures. They have no
7 control over their processes. They receive loads if
8 it's a negative certificate of analysis, and then
9 they have an outbreak. We need to do something. We
10 need to help the grinding operations. Next. Next.
11 Go ahead.

12 The process control that we have, all the
13 interventions, they have a capacity. If we have
14 cattle entering the process highly contaminated, it
15 can exceed the capacity. That's why we have process
16 failure. It's time to pay more attention to pre-
17 harvest.

18 And last, not the least, we ask the
19 processors to do all the interventions, all the
20 testing, all the controls, and no one wants to pay
21 for it. This is becoming a big issue, has been a big
22 issue. The industry is hurting. Very few people are

1 actually in the black, and we keep asking them to do
2 more. Retailers have failed to assign a premium to
3 beef safety and actually pay for that. In the
4 absence of retailers doing this, we may need some
5 level of government legislation that would give the
6 processors tax credit or incentive for food safety
7 efforts.

8 DR. GOLDMAN: Thank you very much
9 Dr. Samadpour.

10 Our last speaker for the morning session is
11 Mr. Bill Marler, who is, as many of you know, an
12 accomplished personal injury and products liability
13 attorney. He began litigating foodborne illness
14 cases back in 1993, when he represented one of the
15 most severely injured survivors of the Jack-in-the-
16 Box outbreak in the northwest. He travels several
17 days per month speaking to food industry groups, fare
18 associations, public health groups about foodborne
19 illness litigation and the issues surrounding it, and
20 he writes frequently on foodborne illness and has, I
21 think, a blog on his website devoted to that.

22 He is a graduate of the Seattle University

1 School of Law and has recently become the law
2 school's lawyer-in-residence. He received
3 undergraduate degrees from Washington State
4 University and was recently awarded Seattle/King
5 County Bar Association's outstanding lawyer award for
6 2008. He was chosen by the attorneys in the State of
7 Washington as a "super lawyer" and received an AV
8 rating from Martindale-Hubble and is listed in the
9 Bar Register of Preeminent Attorneys. And we are
10 very pleased to have him join us today to talk to us
11 about his experience in dealing with *E. coli* 0157:H7.

12 MR. MARLER: Does anybody want to stand up?
13 You can stand up while I talk. Go ahead. Stretch.
14 Can I have the next slide just while we're --

15 I don't know if anybody can read this. But
16 I get a lot of e-mails, and some of them aren't that
17 flattering, and I thought I'd read one that I got.
18 I'm having a hard time reading this one myself. It
19 was from a FDA food code instructor. And the comment
20 was: "So where did they get that virus, lawyer? Of
21 course, you will tell them it was a restaurant when,
22 in fact, most infections of foodborne illness are

1 from the customers' own filthy homes. Yeah, that
2 unfortunate fact doesn't suit the law profession, but
3 I assure you, people like myself in hospitality and
4 certification are doing our best to put people like
5 you out of business; first and foremost for the
6 customer's safety; secondly, because once it would be
7 nice to take food out of a lawyer's mouth. Sort of
8 ironic. You shut down restaurants. I shut down
9 lawyers (laugh out loud). Have a bad, bad day, you
10 parasite."

11 (Laughter.)

12 MR. MARLER: So, first of all, I want to
13 thank FSIS for inviting me to address this esteemed
14 group today. I'm impressed that the FSIS, CDC and
15 industry are addressing many of the food safety
16 challenges we are all facing today.

17 Your agenda is ambitious, including how to
18 explore the challenges of addressing 0157:H7,
19 including illness and recall trends, to discuss FSIS
20 plans to being short-term testing of non-0157 Shiga
21 toxin-producing *E. coli*, and probably one of the more
22 controversial issues is to discuss evidence that may

1 support the determination that raw ground beef
2 products such as primal cuts and box beef
3 contaminated with *E. coli* 0157 are adulterated. This
4 is ambitious, but it's very important.

5 I've been at this for a long time, some to
6 this group's dismay. But in January of 2007, I wrote
7 an op-ed commenting on something J. Patrick Boyle,
8 the president and chief executive of the American
9 Meat Institute had written to the New York Times,
10 regarding an article that had been written called,
11 "100 Years Later, the Food Industry is Still the
12 Jungle."

13 Mr. Boyle wrote, "Since 1999, the incidents
14 of 0157:H7 in ground beef samples tested by USDA had
15 declined by 80 percent to a fraction of a percent, a
16 level once thought impossible."

17 I agree with Mr. Boyle. *E. coli* illnesses,
18 especially those tied to red meat consumption, were
19 down, way down. A report in 2005 released by the
20 CDC, in collaboration with the FDA and USDA showed
21 important declines in foodborne illness due to common
22 bacterial infections. From 1996 to 2004, the

1 incidence of 0157 decreased 42 percent.

2 Interestingly, we saw the same results in
3 our law firm. From 1993, the Jack-in-the-Box
4 outbreak, to 2002, ConAgra, 95 percent of the cases
5 in our office were *E. coli* cases tied to red meat
6 consumption. After 2002, we saw an enormous drop in
7 clients and, most importantly, ill people nationwide.
8 That's important. Recalls fell to nothing.

9 I agreed with Mr. Boyle despite the fact
10 that since 1993 most of my clients had been sickened
11 by tainted meat. In fact, between 1993 and 2002, I
12 took over \$250 million from the meat industry in
13 verdicts and settlements, on behalf of my clients,
14 mostly children, with kidney failure caused by
15 consuming *E. coli* contaminated hamburger.

16 In February of 2007, just a few months
17 after suing the spinach industry on behalf of people
18 sick and then killed by eating *E. coli*-contaminated
19 lettuce, I was surprisingly invited to Salinas,
20 California to attend a spinach luncheon. I told the
21 leafy green industry to follow the beef industry's
22 example. However, within a few months, my words

1 began to haunt me, as reports of meat recalls,
2 outbreaks, and illnesses started hitting the news.
3 First, it was some sick little leaguers from Sonoma.
4 Then some folks in a bar in Pennsylvania.

5 By June of 2007, it began to look and feel
6 a lot like late springs and summers from 1993 to
7 2002, when hamburger recalls and *E. coli* illnesses
8 were a large part of every summer, much like
9 vacations and baseball season. Kids were getting
10 sick, and my phone was ringing. An area of my
11 practice that I thought was gone had pulsed back to
12 life.

13 No sooner could I get my lawsuit ready
14 against AFG in California then the now bankrupt Topps
15 recalled 21 million pounds of meat, and a food giant,
16 Cargill, another 2 million pounds. I was suddenly
17 back in the beef business.

18 Speaking of Topps for a second, in late
19 August and early September of last year, a teenager,
20 who is now my client, became ill after consuming
21 frozen boxed preformed patties. Within days, she
22 suffered HUS. Florida health officials would

1 eventually link her illness by PFGE to leftover Topps
2 product in the family's freezer. But the recall was
3 not called for weeks later after several other people
4 consumed and became ill with that tainted hamburger.
5 Why? Because FSIS and the industry had an
6 understanding that a recall was not necessary because
7 the only -- there was only one illness and the
8 leftover hamburger came from an open packet.

9 Interestingly, that was the same set of
10 facts that occurred with Topps in 2005 when a product
11 nearly killed an upstate New York 10-year old. I am
12 pleased that the open box of meat understanding is no
13 longer the rule. One wonders if a recall in 2005
14 would have alerted Topps to issues in its plant
15 foreign suppliers. One wonders if a recall earlier
16 in September of '07 would have saved both the company
17 and several ill people.

18 But, of course, I fear the downturn from
19 2002 and 2006 was not -- was too good to be true.
20 Over the last several months, *E. coli* has certainly
21 returned, and there is only one person in this room
22 who benefits from that. The last half of 2007 showed

1 a substantial increase in the volume of recalls and
2 illnesses in any year since 2002.

3 We've seen those statistics, but I'm
4 just -- by way of comparison, 156,000 pounds of meat
5 recalled in eight recalls; 2007, over 30 million
6 pounds of meat was recalled in 21 recalls. Well over
7 100 people sickened, some developing acute kidney
8 failure. Most have contacted me. There are several
9 *E. coli*-related deaths that may eventually link to
10 hamburgers. Lawsuits have been commenced on behalf
11 of victims of HUS against Interstate Meats, Nebraska
12 Beef, United Food Group, Topps, Cargill, Fresno Meat,
13 and Rochester Meats.

14 There as many theories as to why the uptake
15 in *E. coli* exists as there are authorities,
16 researchers, meat packers, and at least one trial
17 lawyer. Over the past couple of months, I've talked
18 to them and theories abound. And I think Mansour did
19 a great job of not only stealing a little bit of my
20 time by sort of laying out what the theories are, so
21 I won't go over those except to say that I think
22 there is still -- I still believe that there is a lot

1 of work to be done to try to figure out why we saw
2 this uptake in '07. I think that's important to sort
3 of get our hands on, and I commend the FSIS for doing
4 exactly that.

5 One other issue facing not only the meat
6 industry, but all of us, is the extent to which non-
7 0157 *E. coli* may be present in food products FSIS-
8 regulated or not. It is clear that non-0157 Shiga
9 toxin-producing *E. colis* have emerged as a public
10 health issue. Some non-0157s possess the same range
11 of virulence factors as 0157 and are capable of
12 causing serious illness or death. Numerous
13 serotypes, including all that have been mentioned
14 today, have been identified as a foodborne illness
15 risk diseases. I've seen their nasty work, not only
16 in the Dole spinach outbreak, but also in an outbreak
17 involving a Wendy's in Utah.

18 Since 1990, 13 outbreaks of 0157 *E. coli*
19 have been reported in the U.S., Fortunately, so far,
20 none from me. While 0157 is a principal isolate here
21 in the United States, isolates of non-0157 Shiga
22 toxin predominate in other countries, including

1 several of our beef trading partners like Australia,
2 Brazil, and Canada.

3 I will leave all of this to the scientists
4 and the public health officials to sort out.
5 However, perhaps one needs to look no further than
6 the Federal Meat Inspection Act and look at the term
7 adulterated for an answer. That act reads, "A
8 product is adulterated if it bears or contains a
9 poisonous or deleterious substance which may render
10 it injurious to the health."

11 If non-0157 *E. coli* fits the bill, then, to
12 me, that answers the question. However, not to raise
13 a whole bunch of other issues, well, what do you do
14 with *Salmonella*, *Listeria*, *Campylobacter*, and
15 *Shigella*, especially those with particular virulence
16 and antibiotic resistance.

17 One thing to remember. Whether a product
18 is considered to be an adulterant under the FMI or
19 not, if a food product contains a bacteria or a virus
20 that sickens or kills, civil liability can and often
21 will attach. My vote is to simply get pathogens out
22 of your product.

1 This leads me to the final and likely most
2 controversial issue facing this room today. Should
3 primal cuts and boxed beef contaminated with 0157 or,
4 for that matter, any pathogen, be considered
5 adulterated? This is both a complicated and a simple
6 issue, one that we have seen -- had some experience
7 in this room first-hand with the now infamous
8 Kriefall versus Sizzler -- case.

9 In part, that case was fought over the
10 desire of the meat industry to hide from liability on
11 behalf of the victims of the contaminated product.
12 But more to the point, an appellate court decided
13 that an intact cut of meat is, in fact, adulterated
14 if it is contaminated with 0157:H7 and the seller
15 knew it was to be further processed, and in that
16 instance needle tenderized in the restaurant.

17 A little more history. That non-
18 intact/intact distinction was first introduced in
19 1999 FSIS policy statement that the meat industry had
20 long pushed as a way of deeming 0157 an adulterant
21 only in ground beef and other non-intact meat not
22 further processed in a federally-inspected facility.

1 This was only a policy statement but has since been
2 treated as if it was the rule.

3 This was further elaborated on in an
4 FSIS -- in an October 2002 policy statement issued in
5 response to the ConAgra outbreak and recall.
6 Notably, what was never clarified was whether this
7 rule was really meant to apply to meat that was
8 intact when it left the plant or, instead, meat that
9 only reached the consumer as intact. As such, the
10 meaning of further processing was never explicitly
11 said to apply to processing that happened in retail.

12 Meanwhile, the meat industry has
13 consistently operated under the assumption that 0157
14 can only -- can be on anything that is intact when it
15 leaves the meat plant. Indeed, in the last several
16 years, meat processors have started using disclaimer
17 statements to introduce meat that is possibly
18 contaminated with 0157 into commerce.

19 There should be either zero tolerance or
20 not, but as it currently exists, the non-intact meat
21 rule is the exception that swallowed the rule. It is
22 a loophole you could drive a caravan of trucks

1 through. This issue needs to be resolved.
2 Purchasers, retailers, and consumers need to know the
3 score. We should not allow a tragedy as the one that
4 killed Carolyn Hawkinson and sickened members of a
5 church and patrons of a restaurant in upstate
6 Minnesota to occur again.

7 Perhaps the facts of this case show the
8 problems of this intact/non-intact meat issue. It
9 began in the summer of 2006 in the small town of
10 Longville, Minnesota. A local grocery store,
11 Tabaca's (ph.) received in late June of 2006 another
12 shipment of chuck roll, this time 1,900 pounds, from
13 its supplier middle man, a company named Interstate.
14 The chuck rolls, as usual, were ground into
15 hamburger. The church bought 40 pounds of the
16 hamburger.

17 The chuck rolls originated from Nebraska
18 Beef, produced in mid-June. About the same time
19 those chuck rolls were produced, Nebraska Beef --
20 FSIS found an 0157 positive sample in trim, a
21 positive that would eventually link by PFGE Nebraska
22 Beef to the ill-fated church picnic. That trim was

1 either destroyed or sent to further processing.

2 The chuck rolls were shipped, but this time
3 the invoice was labeled, "Non-ground beef items are
4 not intended for ground beef." From the invoices
5 we've seen from this litigation, this was the very
6 first time this warning had been placed on any
7 invoice at any time where chuck rolls were sold.
8 Hmm. To borrow a well-used phrase in this town, it's
9 going to be interesting to know -- for them to know
10 when they knew it and why they knew it. Nebraska's
11 Beef to all of it -- response to all of this?
12 They've sued the church. FSIS response to this? We
13 withheld the name of Nebraska Beef from the
14 Minnesota Department of Health. We got the
15 information, obviously, through the litigation.

16 In conclusion, not too long ago, I wondered
17 if the beef industry had actually wisened up and was
18 about to put me out of business of representing
19 people they made sick. After a decade of nearly
20 continuous outbreaks of deadly 0157:H7, from Jack-in-
21 the-Box to ConAgra, the beef industry suddenly did
22 clean up its act. That would have been good news for

1 all Americans, especially young children who are most
2 vulnerable to foodborne illness. It would also have
3 been good news for the beef industry. And, believe
4 it or not, it would have been good news to a lawyer
5 who would prefer never having to see another three-
6 year old child hooked up to a kidney dialysis
7 machine.

8 DR. GOLDMAN: Thank you very much,
9 Mr. Marler for that interesting discussion. At this
10 point, we are a few minutes behind. I want to invite
11 the morning's presenters up. We now are at the point
12 where we have allotted 30 minutes for your questions.
13 Remember we do have people on the phone, so we'll
14 include them as well. So we'd like for Mr. Marler to
15 stay up here.

16 MR. MARLER: Oh.

17 DR. GOLDMAN: If you will, and Drs. Tauxe,
18 Hagen, and Engeljohn to join us here. And as a
19 reminder, please come to the two microphones we have
20 in the room or else, when you're on the phone,
21 identify yourselves by name and as well by your
22 affiliation. And for these comments and questions,

1 if, of course, you have a particular question for one
2 of our presenters, please, direct it to that person.
3 But we'll be sure to include time for discussion by
4 other of the panelists as well.

5 Okay. Let's start with in the room.
6 Felicia. Go ahead.

7 MS. NESTOR: Hi, Felicia Nestor from Food
8 and Water Watch. I'll save all my appreciative
9 comments for the comment period because I know this
10 is short here.

11 Dr. Goldman, I was happy that you talked
12 about the trace-back in terms of epidemiological
13 cases, but I really wish that the Agency had had a
14 whole section or at least a presentation on trace-
15 back when there is no illness. According to my
16 calculations, there are about 933 times the amount of
17 product that was recalled after people got sick as
18 there was after there was an FSIS testing. That's
19 almost 1,000 times more product after people got
20 sick.

21 Now, I think it's terrific we're using
22 epidemiology to recall, but I think that the Agency

1 should be more proactive in response to its
2 positives. Looking at the Agency's trace-back
3 samples, the trace-back samples are all -- the number
4 of plants that have been traced back, according to
5 FSIS' data, has always been less than the number of
6 positives. So that means in a number of cases,
7 you're not taking trace-back samples when there's a
8 positive.

9 And, Dr. Goldman, in your presentation, you
10 talked about, what was it, 10 of the 11 plants were
11 small I think?

12 DR. GOLDMAN: Yes.

13 MS. NESTOR: And I was just wondering, you
14 didn't mention how many of those were those that
15 slaughtered, those that slaughtered and processed
16 other grind -- other products, and those that only
17 processed. Looking at the recall data, it looks to
18 me that it's generally about 50 percent of the plants
19 that only process that are subject to a recall, which
20 means in 50 percent of the cases, you're not getting
21 back to the slaughter plant.

22 Dr. Samadpour -- and I hope I'm pronouncing

1 that correctly -- you put up the slide with the
2 number of FSIS tests and showed the dramatic drop
3 between 2002 to 2003 through 2006, and I'm just
4 wondering whether you controlled for the fact that
5 prior to 2003, the Agency was processing all of the
6 samples that it took, whereas in 2003, it was only
7 processing samples that had been pretested or that
8 many of the -- yeah. It would not process a sample
9 that had been pretested by the industry and had
10 flunked the test. So, basically, in 2003, the Agency
11 started only testing the A students to find out how
12 the educational system was working?

13 DR. SAMADPOUR: That was the point of that
14 slide that I made, that everything that was tested in
15 2003 on has been -- had been tested once. The trim
16 had been tested, so this is taken --

17 MS. NESTOR: Yeah, I mean, given that, I
18 would -- it's unfortunate that we found any positives
19 since it was only on the second --

20 DR. SAMADPOUR: It's not -- it's not
21 unfortunate.

22 MS. NESTOR: -- on the second --

1 DR. SAMADPOUR: It's statistics. You
2 cannot collect a sample that fully represents a lot.

3 MS. NESTOR: Well, that -- yeah.

4 DR. SAMADPOUR: That's the issue that I
5 raised.

6 MS. NESTOR: Okay.

7 DR. GOLDMAN: Thank you. Excuse me. We're
8 going to have to do one question per questioner --

9 MS. NESTOR: Uh-huh.

10 DR. GOLDMAN: -- at least. And then you
11 can recycle from the back.

12 MS. NESTOR: Okay. Let me ask one more
13 question, okay? Have you ever, have you ever
14 correlated the N-60 per combo with purge sampling and
15 compared that to purge sampling with N-60 for five
16 combos? And that's my last question. Thank you.

17 DR. SAMADPOUR: I have not done that, but
18 purge sampling is not practical. It has been
19 mentioned several times. Some people believe that
20 purge is more contaminated than the trim. But it's
21 not practical. By the time we have purge is when --
22 dump the product in the grinder. Many of the types

1 of things that we use don't purge or don't purge in
2 the short time that we have that we are taking our
3 samples. So purge sampling is absolutely not
4 practical.

5 DR. GOLDMAN: Dr. Raymond?

6 DR. RAYMOND: I just want to clarify that.
7 That is not a statement from the USDA that purge
8 sampling is not practical. It will come up for
9 discussion this afternoon and it's something that we
10 are looking at and considering, number one. Number
11 two, for the record, Felicia, when you say 50 percent
12 of the recalls were processing-only plants so
13 therefore we didn't get back to the slaughter
14 facility. Actually, twice that I know of and there
15 may be more, but I know twice we did get back, did do
16 the trace-back successfully, and that, then, results
17 in another recall. So there was at least one -- two
18 recalls -- and then there was the -- of beef up in
19 Canada.

20 DR. GOLDMAN: Okay. Thank you. I'm going
21 to alternate between the two microphones, and then
22 we're going to add someone.

1 MR. KOOHMARAIE: I can speak loudly. I'm
2 addressing --

3 DR. GOLDMAN: Oh, go ahead, and identify
4 yourself and your affiliation, please.

5 MR. KOOHMARAIE: Mohammad Koohmaraie, USDA/
6 ARS. Question for Dan. You are setting the test
7 for --

8 DR. GOLDMAN: Come up to a microphone,
9 yeah.

10 MR. KOOHMARAIE: All right.

11 DR. GOLDMAN: You can still alternate.

12 MR. KOOHMARAIE: Mohammad Koohmaraie, USDA/
13 ARS. Question for Dan. As you are beginning to do a
14 baseline for non-0157, you said correctly that if you
15 find it to be a 0157:H7 positive, you will look for
16 non-0157. And the second part is what bothered me.
17 You said if the sample was negative, you will hold
18 it, and then you will test it for non-0157? It's
19 undoubtedly sooner or later you will find a positive,
20 and what will you do in that case?

21 DR. ENGELJOHN: This is Engeljohn. To
22 respond to that, the -- as I had on the slide, the

1 Agency's intention at this time is to gather the
2 information that we're getting into negative samples.
3 And at this time, it isn't our intention to recall
4 that product or to pull it out of the marketplace
5 although now is the time in which we're taking input
6 on this issue and considering where we're going with
7 this particular issue. But at this time, we're
8 considering taking those samples and then analyzing
9 them at a later period simply because we are
10 analyzing our regulatory programs in the FSIS
11 testing, and so it's a matter of how we prioritize
12 that test.

13 MR. KOOHMARAIE: But sooner or later you're
14 going to find a sample that's in the commerce that's
15 positive for non-0157. Thanks.

16 MS. WARREN: Wendy Warren, Food Safety Net
17 Services. My question is for Dr. Samadpour.

18 This is regarding the early detection or
19 early warning system and directing it towards process
20 control, which I completely agree with. The closer
21 that we can get to the process and the faster we can
22 get the feedback into the process loop, the better.

1 In fact, that's the major justification for
2 microbiological testing is to have good feedback and
3 drive process control and, ideally, process
4 improvement.

5 However, the major key to having that early
6 warning system is very much surrounding the
7 performance criteria of the test methods that you're
8 using to include the enrichment and detection
9 process. Most importantly in my mind is what is the
10 limit of detection? And I wondered if you might
11 provide your opinion to the industry with regard to
12 what that limit of detection should be?

13 DR. SAMADPOUR: Limit of detection, as
14 stated in IC -- position should be somewhere around 1
15 CFU per 25 gram. If you want to further take this --
16 expand on that, you know, we had the previous FSIS
17 method, which stated 1 in 25 gram. It was posted, or
18 the document had the title and it was presented as 1
19 in 65 grams. The current method that emphasized the
20 new enrichment that emphasized -- states that the
21 limit of detection is .23 CFU gram in a 25-gram
22 sample that equates to 5.785 CFU per 25 grams. There

1 is one company that took the 65 -- 1 CFU in 65 and
2 put that on their specification, and that is another
3 producer who is asking for 1 CFU in 375 grams. In
4 short, the whole thing is a mess. But the regulatory
5 guideline that we have right now, they emphasize --
6 stands at 5.75 CFU in 25 grams now.

7 MS. WARREN: And is that what you believe
8 it should be at?

9 DR. SAMADPOUR: For a microbiologist, you
10 can't really say it's 1 CFU, because if you -- 1 CFU,
11 70 percent, 80 percent, or maybe 60 percent of your
12 samples do not receive anything. So, you know, all
13 we can say, it is somewhere in 1 to 5 or 1 to 5 CFU
14 in 25-gram sample has been established to be what
15 people have been asking for, or regulators have been
16 asking for. Whether we have to make it more
17 sensitive, is it possible? Yes. Whether it's
18 needed, I am not sure, because, I mean, I don't think
19 that you're ever going to have only 1 live cell in --
20 on a piece of meat. You have to look at the source.
21 The source is fecal material. These things are
22 loaded. It's not there -- if it is there, usually,

1 it's large numbers.

2 So what we have right now I think is
3 sufficient. Most of the measures that are available
4 actually are more sensitive than the regulatory.

5 MS. WARREN: Thank you.

6 DR. GOLDMAN: Thank you. Let me check with
7 our operator, see if we have any questions on the
8 phone.

9 OPERATOR: -- no questions on the phone
10 line.

11 DR. GOLDMAN: Okay. Thank you. So we'll
12 go back.

13 MR. MAIER: Thank you. My name is Wolf
14 Maier from the European Commission. I was very
15 interested to hear once again how strongly results
16 are affected by the methods you use and by the
17 sampling methods you use. So I wonder -- I mean, we
18 are all interested in -- best practices. And so I'm
19 asking you whether you have any abilities right now
20 to discuss these -- and sampling methods and
21 analytical methods also in CODEX or in multi-lateral
22 setting so that we can have, I don't know, possibly a

1 harmonized approach at least that people are aware of
2 best practices and so we can adjust and learn from
3 this data. I think this is very interesting.

4 DR. RAYMOND: Wolf, yes, we do intend to do
5 this, and the fact is, I just was in Canada yesterday
6 and the day before for the quadrilateral meeting with
7 Australia, New Zealand, and Canada, and beef was
8 one of -- top of the issues. And during that
9 conversation, the quads, the four leaders, the four
10 principals that were there, said our next step needs
11 to get with the EU in D.C. and have a discussion
12 there which will filter in a CODEX -- to get your
13 commission on board with us.

14 MR. MAIER: Thank you.

15 DR. GOLDMAN: I would just add -- this is
16 David Goldman. On the domestic front, we, the
17 Agency, have asked our National Advisory Committee on
18 Microbiological Criteria for Foods to help us with an
19 approach to new methodologies to include sampling and
20 testing methodologies. So that's an active sub-
21 committee of -- underway right now.

22 MR. SMITH: Tom Smith. I'm a small-size

1 processor, and by looks of all the ties in here, the
2 processors aren't too well represented today. Is
3 this cutting in and out? At any rate, my compatriots
4 here to the right and I represent HFX, and we don't
5 know what it's like to have a sickened by *E. coli*,
6 but we went through a recall last summer that was
7 part of what's going on here, and so we know what
8 hell was like.

9 I guess my comment is, Dr. Samadpour, I got
10 some enjoyment out of your words because you cannot
11 come in -- FSIS cannot come into a plant and rip the
12 place apart by a positive testing. It provides
13 disincentive for us to cooperate at all even though
14 we know it's probably the right thing to do.

15 The other issue is, there is a pinch-point
16 here, and we're in the middle of it. We get invoices
17 from major packers that say, "This -- beef is not for
18 trim." Well, on the other hand, we're receiving
19 information, according to best practices, that you
20 need to test more.

21 Two issues. If you're running a big meat
22 packing plant, do you want to sell us beef knowing

1 that we're testing more than people down the street?
2 That's a ridiculous dichotomy there. The other thing
3 is, is the -- where am I at here? I do have a
4 question here.

5 My number one -- the number one point is
6 that we're willing to do what we need to do to help
7 this situation, but putting us in a situation where
8 we cannot win does nobody any good. And we're a
9 small processor by numbers-wise, but there's a lot of
10 us. And, you know, getting invoices that say we
11 can't grind this product, I don't know where that
12 leaves us. And, also, to tear apart our -- by one
13 positive is a complete disincentive. So, you know,
14 that really is a comment more than a question. I'll
15 leave it at that.

16 DR. GOLDMAN: Thank you.

17 MS. BUCK: Did you want to check the phone
18 lines again, see if anyone is --

19 DR. GOLDMAN: We'll go to you and then
20 we'll check.

21 MS. BUCK: Okay.

22 DR. GOLDMAN: Thank you.

1 MS. BUCK: My name is Patricia Buck, and
2 I'm with the Center for Foodborne Illness, Research,
3 and Prevention. And Barbara Kowalcyk, if she were
4 here, would have a lot of questions to ask about the
5 discussion that was raised about the methods and the
6 statistical information that has been already
7 presented. And, hopefully, she'll get on the phone.

8 What I'm interested in as a consumer
9 representative is I look out there into the sea of
10 what we need to do, and I see we need trace-back, I
11 see we need a better way of coming up with
12 identifying what products or what processes cause
13 contamination, but I also see a big gap in our public
14 health systems and the way the states report to just
15 the very basic information to CDC. And I was
16 wondering if Dr. Tauxe could comment on what are the
17 types of things that are needed to improve not only
18 the capabilities of FoodNet and OutbreakNet and
19 PulseNet, but also in getting a reporting system that
20 will really allow the people to have greater access
21 to your leadership at CDC?

22 DR. TAUXE: Thank you for the question. I

1 think our public health surveillance system in
2 this -- multi-party process that involves counties
3 and county health departments and states and state
4 health departments, and it involves the national-
5 level agencies, CDC and persons within the regulatory
6 agencies as well.

7 And PulseNet was a tremendous -- in
8 systematizing and standardizing collection of
9 information about themselves and subtyping. I think
10 it has driven an approach that's been really
11 transformative. We're only part way there with
12 coming -- with having similar levels of precise
13 information-gathering and standardization on the
14 epidemiological side. And that's a long-term process
15 that involves a lot of different agencies and
16 counties and states agreeing to collect more uniform
17 information and to collect more information, which is
18 something that they are not resourced to do.

19 I think that increasing the inter-
20 comparability of the information collected from
21 different counties or different states is the
22 direction that public health surveillance is headed

1 in, and it's something we're very much only part way
2 there.

3 MS. BUCK: Well, thank you very much. But
4 I think, specifically, what I was interested in,
5 right now, the way the situation is, the CDC has no
6 authority if they suspect a outbreak to actually do
7 the investigation unless the state requires it. Is
8 there any way that we can get the CDC to act more
9 swiftly either through FSIS regulation, FDA
10 regulation, or somebody, so that when these things
11 emerge you have the authority to help with the
12 investigations.

13 DR. TAUXE: I think you're talking about
14 the evaluation of clusters and PulseNet identifies
15 clusters --

16 MS. BUCK: Um-hum. Yes.

17 DR. TAUXE: And the evaluation of them,
18 again, begins right with the local or the state
19 health department, wherever that cluster might be
20 first identified. The first step is rapid
21 communication with them. You're correct that
22 authority for surveillance, notifiable disease

1 surveillance rests with the states, not with CDC, and
2 CDC is a voluntary participant in surveillance
3 activities. And that's the structure of
4 surveillance --

5 MS. BUCK: Okay.

6 DR. TAUXE: It's voluntary, not mandatory.

7 MS. BUCK: All right. Okay. Thank you.

8 Thank you very much.

9 DR. GOLDMAN: Thank you, Ms. Buck. Let me
10 check with the operator again. Do we have any
11 callers who have questions?

12 OPERATOR: We do have a question on the
13 phone line. The question is from Barbara Kowalcyk.
14 You may ask your question. And, please, state your
15 organization.

16 MS. KOWALCYK: Hi, my name is Barbara
17 Kowalcyk, and I'm with CFI. I just had a couple
18 quick comments and one question. I'm going to save
19 my question --

20 First of all, I'd like to commend the
21 Agency --

22 DR. GOLDMAN: Barbara, Barbara. Excuse me.

1 MS. KOWALCYK: -- because I think it's a
2 very good meeting, and I think it brings up some very
3 important issues. My main question is, as we know
4 that in the past there have been legal issues that
5 have arisen when the Agency has tried to act -- take
6 action against plants that failed to meet the
7 *Salmonella* performance standards.

8 In declaring a 0157 an adulterant across
9 the board, will the Agency have the legal authority
10 to do that so that it can -- so that it will not face
11 the challenges it did in both the Supreme Beef and
12 Nebraska Beef cases.

13 DR. RAYMOND: Barbara, Dr. Raymond. First
14 of all, I'm glad to hear you listened to your mother.
15 She said you would call.

16 (Laughter.)

17 DR. RAYMOND: And we have researched it and
18 do believe the legal authority, yes.

19 MS. KOWALCYK: Okay. Well, what exactly
20 leads you to believe that you have the legal
21 authority? I mean, I know that -- not that I don't
22 believe you. It's just that it seems that in the

1 *Salmonella* case, the Agency probably thought that it
2 had the legal authority to enforce the performance
3 standards, but then the judicial system disagreed.
4 And I'm just very concerned that if we go down this
5 path, which I think is a very good one, that we don't
6 get caught up in that type of situation again.

7 And, by the way, it's very, very
8 difficult -- I don't know about others on the phone,
9 but it's very difficult for me to hear anybody on the
10 panel respond.

11 DR. RAYMOND: Okay. We'll try taking care
12 of that last issue. The first question you raised,
13 what makes me think we have a legal opinion is it's
14 the attorneys that work for us that tell me we have
15 the legal authority. And, you know, the attorneys --
16 or if someone else may have a differing opinion, and,
17 as you know, with the *Salmonella* case, it's usually
18 the courts that decides who's right and who's wrong,
19 so all I can go with is the legal advice that I have
20 today.

21 MS. KOWALCYK: Thank you.

22 DR. GOLDMAN: Okay.

1 OPERATOR: Again, if you have a question on
2 the phone line, you can press star one.

3 DR. GOLDMAN: Do we have any more questions
4 from the call-in participants?

5 (No response.)

6 DR. GOLDMAN: Okay.

7 MS. ROSENBAUM: Good morning. Can you hear
8 me? I'm Donna Rosenbaum. I'm here from STOP, Safe
9 Tables Our Priority, and my question is directed to
10 any people on the panel who can answer regarding non-
11 0157s, especially to Dr. Engeljohn and Dr. Hagen.

12 We tremendously appreciate the progress
13 that's being made, starting with the October '07 on
14 non-0157s and the framework that you've started to
15 lay out for dealing with this as we move into the
16 future. Although it doesn't quite have a time frame
17 on it, it certainly has some steps that you've
18 outlined. I have a comment and then a question on
19 this.

20 The comment is that your seminar that
21 started this process in October of '07 was good and
22 put together a lot of important issues on non-0157s.

1 However, I've just come to realize in people that
2 have approached our organization that approximately
3 three weeks after that seminar was held, there was
4 potentially an outbreak of 0111. And we have been in
5 touch with a family that lost a 14-year old daughter
6 due to 0111. And, unfortunately, all of this is
7 coming too late to impact their situation.

8 But my point here is that sometimes you
9 need to go ahead and take those bold steps to declare
10 things as being suspect and wanting to -- them as
11 adulterants is important to move the system in the
12 direction of even investigating it in the first
13 place, as we saw with 0157 15 years ago. This family
14 didn't have proper attention to investigation
15 because, potentially, perhaps, it's not yet an
16 adulterant. So this happened and it didn't get
17 investigated very well. We're trying to have a
18 system a little bit. But I would like to not see
19 that happen again.

20 So my question, therefore, is in the
21 interim period we have now that you set out all these
22 protocols and things that are going to happen with

1 non-0157s, what happens today and tomorrow if you
2 start to get some phone calls, if anyone starts to
3 get phone calls where there is a product that you
4 regulate that is attached to a situation of clusters
5 is a non-0157? What's going to happen?

6 DR. ENGELJOHN: This is Engeljohn. I'll
7 start it, and then Elisabeth may join in as well.
8 But I would say the Agency does have the authority to
9 act on a case-by-case basis, and we do and have in
10 the past. And it really does amount to what are the
11 circumstances and can we define the product, can we
12 identify which product needs to be removed from the
13 marketplace, or, in fact, if we don't have that kind
14 of information, do we issue a public health alert to
15 get general information out to the public for
16 handling. So I would say that the Agency does have
17 the past practice and will continue to operate on a
18 case-by-case basis with the epi information driving
19 that.

20 In the meantime, on the issue of our
21 targeted non-0157 approach, it really does matter
22 that we have validate methods, and so that is the

1 approach that we're taking, is getting the validated
2 methods, first of all, to find out if any of the six
3 targeted ones are there and then, most importantly,
4 which of the six, so that we would have that
5 characteristic associated with each of those. And so
6 from a regulatory perspective, the methodology
7 matters, and that's what we will focus on. But,
8 again, if we can tie product to a situation where
9 there's illness, the Agency will act on that.

10 MS. ROSENBAUM: Can I clear up on that?
11 You would act on that meaning that if perhaps one of
12 the six that you're looking at were involved in a
13 situation where you had a cluster of illnesses that
14 tied to a product that you regulate, you would go
15 ahead and initiate recall --

16 MS. HAGAN: We would act. I mean, that
17 would be -- we would have to have definitive evidence
18 that the illnesses were linked to the product, the
19 particular lots of product that are identifiable
20 through the system, and that would be -- dietary
21 substance.

22 And I will tell you that shortly after I

1 started at the Agency, we did have a case of
2 infection of 0103 in New York State, and we were very
3 aggressive in investigating that and -- to taking
4 action and, unfortunately, never had enough
5 identifying information available with the product to
6 allow us to trace it the whole way through the
7 system.

8 MS. ROSENBAUM: Thank you. Like I said,
9 sometimes the declaring it an adulterant moves -- we
10 feel would move the system towards identifying even
11 more -- first place because it puts it on the radar
12 screen and it makes it something that somebody is
13 actually looking for versus something they're perhaps
14 not. Thank you.

15 DR. GOLDMAN: Okay. Thank you.

16 MS. WALLS: Yes, my name is Isabel Walls,
17 and I'm with USDA's Foreign Agricultural Service. I
18 just like to say I'm really glad that you're looking
19 at the non-0157:H7 and that the six strains that
20 you've chosen to start with is probably a very good
21 way to go.

22 My question is for Rob Tauxe and possibly

1 Elisabeth Hagan. Elisabeth stated that she came from
2 the clinical side of the house and that many clinical
3 labs are not testing for 0157:H7 and maybe they don't
4 even have the capability to do that perhaps. My
5 concern is will we now start asking the clinical labs
6 to test for non-0157? And what will be the impact of
7 that, and what can CDC, FSIS, or any of us do to make
8 sure that this -- you know, right now, the evidence
9 suggests that most of the illnesses are from 0157:H7
10 and we may not be catching all of them. What can we
11 do to improve things in the lab and make sure that
12 the introduction in looking for non-0157 doesn't
13 backfire in any way and certainly improves things?

14 DR. TAUXE: Well, that -- thank you,
15 Elisabeth [sic]. This is Dr. Tauxe.

16 I should say that's been an area of great
17 concern to us and great interest. The introduction
18 of a Shiga toxin testing assay into the clinical
19 laboratory system makes it possible to identify an
20 infection that's likely to be Shiga toxin-related
21 quicker, which is a benefit to the patient. And our
22 concern has been if that toxin is the end of things,

1 if detecting the toxin is the end, then we would no
2 longer know whether it was 0157 or something else and
3 we would lose our PulseNet capacity.

4 So the critical step is to combine that new
5 assay, which is increasingly used in some clinical
6 laboratories and which might detect 0157 as well as
7 the non-0157s in the screening fashion, to combine
8 that with the effort to go ahead and isolate either
9 *E. coli* 0157 or other non-0157 strains from that same
10 specimen or that same sample.

11 We issued the first set of guidelines in
12 consultation with a group from state health
13 department laboratories and with the clinical lab
14 diagnostics industry last fall and published those
15 guidelines in the MMWR for how to approach this. And
16 we just, in fact, last week had another meeting with
17 that same group to update and revise those guidelines
18 to really try to push forward the notion of expanding
19 testing.

20 Now, what this turns out to mean is that a
21 lot of detection happens at the clinical lab, and
22 then the broth that was positive needs to get

1 referred to the state public health lab for further
2 isolation and further characterization, which
3 transfers some of the work from the clinical lab
4 setting to the public health lab setting. And this
5 is important, and it's well-recognized that this is a
6 critical activity. Supporting it and making sure that
7 our public health lab system has the resources to
8 handle this is part of our -- part of the challenge.

9 DR. GOLDMAN: Let me just check once more
10 on the phone if we have any questions. Questions
11 from the phone?

12 OPERATOR: We do have several questions on
13 the phone line. The first one is from Amy Smith.
14 You may ask your question. Please state your
15 organization.

16 MS. SMITH: This is Amy Smith with Dupont
17 Qualicon. Dr. Engeljohn, this is for you. I hope I
18 didn't miss it. We were cut off on the phone for a
19 little bit. Is there a target time frame or a target
20 number of -- for the -- non-0157 as possible
21 adulterants?

22 MS. HAGAN: This is Elisabeth Hagan. I'll

1 actually go ahead and field that question. The
2 question was do we have target time frames for our
3 assessment of the non-0157 STEC issue. And, you
4 know, we've been hesitant to give exact time frames
5 because, as Dr. Samadpour so aptly pointed out, and
6 as we've learned, there really aren't any
7 methodologies out there right now that are easily
8 transferable to our particular regulatory setting.
9 These methodologies are being developed and validated
10 in partnership with Agriculture Research Service and
11 will then take some additional time to work out
12 through our own laboratories. Once we know that they
13 work, you know, how do we put them to work in our
14 particular setting.

15 So what we really hope to have done is to
16 have that initial PCR screen that I mentioned, which
17 we'll be screening for STX and EAE, the gene for
18 intimin, and have that up and running really within
19 the next couple of months. And then, with any luck,
20 have a secondary set of PCRs that look for these
21 particular six serogroups, have them up within a few
22 months after that. But we really can't commit to it

1 at this point because, you know, everything is still
2 being worked out and we really appreciate the
3 collaboration with our ARS partners who are trying to
4 expedite this process as much as possible.

5 So that's, you know, where we really hope
6 to start. It's going to be quite some time after
7 that, and I can't give you a firm time frame, about
8 how long we'll have our cultural confirmation
9 methodology up and running.

10 DR. GOLDMAN: Okay. We'll take another
11 question from the phone.

12 OPERATOR: Next question on the phone
13 line -- Ilene Arnold, you may ask your question.
14 Please state your organization -- your line is open.
15 Please check your mute feature.

16 MS. ARNOLD: I'm sorry. That was not a
17 question. I was just trying to report the problem.

18 OPERATOR: -- is showing no further
19 questions.

20 DR. GOLDMAN: Okay. We'll come back into
21 the room. Okay. We're going to take a few more
22 questions before we get to the long-awaited break --

1 lunch period.

2 MR. STEVENS: Good morning. My name is
3 Shawn Stevens from -- department at Gass, Weber,
4 Mullins -- representing also meat -- food companies
5 nationwide -- defense of foodborne illness -- so I
6 guess I'd be Bill's counterpart.

7 Since we're going to be talking today and
8 tomorrow about future treatment of 0157:H7 whole
9 intact cuts, I thought it was important to stand up
10 and address or at least respond to or maybe build on
11 some of the points that Mr. Marler made.

12 First of all, my understanding, and I am
13 very glad that this is the case, is that there really
14 haven't been many illnesses ever associated with
15 0157:H7 in whole intact cuts, and that's a good
16 thing.

17 With respect to some of the more publicized
18 events, as Bill had talked about the Kriefall case in
19 Wisconsin, I think it's also important to note, in
20 that particular case, nobody had fallen ill from
21 eating whole intact cuts containing 0157:H7. As a
22 matter of fact, each of the people involved in that

1 outbreak had gotten sick from eating watermelon, and
2 that watermelon had been recycled from day to day to
3 day by the restaurant, something -- would have been a
4 correspondence issue by the FSIS.

5 It still remains in question to this day
6 whether that particular outbreak that was talked
7 about earlier was really triggered from 0157 in a
8 beef product or something else altogether.

9 With respect to the second example that
10 Bill talked about, this case up in Minnesota, it does
11 create some questions with respect to the current
12 USDA policy as to what does further processing mean.
13 If a whole intact cut containing 0157:H7 is intended
14 for further processing, I think both the legal
15 community and the industry needs some additional
16 guidance. We have treated that as meaning that that
17 particular product would need or require or be
18 intended to be further processed at another USDA-
19 regulated facility. Bill would make the argument
20 that any further processing intended at the retail
21 level would qualify. So additional guidance would be
22 helpful in that regard as well. Thank you.

1 DR. GOLDMAN: Thank you.

2 MR. CUSTER: Carl Custer, non-affiliated,
3 though I did once work for the microbiology labs of
4 FSQS out at Beltsville.

5 Dr. Raymond, FSIS jurisdiction essentially
6 starts at the anti-mortem pen, whereas the Animal/
7 Plant Health Inspection Service has wide and
8 draconian jurisdiction over flocks, herds, and farms.
9 What's the prospect of having Animal Plant Health
10 Inspection service to begin addressing human
11 pathogens on those farms and flocks?

12 DR. RAYMOND: We have no jurisdiction at
13 FSIS on any animal on the farm.

14 MR. CUSTER: But the question is what's the
15 prospect of having Animal/Plant Health Inspection
16 Service begin to address human pathogens?

17 DR. RAYMOND: The Animal/Plant Health
18 Inspection Service is not even in the room today,
19 sir, so I would ask you to please address that
20 question to that agency.

21 MR. CUSTER: Okay. By the way, Jill's been
22 waiting here patiently.

1 DR. GOLDMAN: Okay. We have two more
2 questions.

3 MS. HOLLINGSWORTH: Okay. Actually, mine
4 is two questions, but they're two questions, no
5 comment. Elisabeth, you said that since 1990, there
6 were 23 outbreaks in the United States of non-0157
7 STECs and none of them were associated with meat.
8 With that, it makes me think perhaps what we need to
9 be looking at is working with CDC and other federal
10 agencies on an overall public health strategy for
11 these other pathogens. Do you know what some of
12 these other products were if they were not meat?

13 DR. HAGEN: I don't have that list in front
14 of me, but some that come to mind, I think there was
15 ice -- I don't know if you remember --

16 UNIDENTIFIED MALE SPEAKER: Ice, lettuce --

17 DR. HAGEN: There was definitely some
18 greens.

19 UNIDENTIFIED MALE SPEAKER: Milk, milk.

20 DR. HAGEN: There's a milk, yeah. I don't
21 remember all the commodities, but that -- I have that
22 data available for you. But, yeah, of course we

1 think we need to always be working with our federal
2 partners for an overall strategy.

3 MS. HOLLINGSWORTH: All right, because I
4 think it may go way beyond meat in this case. And my
5 second quick question, in light of some of the other
6 comments that were made and Mr. Marler's comments
7 about products going to retail -- and, I'm sorry. I
8 didn't identify myself. Jill Hollingsworth with the
9 Food Marketing Institute.

10 With products that we see more and more
11 going to retail that do, in fact, have information on
12 them specifically saying this product should not be
13 trimmed, this product is not for grinding, which
14 sends kind of a questionable message when you receive
15 a product into retail. Is FSIS aware that beef is
16 being sent to retail with that kind of disclaimer on
17 it, and what is your position on it?

18 DR. ENGELJOHN: This is Engeljohn. And I
19 would say we, the Agency, have, in the past, issued
20 instructions on what we view to be appropriate
21 labeling-related instructional statements and
22 disclaimer statements, which we viewed as being used

1 by the industry as trying to get out addressing the
2 contaminate on the product. And so we have very
3 restrictive requirements as to what can be labeled
4 and how they have to be handled within a federal
5 system.

6 For products that have labeling that says
7 not for grinding -- I think you said not for
8 grinding -- whatever --

9 MS. HOLLINGSWORTH: Not for trim, not for
10 grinding.

11 DR. ENGELJOHN: Not for trim or grinding.
12 I'm not immediately coming to mind, you know, where
13 that was how product was labeled. I certainly know
14 we don't have a guidance on that issue, and I would
15 find that probably not to be acceptable to the
16 Agency, but we'll certainly follow through on that
17 one.

18 MS. HOLLINGSWORTH: Thank you.

19 DR. GOLDMAN: Thank you. And our last
20 question in the room for this morning.

21 MR. PAINTER: Yes, my name is Stan Painter.
22 I'm the chairman of the National Joint Council on

1 Food Inspection Locals. They represent the food
2 inspectors in the field. An issue was brought up
3 earlier today in one of the presentations about
4 inspectors working on the line and not washing their
5 knives and equipment. And, certainly, we support
6 that.

7 But in the late 1980s, line speeds and
8 slaughter operations were going approximately 185
9 carcasses per hour. Now they're allowed to go 390
10 per hour. You barely have time to look up. Of
11 course, carpal tunnel and other things come into play
12 with that. So, you know, it seems as though the
13 speeds have increased and yet the Agency continues to
14 want or to think that the cleanliness is going to be
15 increased as well.

16 I've heard the Topps situation mentioned as
17 well. I haven't heard anyone say anything about the
18 slaughter facility that produced the product that
19 went to Topps. It seems though Topps is taking the
20 fall for what happened at the slaughter plant. The
21 HACCP program apparently at the slaughter operations,
22 whichever operation that may be, I have not gotten

1 the information yet as to which plant or plants the
2 *E. coli* actually came from because it seems at this
3 point no one knows. And the HACCP program at that
4 location or locations did not work and the Agency
5 needs to recognize that the HACCP location or
6 locations did not work.

7 In the area that we're talking about, the
8 northeast, the Agency is currently holding a 20
9 percent plus vacancy rate for inspectors. So if
10 there's going to be more testing, who is going to be
11 doing that testing? Who is going to be doing the
12 verifying? We go out west to the Westland Hallmark
13 situation. We're looking at a 10 plus percent
14 vacancy rate there.

15 It was mentioned earlier about training,
16 the training of the inspectors. We certainly support
17 that. We're certainly trainable and well capable of
18 doing the job, but once you train us, give us the
19 ability to do the job. Give us the ability to use
20 what you've trained us. We go to the FSRE training
21 that's currently being given, and then we're told
22 when something comes up -- my supervisor says so-and-

1 so that's contrary to the training. Then we're told
2 then do what your supervisor says.

3 So in all of that, I need someone to
4 address this situation with the training, someone to
5 address the HACCP failures in the plants that
6 produced the product for Topps, and the vacancy rate,
7 as well as the line speeds.

8 MR. RAYMOND: If I might, for the record,
9 one of the suppliers, at least, to Topps was called
10 Rancher's Beef. That is a slaughter facility that's
11 no longer producing. They're out of business. We do
12 trace back every opportunity we can, and when we do
13 trace back, we take further actions. As I mentioned
14 in response to Felicia, there was another incident
15 domestically where a slaughter facility was traced
16 back to and then a no recall was put in place there.

17 As it was mentioned by someone earlier -- I
18 believe Mr. Marler -- there was more -- and
19 Dr. Tauxe, too -- there was more than one PFGE coming
20 out of the Topps outbreak. We were not successful in
21 tracing back to all the slaughter facilities. It was
22 a large producer that bought the trim from a lot of

1 places. So that's in response to that.

2 In response to the comment that Hallmark
3 may have happened because there's a 10 percent
4 vacancy rate, that plant had no vacancies during the
5 two years of that recall. That plant had five full-
6 time positions that were filled. The vacancy rate
7 overall did not affect that particular plant. And
8 just so everybody in the room knows, our vacancy rate
9 currently for our inspection service is somewhere
10 between 7 and 8 percent overall nationally. We do
11 have pockets where it's difficult to fill. A year
12 ago, we were at about 13 percent. We have made good
13 progress in decreasing our vacancy rates.

14 MR. PAINTER: You didn't address the fast
15 line speeds, and I was saying that in correlation
16 with what was mentioned about washing the knives or
17 washing the tools and things of that nature, and the
18 HACCP programs.

19 DR. RAYMOND: We will have an offline
20 discussion about that cross-sanitation possibility
21 that was described. I'm not familiar with what we
22 require of our inspectors on that point, Stanley.

1 MR. PAINTER: I would ask you, Dr. Raymond,
2 if you would, to check the statistics again on the
3 vacancy rates, and I notice that you mention that the
4 vacancy rate in the west, the less than 10 percent,
5 and I'm going to take you at your word at this point,
6 but you didn't address the 20 something percent
7 vacancy rate in the northeast with the Topps recall.

8 DR. RAYMOND: All I can say is we have a
9 very aggressive hiring program. We are trying to
10 fill spots where they're difficult to fill. We have
11 184 more inspectors in the plants today than we did
12 one year ago at this time overall nationally. There
13 are pockets where we still have unacceptably high
14 vacancy rates.

15 MR. PAINTER: The last issue that wasn't
16 addressed, when we receive training and it's contrary
17 to what our supervisors tell us to do and we're told
18 then you do what your supervisor says, what do we do
19 then?

20 DR. RAYMOND: Stanley, today we want to try
21 to keep our conversations what we can do to reduce
22 the *E. colis* and policies we do want to talk about,

1 and, really, if you want to have discussions about
2 the workforce, I do believe there are people who are
3 much more knowledgeable than I that can discuss that
4 with you.

5 MR. PAINTER: I understand that,
6 Dr. Raymond, but it all goes hand in hand.

7 DR. RAYMOND: I'm not saying it doesn't.
8 But --

9 MR. PAINTER: Okay. Thank you.

10 DR. RAYMOND: Yeah.

11 DR. GOLDMAN: Okay. Thank you.

12 MR. MARLER: David?

13 DR. GOLDMAN: Yes?

14 MR. MARLER: Just one -- I couldn't help
15 but comment on Shawn's issue on the Sizzler outbreak,
16 and I guess I get the end -- in the end the winner of
17 that case gets to sort of have the last say, and
18 since I won that case, I get the last say.

19 (Laughter.)

20 MR. MARLER: But I do think it has -- it's
21 important that he raised that issue because there is
22 some -- there is a legal discussion about whether or

1 not this intact tri-tip was the cause of the
2 outbreak. But one of the things I think it really
3 does underscore is something that Dr. Raymond said
4 earlier is the risk of intact cuts of meat, and
5 that's why you're seeing these odd little, you know,
6 small print warning labels being put on them, that
7 intact cuts of meat have the potential for cross-
8 contamination of other products in a retail setting,
9 whether by trim or grinding or if they happen to be
10 on the same table that then you have the watermelon
11 on.

12 So I think the fact that we're looking at
13 that aspect of the trim, we're looking at intact cuts
14 of meat, boxed meat, is a real important issue, and I
15 think we can't, you know, stress enough how important
16 the risk of cross-contamination is especially with
17 0157 having such a high virulence and, you know, a
18 low infectious dose.

19 DR. GOLDMAN: Thank you.

20 MR. SMITH: Just very quickly. This'll
21 take 15 seconds. Just another comment.

22 DR. GOLDMAN: Identify yourself, please.

1 MR. SMITH: And it specifically relates to--

2 DR. GOLDMAN: Mr. Smith, just identify
3 yourself again.

4 MR. SMITH: I'm sorry, Tom Smith,
5 processor. It refers to or it relates to the timing
6 of test results, and so on. I find it interesting
7 that on the sampling sheet when our inspector comes
8 in to take a sample of ground beef that the
9 establishment number where that particular primal
10 came from or that particular box of meat that we're
11 grinding, there is no place on that paper for the
12 establishment number for where it came from. And I
13 would think that if traceability is an issue and
14 timing is an issue, wouldn't -- I mean, that, to me,
15 seems like the most important piece of information
16 that should be on that sheet. And as of two months
17 ago, it was not.

18 DR. RAYMOND: Let me respond to that one,
19 too, David. Mr. Smith, that is an area that our
20 common friend that we both know very well is his, you
21 know, his common march, and we are taking a look at
22 it. We've done some surveys with some of the

1 associations and discussed with them. We don't have
2 a position to change yet at this point in time, but
3 it's another area of concern of mine to trace back,
4 anything we can do to improve it and still be
5 practical. Thank you for your comment on it, though.

6 DR. ENGELJOHN: This is Engeljohn. I need
7 to follow up on Dr. Raymond's comment. We did change
8 our policies this last year in that we did begin
9 identifying with any positive sample that the FSIS
10 gets, in terms of identifying who the supplier was to
11 that production lot. And then that gets put into our
12 positive supplier database for which the Agency has a
13 process by which we either do 16-sample follow-ups in
14 those operations, or if they've been listed twice in
15 the last 120 days, they also are given an increased
16 testing by FSIS; as well as any time there is a
17 positive in an FSIS test program, there is always the
18 trace-back into the suppliers to look at the O2
19 procedures and the HACCP plan for the supply and
20 operations. So we do have some procedures in place.
21 There are things that we could do to look at that to
22 see if we can improve upon it, and we certainly are.

1 DR. GOLDMAN: Okay. Thank you. With that,
2 I want you to pull out your agenda if you don't have
3 it in front of you already. I'm going to excuse
4 everyone for lunch now. You can eat your break with
5 your lunch. Obviously, we ran over. So we will
6 resume here at 1:00 with the presentation from
7 Mr. Alvares. Thank you.

8 (Whereupon, a lunch break was taken.)
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A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N

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(1:04 p.m.)

5

DR. GOLDMAN: -- 0157:H7. You're going to hear from a number of Agency speakers on a variety of issues, as outlined in your agenda. And we're going to hear again from Dr. Engeljohn, actually, on two different topics in this particular session, so I won't reintroduce him.

11

But we're going to begin this session of three presentations with a presentation by Christopher Alvares, who is an analyst with our data analysis and integration group, which is the relatively newly formed group that right now sits in the Office of Food Defense and Emergency Response. In that capacity, he provides data analysis expertise in support of a variety of projects and programs.

19

And before he came to FSIS, he worked for Gene Logic, where he was a manager of data analysis services. He also previously worked in operations as the manager of Microarray QC, which is a company

22

1 name, and developed quality control metrics that are
2 still being used today. Prior to Gene Logic, he
3 worked for a company called Encore Med, a diagnostic
4 testing lab, and he, along with others in that
5 company, in 1998, were awarded a National Inventor of
6 the Year Award for their work on sequencing of a
7 breast cancer gene.

8 His bachelor's degree is in biology from
9 Johns Hopkins, and he has a Master's in biotechnology
10 from Johns Hopkins as well. And I'd like for you to
11 welcome Mr. Alvarez who is going to talk to us about
12 the beginnings of the analysis of the responses we
13 got to the checklist, fondly known as 6507.

14 MR. ALVARES: Good afternoon. My name is
15 Chris Alvares, and I'm, as mentioned, a data analyst
16 with the Data Analysis and Integration Group. One of
17 the projects I've been working on is this *E. coli*
18 checklist and the reassessment that are part of
19 Notice 6507. And I'm going to talk a bit today about
20 the analysis that we've done to date. It's still a
21 work in progress, so we have some findings that I
22 have prepared today, but, certainly, additional work

1 needs to be done. Next slide.

2 So just to give you an outline, I'll talk a
3 bit about the background and methodology behind the
4 Notice and the associated checklist and reassessment.
5 I'll talk a little bit about some of the results,
6 including response rates to these -- both of these --
7 the checklist and reassessment, as well as some
8 details around those and then talk -- and finish up a
9 little bit with next steps and where we're going to
10 as far as completing this analysis and preparing a
11 final report. Next slide.

12 So the checklist and the reassessment were
13 two projects that came out of FSIS Notice 6507, and
14 that notice was issued in response to several events.
15 I think we touched on these events a little bit
16 already. Adverse trends in the positive rates of *E.*
17 *coli* in FSIS clarification testing, an unusual number
18 of positive tests for *E. coli* in a relatively short
19 time span. In calendar year '07, there was 28
20 establishments that had positive tests. And positive
21 samples and recalls associated with *E. coli* related
22 to certain source materials used in ground beef.

1 Now, the Notice was focused on certain beef
2 operations and seven that we focused on are listed
3 here, slaughter, trim fabrication, enhanced product,
4 mechanical tenderization, grinding, re-grind, and
5 patty form. Now, all of these areas are parts of the
6 checklist. We haven't gone through and analyzed all
7 the operations yet. In fact, most of the data I'll
8 show today is focused currently on grinding, but I
9 want to point out that there's quite a bit more to
10 do, as you can see. Next slide.

11 In terms of methodology, the reassessment
12 and the checklist were sent to USDA inspection
13 personnel. It was their job to fill out the
14 questionnaire and send that back for data analysis.
15 We are, like I said, in the process of analyzing
16 responses to those questionnaires, and we'll see some
17 of that data today.

18 The outcome of this is that we'll prepare a
19 final report of all the questions from the checklist
20 and our goal is to -- we're planning on taking that
21 by July of this year, and we hope that the results
22 from that will be used to aid in informing Agency

1 policies future initiatives to prevent *E. coli*-
2 related illness.

3 Now, in terms of data collection, I
4 mentioned that the FSIS inspection personnel filled
5 out the assessment and the checklist, and the way
6 that that was done was differently for the --
7 somewhat different. For attachment 3, which is the
8 reassessment that I'm talking about, inspectors were
9 instructed to meet with the industry's management,
10 discussing the need to reassess HACCP, sanitation
11 SOPs, and other prerequisite plants.

12 There's also a short set of questions that
13 were to be filled out, and that had to do with the
14 responses to those reassessments and resulting status
15 of those plans and programs.

16 Attachment 5 was a separate project of
17 sorts, and that was the checklist itself that I'll
18 talk in more detail about. The checklist had up to
19 118 questions, and I say up to because it depended on
20 the types of operations and the number of operations
21 that each establishment was running. So if they were
22 operating just a grinding operation, then there was

1 only a subset of those checklist questions that were
2 relevant to that.

3 The inspectors filled out these checklists.
4 They were instructed to share the checklists with the
5 establishment management. This gave management the
6 opportunity to review the checklist, to comment on
7 anything that they wanted to comment on, and also
8 give them the opportunity to make any changes if that
9 could be substantiated. And, lastly, the frontline
10 supervisors were expected to review the checklist and
11 review it in particular for completeness before it
12 was sent back.

13 So all of that gets into how the checklist
14 and the reassessment were filled out, how the data
15 was sent back, some of the interaction of the
16 checklist with the industry and supervisors. Next
17 slide.

18 So I'll talk a little bit about some of the
19 results so far. Next.

20 The first has to do with the response rates
21 to the reassessment and to the checklist. Now, these
22 response rates were for -- obviously, the checklist

1 and the reassessment were only for beef operations
2 that were in business at the time and subject to the
3 inspection, and by that I mean running the operations
4 that I mentioned earlier.

5 With regard to attachment 3, the
6 reassessment. We received back 2,002 unique
7 questionnaires, and that represents approximately 85
8 percent of the plants. For the checklist, which is
9 attachment 5, we received 2,322 responses back, and
10 that was greater than 90 percent of the
11 establishments.

12 Now, I won't go into the details of all the
13 questions. They are, as you can see here,
14 attachments to the Notice, and they're available
15 online if people wanted to look at more details about
16 the questions. Next.

17 So the first part that was completed was
18 the HACCP plan reassessment, and that was attachment
19 3. In terms of results for that plant -- next
20 slide -- out of the 2,002 responses that we got back,
21 96 percent of the establishments reassessed HACCP
22 plans due to the adverse *E. coli* trends. The

1 attachment indicated that 33 percent changed these
2 HACCP plans as a result of the reassessment, 15
3 percent made changes to their sanitation SOPs, 35
4 percent made changes to other prerequisite programs
5 as a result. Overall, 52 percent of establishments
6 made one or more changes to these various plans or
7 programs that I've just mentioned. Next slide.

8 Now, the checklist was a more in-depth set
9 of questions, and this is where we're really in the
10 middle of the process, and I'll talk a little bit
11 about the sort of broad categories of the checklist
12 and get into some specific questions. Next slide.

13 So the checklist, as I mentioned, involved
14 118 questions, and they covered a variety of
15 different operation types. That also was mentioned
16 earlier. For example, grinding, which I'll talk
17 about in a little bit more detail, was one of the
18 operation types.

19 So in terms of operations, we had sets of
20 questions that were specific to each of the seven
21 different operation types. The questions were
22 focused around various types of inputs and outputs

1 and the types of controls that were in place for
2 these types of operations. In terms of inputs,
3 questions focused on things such as the source
4 materials, the components that were being used by the
5 operations. We asked questions about the supplier
6 controls and the prerequisite or the requirements
7 that were in place for suppliers. We also asked some
8 questions about source materials, in terms of whether
9 they were using source materials, how much, and the
10 frequency of use.

11 There were also a good deal of questions
12 about interventions and testing programs. Where were
13 establishments applying these types of controls, how
14 often were they doing that and what steps, and really
15 trying to identify what the practices were.

16 Now, this checklist is really, I guess, in
17 one way a snapshot of the operations at a specific
18 time. I'll talk a little bit about how the time
19 component of this might affect some of the
20 interpretation of the results. Next slide.

21 So we've started to look, as I mentioned,
22 at some of the questions. We've started initially

1 with the grinding establishments. And we looked at a
2 couple different things. One is that we looked at
3 establishments, the overall set of establishments
4 that responded to the checklist and compared those to
5 establishments that had recalls and positives. And
6 I'll present some data on that.

7 We also reviewed some question responses
8 related to ground beef components that were used in
9 operations and along those lines looked at some of
10 the purchase specifications that were in place around
11 those components. And, again, the analysis of all
12 questions on the checklist is still to be completed
13 and that is expected to be done by summer or around
14 July of this year. So look for that when it comes.
15 Next slide.

16 So one of the things that we plan on
17 looking at is HACCP size. And I don't have a lot
18 of -- we haven't prepared an analysis breakdown by
19 size yet, but I did want to put up some information
20 about the types of establishments that were
21 participating in this checklist and reassessment.

22 About 3 percent of the establishments were

1 what we consider large establishments according to
2 SBA class or what we also call HACCP size. 39
3 percent were small establishments, and 58 percent
4 were very small establishments. Next slide.

5 I mentioned that we looked at the effect --
6 or some differences in responses between
7 establishments that had positives and recalls as
8 compared to the overall set of establishments. This
9 slide here has responses to four questions about
10 purchase specifications and the implementation of
11 validated interventions.

12 The first bar for each of the questions is
13 the response rates for the overall set of
14 establishments. In this case, we're talking about
15 1,373 grinding operations that responded to this set
16 of questions. In terms of operations that did not
17 have purchase specifications, there were about 20
18 percent of grinding operations that did not. About
19 40 percent of grinding operations did not have
20 supplier documentation other than purchase
21 specifications, and about 90 percent of these
22 grinding operations did not have validated

1 interventions on either the ground components or the
2 ground products.

3 In comparison, we looked at the
4 establishments that had positives and recalls, and
5 those are the next two bars on each of these groups
6 of data here. You can see overall that the rate of
7 grinding operations that had positives and recalls
8 and did not have these kinds of purchase
9 specifications or validated interventions is
10 generally lower than what we see with the overall set
11 of establishments. Now, I want to point out that we
12 only found statistical significance with the third
13 question, no validated interventions on ground
14 components, but I think that you do something of a
15 trend in the other three, which are in some ways
16 related.

17 Now, why would this be? It's a little
18 bit -- it was initially a little bit counter-
19 intuitive to us to see that establishments that had
20 positives and recalls are actually less likely to not
21 have these types of things in place, and so we don't
22 have an absolute answer to this question. We do have

1 some theories. One theory that we are looking into
2 and plan to investigate further is the possibility
3 that these establishments that had positives and
4 recalls may have implemented new changes between the
5 time of that event and the time that the checklist
6 was done. And, you know, they may have implanted
7 these changes because of the occurrence of these
8 positives and recalls, the subsequent food safety
9 assessment that was done, and just the steps that
10 were taken to improve the process.

11 Now, as I said, that's currently a theory.
12 We have to go back and identify some data that would
13 help us to validate that theory. We do plan on going
14 and looking at the food safety assessments for those
15 operations to determine what changes were in place,
16 or I should say, what practices were in place at the
17 time and also to identify what changes were made as a
18 result of those assessments. And that will help us
19 understand better whether what we're seeing here was
20 really due to any changes or whether this was, in
21 fact, a condition that was in place back at that
22 time.

1 So, like I said, this is an initial
2 finding. We need to investigate this a little
3 further to put some better understanding around the
4 differences we've seen here. Next slide.

5 Now, in terms of ground beef components.
6 We looked at establishments, grinding establishments
7 here and looked at the types of components that are
8 being used in these establishments. Next slide.

9 In the checklist, there were 11 types of
10 raw beef components that grinding operations could
11 have indicated that they used or that were used in
12 these types of operations and are listed here. The
13 response rates on the checklist are listed as
14 percentages and number of responders out of 1,373
15 grinding operations.

16 The first component type is called boneless
17 trimmings. This is, I guess, the category that is
18 typically considered the -- or is considered sort of
19 the typical source material for grinding operations.
20 It's also the component type that is used for FSIS
21 testing for *E. coli*. The other categories there do
22 not follow the same testing requirements that

1 boneless trimmings do, and I've sort of taken those
2 and grouped them into three general categories based
3 on discussions about these types of materials.

4 The three sort of gold colored solid bars
5 there are what we called trim from fabricated primal
6 cuts, trim from mechanically tenderized or enhanced
7 products primal cuts, and primal cuts themselves not
8 intended -- or not trimmed. You can see overall that
9 anywhere from 12 to 63 percent of establishments are
10 using these types of primal cuts or trim from primal
11 cuts in their grinding operations.

12 The next category, which are these darker
13 green set of bars are a set that we -- that I sort of
14 termed other slaughter components. And these include
15 the head meat, cheek meat, and wizened meat and are
16 generally I think considered somewhat riskier source
17 materials for grinding operations. Overall, about 4
18 percent of grinding operations are using these types
19 of components, and so those are grouped here as
20 another class.

21 The last class that we've defined is other
22 raw beef components, and that includes AMR, which is

1 advanced meat recovery materials, and low-temperature
2 derived materials. So other than the boneless
3 trimmings, where about 62 percent of establishments
4 are using that, the next highest group appears to be
5 the components that come from primal cuts or the trim
6 from primal cuts.

7 The last two categories, the other
8 slaughter components, the raw beef components,
9 generally constitute about 5 percent or less of the
10 establishments using those components. Next slide.

11 When you take these categories and you kind
12 of condense them down to just the class levels that
13 we were talking about, you can see that in terms of
14 establishments, about 1,000 establishments or nearly
15 75 percent are using primal cuts or advanced trim in
16 their operations. About 62 percent are using
17 boneless trimmings, and when you combine the other
18 slaughter components and the other raw beef
19 components, about 7 percent of operations are using
20 these source materials.

21 Now, I think it's important to point out
22 something about this graph. This is really just

1 looking at the number of establishments that are
2 using these types of components. This isn't making
3 any statement about the volumes that are being used.
4 We're not trying to say that more volume of primal
5 cuts is being used in boneless trimmings. This is
6 simply a measure of how many establishments are using
7 that.

8 Now, we didn't take -- as I mentioned, we
9 did measure volumes -- or part of the checklist
10 captured information about volume. Unfortunately,
11 that wasn't broken down by the types of component
12 material. So we don't have -- we're not able to
13 analyze the volumes as it relates to these
14 prototypes. Next slide.

15 We also looked at purchase specification
16 requirements for the grinding operations and looked
17 at it by component type as well. So in terms of this
18 question, the checklist options were that no purchase
19 specifications were in place or they could have had
20 one of five types of purchase specification
21 requirements, two of those being intervention
22 requirements, and three of those being testing

1 requirements.

2 When you look at the overall number of
3 establishments or grinding establishments, grinding
4 operations, I should say, that do not have purchase
5 specifications in place, it's about 24 percent. When
6 you break that down according to the classes that
7 I've defined, anywhere from about 20 to close to 30
8 percent of the first three classes, the boneless, the
9 primal cuts, and the other slaughter components do
10 not have purchase specifications. And only around 5
11 percent of the other raw beef component operations do
12 not have purchase specifications.

13 When you look at the -- with a focus on the
14 other raw beef components, which I'll remind you
15 include the AMR and the low-temperature dry products,
16 and you look at what types of purchase specifications
17 they do require, they tend to be -- they tend to
18 require more often the slaughter interventions, the
19 trim testing, and the other component testing. So
20 that's one observation we made from this particular
21 question.

22 Another thing that we noticed was that the

1 third category, other slaughter components, this was
2 the category that we felt was -- contained somewhat
3 riskier source materials for grinding operations and
4 that included the head meat, the cheek meat, and the
5 wizened meat. And it was interesting to us that
6 those -- that class of operations, their rate of
7 purchase specification requirements was fairly
8 consistent with the other types of categories,
9 including the boneless trimmings and the primal cuts.
10 So for that category, there wasn't an increase in
11 purchase specification products associated with the
12 operations that were using that kind of source
13 material. Next slide.

14 So, in summary, we see that so far what
15 we've seen that grinding establishments with *E. coli*
16 positives, 0157:H7 positives and/or recalls in
17 calendar year '07 appear to be requiring purchase
18 specifications of suppliers at a higher rate than
19 other establishments. And as I mentioned, one
20 possible theory on that is that new procedures and
21 requirements have been put in place as a result of
22 those positive and those recalls. That's something

1 that, as I mentioned, still needs to be investigated
2 further to determine.

3 The use of trim fabrication from various
4 sources of primal and subprimal cuts is employed by
5 anywhere from 12 to 64 percent of operations
6 depending on the type of component. And strictly in
7 terms of number of operations, more operations are
8 using the primal and subprimal cut components than
9 boneless trimmings or the other categories. And,
10 again, I'll say that's simply number of operations,
11 not any measure of volume that's being used.

12 We see that 24 percent of beef grinding
13 operations do not have purchase specifications, and
14 that was fairly consistent for three of the four
15 classes that we defined. It was lower, as I
16 mentioned, for the other raw beef components, the AMR
17 and the low-temperature rendered materials.

18 We saw that operations using somewhat
19 riskier materials, the head, cheek, and wizened meats
20 do not appear to have a higher rate of purchase
21 specification requirements than other operations. On
22 the other hand, we saw that operations using AMR and

1 low-temperature rendered materials do have a higher
2 rate, and we saw some indication that those
3 specifications -- focused in particular areas like
4 the slaughter interventions, and others. So, in
5 terms of next steps. Next slide, please?

6 As I said, this is just a couple of the
7 questions that are in the checklist, and we've just
8 started to look at these. We have a lot more work
9 that is still left to be done. We do plan on looking
10 at -- or we will be looking at the other types of
11 operations and the other questions that are
12 associated with those, and we still have a lot more
13 questions related to grinding operations to look at
14 as well.

15 All of this will go into a final report.
16 That's planned for July of this year, and that will
17 include some analysis. And as I've talked about a
18 little bit already, responses to these types of
19 question by their HACCP size or the SBA class. We
20 need to provide further analysis on the component
21 types, including head, cheek, and wizened meats, and
22 other categories, and we need to do that for the

1 other types of operations as well.

2 And we need to perform further analysis and
3 investigation on the types of establishments with
4 positives and recalls. And that analysis will
5 include the response to the checklist data, and we
6 are looking at the ability to use the FSA or food
7 safety information to shed additional light on what
8 we're seeing with the checklist.

9 As I mentioned, we'll evaluate practices at
10 the time of the recall to help us understand those
11 checklist questions in greater detail. We hope that
12 based on the analysis we'll be able to make some
13 conclusions about the need for follow-up checklists
14 either to fill in missing information or to assess
15 change over time, these types of operations, and the
16 practices that are in place, and to determine if
17 revised questionnaires should be administered to all
18 the establishments or, you know, maybe a broader
19 scope or maybe a more focused scope of
20 establishments.

21 And, lastly, we hope that the results from
22 the checklist and the final report will be -- could

1 be used to help -- the Agency policies and future
2 initiatives to prevent *E. coli*-related events in the
3 future. Thank you for your time.

4 DR. GOLDMAN: Thank you, Mr. Alvares. I'm
5 sure there will be some questions. But what we're
6 going to do is we're going to have Dr. Engeljohn give
7 two different presentation back-to-back, and then
8 we'll have a good long break for your questions and
9 comments.

10 So Dan is going to talk about primal cuts
11 and also about some more thoughts about the Agency's
12 views on sampling. Dan?

13 DR. ENGELJOHN: Thank you. On the next
14 slide, I want to give you just a brief background
15 about the history-related 0157 decision-making at
16 FSIS and where we've been, and then I hope to present
17 you some information as to where we, the Agency,
18 think we might need to go in the short term.

19 In 1994, the Agency identified that 0157:H7
20 would be considered an adulterant in ground beef.
21 And the reason the Agency selected ground beef
22 were -- that that was the product at the time that

1 people were getting sick from. We had reason to
2 believe that consumers were not going to fully cook
3 ground beef and that they considered thoroughly
4 cooked beef to be less than well done. And, as well,
5 we also knew that it was a particularly virulent
6 organism.

7 We started with ground beef because it was
8 the product closest to the consumer as well. And so
9 the Agency announced that we would begin testing
10 ground beef product at both the federal
11 establishments and at retail. And, at the time, we
12 had a sampling program design that took a fair number
13 of samples split pretty much evenly between the two
14 operations, I believe.

15 Over time, the Agency recognized that just
16 focusing on ground beef was not spurring industry to
17 take types of controls that we believed were
18 necessary to get control over this organism
19 throughout the entire food safety system whether it
20 be at the slaughter operation, the fabrication,
21 grinding operation, or further processing where
22 enhanced products might be made. And, in fact, by

1 1999, we had had at least one outbreak that was
2 associated with mechanically tenderized product.

3 And, so, at that time, the Agency announced
4 that we would be moving away from just focused on
5 finished product ground beef as the identity being a
6 product that would be adulterated, but we would move
7 to identifying that the intended use made a
8 difference as to what products would or would not be
9 considered to be adulterated. And intended use was
10 applicable at all points during the production
11 process.

12 And so in 1999, in January 1999, the Agency
13 issued a federal registered document with
14 clarification policy that identified that the public
15 health risk presented by beef product contaminated
16 with *E. coli* 0157 was not limited to raw ground beef
17 products. And we set forward three very specific
18 examples of products that we believed needed to be
19 attended to, two of which would be considered to be
20 adulterated if, in fact, they were contaminated, but
21 not -- handled to remove the contaminates such as
22 through cooking or through some other process to

1 destroy 0157, and then products that we would
2 consider outright not to be adulterated if they were
3 contaminated.

4 The first product category distinction was
5 made for those non-intact products, meaning those
6 products that had the surface jeopardized such that
7 contamination could have been trans-located into the
8 interior of the product or through combination or
9 grinding such that the interior surface was no
10 different than the exterior surface of the product.
11 This would include product such as the mechanically
12 tenderized roasted steaks as well as ground beef. It
13 did not include cube steaks, thinly sliced beef that
14 might be pan sears, whole muscle steaks, or roast in
15 that distinction.

16 The next distinction was made for those
17 intact products for use as non-intact products. We
18 considered those products to be adulterated if they
19 were contaminated. And this would, of course,
20 included manufact meat trim. Manufact meat trim
21 generally is not jeopardized such that the interior
22 of the product is contaminated. It's just the cut

1 surfaces, generally, that are believed to be
2 contaminated.

3 But we also identified that not all product
4 is identified as manufact meat trim. And this is
5 where the primal cut/subprimal cuts at the time were
6 actually identified as they would be considered to be
7 adulterated if, in fact, they were contaminated with
8 *E. coli* if their intended use was as a non-intact
9 product. And so we made distinct that there would be
10 these non-designated primal or subprimal cuts, cuts
11 that would be tenderized or from which bench trim
12 might, in fact, be derived. Again, those products
13 from the Agency's perspective, since 1999, have been
14 considered to be adulterated if they were to be
15 contaminated with *E. coli*.

16 And then the third category of product is
17 also a primal cut or a boxed beef type product. But
18 these would be intact products that would be
19 distributed. And we use the words very specifically:
20 for consumption as intact product, meaning that these
21 would be the rib eye steaks, the rib eye roasts, the
22 New York strip steaks, other primal cuts that in

1 their form as delivered to the retail operation or to
2 consumers would not be considered to be adulterated
3 if, in fact, they were contaminated.

4 So I just want to make sure everyone is
5 clear because this morning I thought I heard some
6 differences in terms of the interpretations that the
7 Agency may have put out with regards to 0157:H7.
8 Very specifically, if it's a non-intact product such
9 as ground beef or a tenderized roaster steak, it
10 would be considered to be adulterated if it's
11 contaminated. If it's a primal cut or a boxed beef
12 product that may be used prior to the consumer
13 receiving it in a manner for which trim or -- bench
14 trim or in some fashion that product could become
15 non-intact, it would as well be considered to be
16 adulterated. So I think it's important to understand
17 the distinctions that we've had in place since '99.

18 And FSIS focused on these distinctions in
19 order to implement a program whereby we could focus
20 our resources on the products that we believed to
21 have the highest impact for 0157 contamination and to
22 ensure that it's removed from the marketplace before

1 the consumer received it.

2 This leads, then, to some of the policy
3 considerations that we're at today. And this is from
4 Mr. Alvares' presentation earlier. From our
5 checklist, it was the first time the Agency has had a
6 process in place where we could identify what
7 products were produced by which establishments
8 because we currently do not have a data set that
9 captures that kind of information.

10 And, from this, we were able to identify --
11 it is our belief from interpreting the data and just
12 from the information that we know that is, in fact,
13 in place, that there is a number of primal cuts
14 likely being used for the purpose of either further
15 trim, to derive bench trim, or in and of themselves
16 are being used in the manufacture of raw beef
17 products. And so from that perspective, we believe
18 that there are both primal cuts that are, in fact,
19 being treated as if they are no different than
20 boneless trim, but they are, in fact, not being
21 handled by industry, in terms of anti-microbial
22 treatments that might be applied to them, such as

1 some trim is receiving an additional anti-microbial
2 or decontamination treatments.

3 And, importantly, from the Agency's
4 perspective, these products that are not
5 traditionally thought of as boneless trim in and of
6 themselves are being bypassed with regards to the
7 testing programs that are being used in part to
8 divert potentially positive products from the raw
9 beef marketplace.

10 And part of this is driven by what FSIS
11 does. And as a regulatory agency, traditionally, the
12 industry does follow what the Agency does, in terms
13 of verification practices. The Agency did identify
14 in 1999 that we did start with ground beef as our
15 primary focus, but our intention was to expand our
16 program to other products as we developed the
17 methodologies and the capacity to do so.

18 We have been focused on ground beef since
19 the late 1980s, in terms of our testing program. In
20 March of 2007, the Agency implemented a manufacturing
21 trim program on a nationwide basis because we had the
22 methodology to be able to do so. We used the N-60

1 testing, which we believe to be an effective testing
2 program at least from a practical perspective to
3 implement from the Agency's use.

4 And then, as well, this last fall, the
5 Agency expanded its program again to include the
6 other components that are used in raw beef. This
7 would be the head meat, cheek meat, and those
8 products that traditionally are handled a bit
9 differently than the manufact meat trim in part
10 because they're either prepared on the slaughter
11 floor.

12 The head meat, cheek meat, or wizened meat
13 are removed prior to any interventions and certainly
14 were removed prior to the chilling of the carcass or
15 the head. And then the AMR and low-temperature
16 rendered products are handled in a different process
17 as well whereby they are, in fact, generally
18 processed or could be processed in a facility that
19 didn't actually manufacture the original trim that's
20 being used.

21 In any case, the Agency focuses on some
22 very specific products, which is reflected in the

1 checklist results from industry in that, for the most
2 part, the things that the Agency focuses on is the
3 things that the industry is focused on.

4 I do also want to point out that our
5 manufacturing trim testing program that we began last
6 March was designed such that we would limit the
7 opportunity for co-mingling a product and having
8 confusion as to where the potential contamination
9 came from. And so we designed the program so that
10 we'd pull the manufacturing trim samples in the
11 facility that manufactures the trim. And this would
12 be the slaughter fabrication facility.

13 And so for that reason, bench trim, which
14 is generally derived at a further processing step
15 perhaps at the grinder or at the hotel restaurant or
16 institutional facility where they're making steaks
17 and roasts is not the place where the manufacturing
18 trim is pulled by the Agency. And so we don't pull
19 that as a component presently in any of our testing
20 programs.

21 And then there has been a great deal of
22 confusion as to whether or not the Agency includes a

1 two-piece chuck in its sampling programs. And I
2 would say that as far back as in March of 2007, the
3 Agency identified that we are well-aware that there
4 are primal cuts that are used to manufacture ground
5 beef.

6 Many of you are familiar with ground chuck,
7 ground sirloin, ground round. Those would be primal
8 cuts that are made into ground beef. And those are
9 obvious ones for which are intended for using ground
10 beef. But not all chucks are designated for ground
11 beef operations. But a two-piece chuck in and of
12 itself is for the purposeful intention of being used
13 in ground beef. And we do incorporate it into our
14 testing programs.

15 We have some basic policy assumptions in
16 that the Agency is working from. Dr. Samadpour
17 mentioned this morning that there were some
18 instrumental changes that occurred in the 2002-2003
19 era. And this really related to the fact that the
20 Agency began accepting the industry's negative
21 results as opposed to just focusing on positive
22 results, in terms of ensuring that there is greater

1 control of 0157 in the raw beef industry.

2 And so, really, since 2003, we have been
3 communicating and discussing and looking at means to
4 have practical ways to control 0157 throughout the
5 slaughter, fabrication, and grinding operations. And
6 this really boils down to what I call point source
7 contamination, in that we do accept the fact that for
8 contamination, that it can be segregated into smaller
9 increments in order to define what is production --
10 lives in the sense that it is virtually impossible to
11 dismantle an entire carcass without there being some
12 potential cross-contamination throughout the entire
13 process.

14 But you could, in fact, break it down into
15 point source contamination whereby you designate
16 contamination into grouping, such as what industry
17 was doing at the time was taking five combo bins of
18 product, pulling 12 samples from each to get an N-60
19 sample for which that became a definable unit that
20 got put into the marketplace.

21 The unfortunate thing about that today as
22 compared to where we perhaps were in the past is that

1 those units, those five-combo units that are
2 designated as production lot aren't necessarily sold
3 in the marketplace as intact five-combo bin units,
4 meaning that they get split up. And this is one of
5 the issues that causes the Agency concern and has
6 resulted in expanded recalls in that if we find a
7 positive at an end point processor, looking back to
8 find out where like product came from that was
9 associated with that particular production lot that
10 was used does implicate other product. And so there
11 is a concern, a growing concern about how product's
12 distributed in each of the marketplace.

13 In terms of our program as well, then, we
14 have been focused on manufacturing trim, which we
15 started last March. Just to give you some
16 perspective, the Agency collects roughly just under
17 12,000 samples of ground beef a year from all of its
18 establishments that we regulate. We collect just
19 under 4,000 samples a year from beef manufacturing
20 trim, and we collect just, well, right at 1,500
21 samples of the other components. So we have some
22 disparity in terms of the number of samples that we

1 collect, and we certainly are looking at our sampling
2 programs to see whether or not we need to redesign
3 them and reallocate those samples in a different
4 manner to put different focus where it might have a
5 greater impact. But, presently, that's the design of
6 our program.

7 And, as I said, we do not presently target
8 primal cuts or boxed beef that at least are not
9 designated for use for raw ground beef in the federal
10 system. And, as well, we do not target bench trim
11 that's created in a further processing operation
12 because the bench trim is derived from a primal cut
13 that came from another operation. But the Agency
14 certainly is looking at that as an issue to determine
15 whether or not we should begin targeting our focus
16 differently with regards to where bench trim is
17 derived.

18 And, as well, we do not collect samples of
19 tenderized roast or steaks that have the opportunity
20 of having the contaminant further distributed
21 internally into the product. But we have focused on
22 those commodities that we believe presented the

1 greatest risk.

2 I do want to add that we also have
3 increasing evidence that there is a large number of
4 establishments that do tend to rely solely upon the
5 mark of inspection as evidence that the product would
6 not have a hazard reasonably likely to occur. I
7 would say that in the '99 policy and then again in
8 2002 and 2005, when we issued clarifications on 0157
9 adulteration status, the Agency did identify that, at
10 the time, we believed that it was not feasible for a
11 slaughter establishment to not have a critical
12 control point to address *E. coli* 0157:H7. We as
13 well said that we believed that those operations that
14 manufacture -- that further fabrication operations
15 and that make boneless trim also need to justify why
16 they would not be identifying 0157 as a hazard
17 reasonably likely to occur.

18 We did identify, however, that it might be
19 feasible for grinders, those that receive product and
20 all they do is grind the product, may be able to
21 justify having a prerequisite program on the
22 condition that there are controls in place by the

1 supplier such that the hazard would not be reasonably
2 likely to occur. And, therefore, they could handle
3 that control through a prerequisite program.

4 But the Agency did caution that in order to
5 utilize that as the rationale for the control in your
6 operation, you had to have evidence of the
7 effectiveness, the ongoing effectiveness of that type
8 of operation. And it is the Agency's view at this
9 point that that likely is not being handled in a
10 uniform, consistent, or perhaps adequate manner as we
11 go forward.

12 So we look at what are our next steps?
13 Well, we do think there are some short-term things
14 that we as the Agency need to take into account. We
15 have already began the process of looking at some of
16 the assumptions that we've had in place with regard
17 to our 0157 policies. We do know that what the
18 Agency does typically is what the industry does.

19 Fortunately, with regards to testing, the
20 industry, in the opinion of FSIS, generally is using
21 methodologies that might be more sensitive or more
22 specific such that they, in fact, might be finding

1 more positives, or at least they're reacting to
2 presumptives from screens that are in fact more
3 comprehensive, such that it's more protective of
4 public health. So that's a fortunate thing with
5 regards to industry practices.

6 But, in any case, the Agency is stepping
7 back and looking to see whether or not we've applied
8 the appropriate focus at slaughter dressing
9 operations. We do know that we need to take more
10 attention here to find out what's happening there.
11 We have concerns that what's happening on the
12 slaughter dressing operation may not be communicated
13 to the trim fab -- that's testing the product and
14 then diverting it to cooking if they find positives,
15 but not necessarily adequately looking to see what,
16 in fact, might be evidence of a trend for increased
17 positives in their products. And so the Agency does
18 have some very specific intentions of looking at what
19 is happening with regards to sanitation dressing
20 practices and their effectiveness.

21 We also want to begin looking more
22 specifically at the relationship between what's

1 happening on the slaughter floor and the testing
2 results that are occurring in the fabrication
3 operation. We do have an inspector in charge in
4 these facilities who does have the opportunity to
5 look at both what's happening at slaughter and at
6 processing, and so we are looking to see what more do
7 we need to be doing in terms of looking at the data
8 in both operations and then questioning the
9 management as to how they are reacting to the
10 findings that they have.

11 Again, we're not looking to penalize the
12 industry for finding the positives, but the issue is,
13 what are you doing with the positives and how do you
14 know whether or not the number of positives that
15 you're finding is reflective of a process that might
16 be trending out of control.

17 And then, as well, the Agency does have
18 concerns about in situations where there may be an
19 increased positive rate on particular days or over a
20 particular time as to whether or not the industry
21 itself is looking at what impact does that have on
22 the primal cuts that are going out the door. Again,

1 we have been focused on manufacturing trim and what's
2 happening there. The Agency has not specifically
3 been looking or asking questions about what is
4 happening with regards to primal cuts in those
5 circumstances, particularly if the industry has
6 reason to believe and we have reason to believe
7 ourselves that that product may be used in non-intact
8 product.

9 And then the next issue is to figure out a
10 process by which we can discourage the breaking up of
11 the tested groupings of products. We're pleased to
12 hear that there are industry leaders that are
13 considering going from the five-combo bin units down
14 to at least a one-combo bin unit and looking at
15 reducing the size of the units that are contained
16 within a production lot.

17 And then, finally, what are we looking at
18 from a long-term perspective? Well, the Agency put
19 in place 0157 policies that were focused first on the
20 products that caused people to get sick. We then put
21 in place clarifications to say that we were going to
22 look back upstream to force some controls in place

1 throughout the various points in the operation where
2 controls could be applied.

3 But from the Agency's perspective, we need
4 to look at this more holistically to see whether, in
5 fact, we need to just look at the issue of applying a
6 definition differently. And from this perspective,
7 we have used the intended use concept, but we do have
8 concerns about cross-contamination that occurs
9 throughout the process, whether or not there's
10 adequate industry practices in place to actually be
11 able to control product as it goes through the
12 system, or as the consumer has delivered this
13 product.

14 And what this really boils down to is
15 whether or not the Agency should redefine
16 adulteration to, in fact, encompass the organism
17 itself as to the product. And so, in this case,
18 considerations that we certainly are looking at is
19 whether or not we should simply define 0157 being an
20 adulterant in raw beef, whereby that would force
21 there to be controls, interventions, and practices
22 put in place upstream as far as possible to prevent

1 0157 from coming into the operations or from being on
2 products that are further distributed and handled in
3 the system.

4 To get some perspective about looking at
5 how this could work, it's the opposite approach that
6 the Agency had taken against *Listeria monocytogenes*,
7 where we classified *Listeria monocytogenes* in and of
8 itself as an adulterant. It doesn't matter which
9 type, subtype of *Listeria* it is. It's just if it's
10 *Listeria monocytogenes*, it is, in fact, an adulterant
11 in the product.

12 And that's sort of what the Agency is
13 looking at with regards to *E. coli* 0157:H7 or, in
14 this sense, non-0157 STECs and the 0157 STECs, as to
15 whether or not as a general rule they just become an
16 adulterant as a means to better control and address
17 0157:H7.

18 So those are the issues the Agency is
19 looking at in terms of our approach. We have not
20 made decisions yet of how we will go forward. We do
21 believe that what we have in place now isn't working
22 from the perspective that the focus that we need to

1 take means that we need to continue to focus on
2 individual products at individual points in the
3 process. We're not sure that that's the most
4 effective way to move forward with a nationwide
5 program with inspectors in the facilities every day,
6 and that, in fact, we may need to just re-look at the
7 way that we define this. And we're looking at it
8 from a broader perspective as opposed to a more
9 narrow perspective. Thank you.

10 (Applause.)

11 DR. ENGELJOHN: And I have a few slides on
12 the issue of sampling and other issues really just to
13 put some issues on the table that the Agency is
14 considering, not anything real specific, but just
15 really to get some issues on the table so that as we
16 have our discussions, we can further inform the
17 policy development that we have related to STECs in
18 general.

19 On the next slide, I identify that the
20 Agency has, in fact, put in place a program whereby
21 we test product at very specific points in the
22 production process, at the handling chain, at various

1 points in the handling operation, and I would say
2 that, as a consequence of our focus on ground beef
3 and then after that on its intended use, there were
4 production changes that occurred with regards to
5 industry in that there are fewer retail operations
6 that grind product today.

7 Oftentimes, today, I think the more likely
8 scenario is that product comes in preground from the
9 federal operations directly to the retail operations,
10 in part, that we believe that that was an action that
11 occurred simply because we identified that we would
12 change our focus on where we would take our testing
13 samples.

14 But, in any case, as we gather evidence as
15 to whether or not there is more grinding that's
16 occurring such as in-house trim, bench trim, or
17 primal cuts at retail that are being ground, the
18 Agency needs to re-look at this issue because, again,
19 we are concerned about the primal cuts getting
20 through the system in the sense that they may not be
21 receiving an additional anti-microbial treatment and
22 they certainly are not likely to be receiving any

1 additional testing to divert them from the
2 marketplace. And so it becomes an issue for the
3 Agency as to where, in fact, should we be focused.

4 But I would say, again, going back to our
5 policy that we put in place really after 1999 and
6 then that the Agency itself adopted and pretty much
7 implemented nationwide in 2003 with regards to point
8 source testing and focusing on N-60, the Agency did
9 make clear that our standard would be that product
10 would, in fact, not be determined to be adulterated
11 to the general sense of production practices if, in
12 fact, 0157 is below detectable levels. Our own risk
13 assessment identified that product likely is
14 contaminated as it goes out the door. And the issue
15 is whether or not it's at detectable levels.

16 And we all know that at the levels that we
17 believe to be occurring in the raw beef operations
18 that there is no testing scheme that we could devise
19 that would be practical or feasible to apply. And,
20 therefore, that N-60 testing is, in fact, a
21 reasonable approach. We have taken into
22 consideration, though, that we probably need to focus

1 on small production lots as opposed to the larger
2 ones for which it would give us greater confidence
3 that there is less likely to be detectable
4 contamination.

5 In terms of our next steps with sampling,
6 the Agency, again, we're accepting the industry test
7 practices that they have in place for the most part,
8 although we know that there is extraordinary
9 differences within the industry. Our checklist is
10 one way that we're looking to see what are some of
11 the practices that are in place at the various
12 operations.

13 We do have some slaughter operations that
14 are testing. We have some trim fabrication
15 operations that are testing. We know there are some
16 primal cuts that are being tested. And we know that
17 there's ground product that's being tested either
18 before it's ground or after it's ground. And there
19 is some testing and interventions being applied to
20 the mechanically tenderized and enhanced products.

21 But, in any case, the Agency doesn't have a
22 good handle on what are the common industry practices

1 for the large, small and very small operations, both
2 by HACCP size and by production volume. And so our
3 checklist, we believe, will give us some information
4 as to what some of those practices are.

5 We will be looking at those practices and
6 developing what we think would be appropriate
7 protocols that could be followed by the industry. I
8 know there were a number of comments this morning
9 raised about the Agency's sampling N-60 quarterly
10 testing, and those kind of things. And I would just
11 identify that there is a need to provide some
12 guidance to industry for practical things that they
13 can do to better demonstrate that their programs are
14 operating effectively. And that's the purpose of the
15 Agency giving compliance guidance.

16 But, in any case, we do know we need to
17 look at what constitutes a production lot, whether or
18 not we need to make decisions about providing more
19 guidance around that, and what the vulnerabilities
20 are if you make different decisions.

21 How samples are selected and collected.
22 This makes an extraordinary difference in terms of

1 the likelihood of finding the contaminant. We will
2 be looking at operations that are, in fact, designing
3 programs to actually find contamination.

4 And then the decisions that are made about
5 when a production lot may be affected is something
6 that we are concerned about. We do expect that when
7 industry tests that they will find positives. This
8 is a raw product, after all, and it is one for which
9 the interventions in place today, for the most part,
10 are not capable of eliminating the pathogen. They
11 can significantly reduce the likelihood that
12 contamination can be detected, but that's dependent
13 upon whether or not the level is lower or higher than
14 the level that the interventions are capable of
15 addressing.

16 In any case, we do know that we need to
17 look at those practices and provide additional
18 information to the industry about that. And that
19 information was contained within the checklist. So
20 we would be able to get some information associated
21 with that.

22 And then we have continuing concerns about

1 the issue of what we consider finger pointing within
2 the industry in that the HACCP regulations do require
3 that each member of the industry that handles raw
4 beef, in this case, has to take the responsibility
5 for producing safe product. And this would be for
6 the product that comes in the door, while it's in the
7 operation, and then as it leaves.

8 And so as various segments of the industry
9 have to address whether or not the operations prior
10 to it address the contaminate, it is the expectation
11 of the Agency and through our HACCP regulations that,
12 in fact, those hazards would be addressed by each
13 differing level of handling. And so we need to find
14 some solutions here whereby there isn't this what we
15 are understanding to be some very aggressive steps
16 taken by some suppliers to warn their receivers of
17 product that they cannot or should not test their
18 product or have the likelihood it not being supplied
19 further product.

20 So it's an issue for which the Agency is
21 looking for solutions for. We're not looking for
22 industry themselves to come up with that solution.

1 We think we're going to have to step in on that one,
2 but it is a real issue that we know we have to step
3 in and address in the short term.

4 And then, finally, the Agency is looking at
5 the results that we have in attachment 3, which was
6 the reassessment notice. This did identify what the
7 reasons were for either doing a reassessment or not
8 and then what actually occurred with regards to that
9 reassessment and then, as well, looking at the
10 checklist, number 5, to see what are some of the
11 common production practices amongst the various size
12 and volume operations to see whether or not we can
13 establish production practices for which we can at
14 least provide some guidance as to whether or not we
15 think that they at least meet the Agency's
16 expectations for adequate control for 0157:H7.

17 We did identify some original best
18 practices in order to get some measure against which
19 we could make some determination as to what's
20 actually occurring within the industry, and we are
21 committed to changing those best practices in
22 particular for the various size operations.

1 And then the Agency is looking at that
2 checklist. Again, it is the intention to capture
3 more information about production practices so that
4 we know who is doing what. One of the things we did
5 learn from the recalls last year is that there is the
6 problem in that, oftentimes, a production may be, in
7 fact, controlled in a HACCP or a food safety system
8 one way, and then over time it gets gradually changed
9 such that the Agency itself is not collecting
10 information to know when changes are made and when we
11 need to come in and do a more thorough review
12 ourselves.

13 And so it is our intention to look at the
14 checklist, look to see whether or not we need to
15 provide clarity to some or all of the questions,
16 whether or not we need to refine it, to target it at
17 certain aspects of the operation to get more
18 information, and, clearly, to do it on a recurring
19 basis so that we can find out whether or not there
20 are changes in the production practices.

21 And then the thing that the Agency
22 continues to rely upon is the percent positive rate

1 that we find in our various sampling programs. From
2 our perspective, this is our first indication as to
3 whether or not there are changes that might be
4 occurring that we need to attend to.

5 We did note that there were changes in the
6 percent positive rate in the spring of last year and
7 then followed that through. We weren't able to put
8 in place practices that changed that throughout the
9 rest of the year, but the Agency's intention is to
10 make that more readily available and timely so that
11 industry and other stakeholders are aware of any
12 changes that we see in our production practices
13 within the industry as well as in our own
14 verification program. Thank you.

15 DR. GOLDMAN: Okay. Great. Thank you very
16 much, Dr. Engeljohn, Mr. Alvares. We now have some
17 time for your questions. You heard -- gave a
18 presentation on our checklist analysis, the
19 beginnings of that, and then you heard a lot of
20 policy considerations for you to react to.

21 So we want to invite people to come again
22 to the middle of the room. Please, again, state your

1 name and affiliation for the purposes of our
2 transcript, and we'll go to the phone kind of
3 alternate with those of you in the room. But we'll
4 start here in the room.

5 MR. DANIELSON: Ready? Do you hear that?
6 Dean Danielson at Tyson. This is Christopher.

7 MR. ALVARES: Yes?

8 MR. DANIELSON: On slide 16 -- I don't know
9 if you can pull that back up or not. I have a couple
10 of questions on that.

11 MR. ALVARES: This was in reference to the
12 component types?

13 MR. DANIELSON: Pardon me?

14 MR. ALVARES: The slide in reference to the
15 component types?

16 MR. DANIELSON: Yeah, components used by
17 grinding operations. There you go. Your y-axis is
18 what? The number of -- what is the y-axis there?

19 MR. ALVARES: That's the number of
20 respondents beef grinding operations to those -- to
21 that question. So out of a total of 1,373 grinding
22 operations, the number on the axis is the number that

1 indicated that they use those particular component
2 types.

3 MR. DANIELSON: Okay. So if you add those
4 all up, that equals -- I don't have an exact number,
5 but over 2,400 total responses, which is above the
6 1,373 --

7 MR. ALVARES: Yes.

8 MR. DANIELSON: -- that were -- total. So,
9 to me, there's some skew in how this data's reported.
10 In fact, those first two bars, almost identical, I
11 really -- can you explain to me what they -- how
12 they're different because, to me, I could see our
13 plants answering yes to both of those on the same
14 material.

15 MR. ALVARES: Yeah. So this particular
16 question on the checklist allowed the inspector to
17 check all that applied, and so there were operations
18 that used more than one component type. The
19 component types were not exclusive to each operation.

20 MR. DANIELSON: So as I see that and I see
21 the -- to me there's a bias built onto this slide
22 because the yellow bars are trying to make a point

1 against sub-primals, but then the first bar, yellow
2 bar and the first gray bar are probably the same
3 information. So I think we're crossing -- in my
4 view, it's crossing over information that may be
5 skewing the picture. That's how I'm looking at that
6 slide.

7 MR. ALVARES: I'll make a point of that and
8 look into the overlap of those, particular those two,
9 but overall. Off the top of my head, I don't know
10 what the overlap of the first two were.

11 MR. DANIELSON: Okay. I'd appreciate that.

12 DR. ENGELJOHN: If I could, though, I'll
13 just opine that in designing those questions, though,
14 the questions were designed such that they ask do you
15 use bones trim. The next question was do you use
16 primal cuts not intended for ground beef other than a
17 two-piece chuck. And so the questions were designed
18 to actually parse out whether or not the inspector
19 identified that this plant used boneless trim, used
20 primal cuts, such as bench trim, as an example, that
21 wasn't from a product that was actually designated
22 for using ground beef. And then the primal cut in

1 and of itself was that very thing. Was it designated
2 or not? So we did actually write the questions, or
3 we believe we did, to parse out that particular
4 difference.

5 MR. DANIELSON: And running these surveys
6 are tough, I know. I have my own problems with all
7 ours, but I would -- I know how some of our people
8 answered it, and I know that the meaning is not what
9 maybe what you think it is, at least from our
10 responses.

11 DR. ENGELJOHN: Yeah, and we do -- and, as
12 I said, we -- actually, we'll look at the questions
13 for which we think we need to either redo for
14 targeting or is there a way that we can improve upon
15 them, but at least we did our best to try to parse
16 them out to break it up to identify are primal cuts
17 or bench trim derived from them or mechanically
18 tenderized products actually being used in production
19 of ground beef at that operation.

20 MR. DANIELSON: Okay. Second question,
21 again, Chris. You made a statement that head meat,
22 cheek meat, and wizened meat are riskier. My data

1 does not really support that. Do you have data that
2 does?

3 DR. ENGELJOHN: I'll answer for Chris on
4 that one. In the sense that from the perspective of
5 the Agency, we're not working from real data that we
6 have to make the determination that is riskier, but
7 what we do know is that this is product that is
8 derived from the slaughter floor in many cases before
9 interventions are applied. In some cases, there may
10 be interventions applied to the head, but it is
11 product that's derived on the slaughter floor before
12 the carcass itself goes through those interventions,
13 and so from that reasons, it's from a riskier
14 operation.

15 MR. DANIELSON: Okay.

16 DR. ENGELJOHN: Just as a general
17 statement.

18 MR. DANIELSON: From that general
19 statement, I see where you're coming from, but from
20 an actual data standpoint, we haven't seen that
21 differential in the pathogen testing that we do on
22 those components.

1 And then the last thing I'll just -- to
2 purge testing, question this morning, we have studied
3 that over the years, and purge testing -- and we do
4 have studies and data on that, and purge testing is
5 far less sensitive than the N-60 testing in finding
6 0157:H7.

7 DR. ENGELJOHN: And we appreciate that from
8 the Agency's perspective. We have looked at the
9 issue and we will continue to do so. In part, we do
10 have concerns that any materials that are used in raw
11 beef could and should be looked at, not just the meat
12 muscle itself. And for those opportunities where
13 there is purge available, I would say the Agency's
14 intention is to pursue this, see if, in fact, it's a
15 reasonable media for which we could collect samples
16 and, if so, make a determination about pulling them
17 in conjunction with the tissue samples that we pull
18 or in and of themselves. But we will be further
19 studying each.

20 DR. GOLDMAN: Okay.

21 MS. DONLEY: Nancy Donley from STOP, Safe
22 Tables Our Priority. Dr. Raymond, by my count, this

1 is the third presentation that Dan has made today,
2 and I think he probably deserves a raise.

3 (Laughter.)

4 MS. DONLEY: I'll see what I can do. I
5 just want to make a comment, and that is that I
6 can't -- the last time that I'd been here in
7 Washington D.C. attending these public meetings where
8 I have had really just my spirits lift because of
9 some actions that I see that the Agency is
10 endeavoring to undertake to really protect public
11 health and safety. And the two that I heard from
12 this morning is, one, is looking at non-0157 STECs
13 and also this look at this, this broadening look at
14 0157 and other STECs as something as not just trying
15 to classify it and put it in a box that it's a
16 problem if it's in this type of product or that type
17 of product and, instead, taking a look at is as
18 being, hey, is it a problem period, is a public
19 health hazard period, and, if so, maybe we should be
20 looking at it that way and not just as where it's --
21 if a person gets sick from eating it from a ground
22 beef product versus a steak versus a cross-

1 contamination issue that happens in the kitchen when
2 they're taking their roast or their steak out of
3 their package and it's cross-contaminating in
4 restaurants in a kitchen somewhere also. I really
5 applaud the Agency on this.

6 That said, this is all going to come at a
7 cost and at a price. It is going to be a cost to
8 industry and the Agency because there is going to be
9 additional testing that needs to be done. We need to
10 give our inspection force the resources that they
11 need to do these things. I feel for my inspector
12 friends. I feel for industry and my industry friends
13 in the sense that I know that this is going to put a
14 burden on you.

15 The price is in better public health and
16 safety, and that is something that we all have to --
17 I think that is a very, very, very good price indeed.
18 I think that the consumer community here, one of the
19 things that we can do is that we have to go and work
20 to get the tools and the resources necessary that
21 FSIS, if that is to go to our members of Congress,
22 and get the resources that you, Agency, need. I just

1 want to applaud you for instead of looking at it from
2 a budget standpoint first and saying can we afford to
3 do this that you are taking the broader step and
4 larger step of saying I first want to see what is it
5 that needs to be done and then we consumer groups
6 will help to get the money to help you do what is
7 right. I want to thank you very much.

8 DR. GOLDMAN: Thank you, Ms. Donley.
9 Before Ms. Nestor, let me check with our operator and
10 see if we have questions from the phone.

11 OPERATOR: You have one question from
12 Barbara Kowalcyk. You may ask your question. State
13 your organization.

14 MS. KOWALCYK: Hi, my name is Barb
15 Kowalcyk, and I'm from CSI, and I have a few comments
16 and just really mainly comments.

17 First of all, I'm very impressed by what
18 the Agency has been undertaking especially with this
19 questionnaire, and the question I have about it is,
20 is this going to be a limited questionnaire or is
21 this kind of data going to be collected on an ongoing
22 basis? I think it provides some very valuable

1 information that we consumer groups I think in
2 particular have been pushing FSIS to collect this
3 type of data in its development for the RBI program.

4 And the second question regarding the
5 questionnaire is, obviously, I don't think that all
6 the establishments responded to the questionnaire,
7 and did FSIS actually look at the self-selection
8 bias? Was there something specific about the plants
9 that did or did not respond to the questioning
10 because that would have been interesting to know more
11 about?

12 But the main comment that I have is that I
13 want to agree with Dan that I understand that we
14 can't test safety into a product. But I do think,
15 and I've been very vocal on this before. I think we
16 can do a better job at sampling so that we can
17 generalize the results and find -- have better
18 confidence in what we're seeing.

19 So, basically, I want to encourage FSIS to
20 continue to collect more data and make -- so that
21 they can make educated choices about how and when
22 they should sample. And, finally, FSIS needs to do

1 more to acknowledge the limitations of their micro
2 testing program and interpret the data appropriately.

3 DR. ENGELJOHN: Thank you. And I'll just
4 make some comments and then, Chris, if you want to as
5 well, please do.

6 I will say that it is the Agency's
7 intention generally to be collecting the kind of
8 information that we had on this checklist. As we
9 move forward with our public health information
10 system where we are, in fact, looking at what is
11 occurring in the operations, what interventions are
12 in place, what changes are being made, what is the
13 type of verification that's occurring, that
14 information presently isn't captured in any FSIS
15 database other than a very general plant profile that
16 we have in those plants that are in the performance-
17 based inspection system.

18 The new system that we actually have
19 designed is designed to capture the very information
20 that we've incorporated into the checklist that was
21 attached to 6507 with modifications because we know
22 we can improve upon the question.

1 But the intention will be to have an
2 ongoing process whereby any change in the
3 establishment's operation would be captured in this
4 data system and would feed into a process whereby it
5 would perhaps trigger the Agency to make a special
6 focus of doing a food safety assessment or to follow
7 up on any changes that may have some more significant
8 importance. So the intention will be to continuously
9 do this in a process that's automated such that we
10 would have information about each operation.

11 MR. ALVARES: I think I'll make one comment
12 about the -- there was a question about the sampling
13 bias and the types of responses. The checklist, just
14 to remind everyone, it was filled out by inspection
15 personnel, not by the establishments themselves, and
16 so, you know, from that point of view, I don't know
17 that establishments had much influence on how the
18 checklist was filled out in terms of sending them
19 back.

20 In terms of the overall response rates, I
21 mentioned that they were over 85 percent. I think
22 that was, you know, in our opinion, pretty good. And

1 we did show some numbers, and, unfortunately, they
2 didn't make it into the handout, but the breakdown of
3 HACCP size is, from what I've seen, is generally very
4 similar to the overall breakdown of establishments.
5 So I don't think we were over-sampling the very small
6 establishments, but that is certainly a valid concern
7 and something that we'll try to address in the final
8 report.

9 DR. ENGELJOHN: And I will say that the
10 Agency cast a wide net as to who we sent the surveys
11 to. They went to the actual inspectors at an e-mail
12 address. For those that didn't have an e-mail
13 address, they were mailed out. In any case, if we
14 didn't get a response back or it was incomplete,
15 there was some very personal follow up in terms of
16 getting those filled out. So the Agency made every
17 effort to get as many of the surveys completed as we
18 believe there was operations in effect at the time
19 that the survey was to be complete.

20 DR. GOLDMAN: Thank you. Okay.
21 Ms. Nestor?

22 OPERATOR: Showing no further questions on

1 the phone line. Again, if you have a question, press
2 star one.

3 DR. GOLDMAN: Thank you.

4 MS. NESTOR: Felicia Nestor, Food and Water
5 Watch. Did I understand you to say, either Dan or
6 Chris, that you're going to re-analyze the data to
7 determine how many plants reassessed prior to your
8 notice that they -- you were going to be doing this
9 checklist? I mean, are you going to be able to tell
10 us how many plants had reassessed prior to your
11 announcement that this whole initiative was started?

12 MR. ALVARES: No, I don't think that that
13 was the intention of -- if it's in reference to what
14 I was saying about food safety assessments. One of
15 the reasons why the notice went out and the checklist
16 and the reassessment were done was in response to the
17 increased positives. And one of the things that
18 we've been dealing with is the fact that the
19 checklist, although it's a snapshot in time, it's not
20 a snapshot of the recall and positive establishments
21 at the time that the recalls and the positives
22 occurred.

1 And so in terms of interpreting the
2 checklist with respect to those establishments, we
3 have to keep in mind that they may have made changes
4 since the occurrence of those recalls and positives.
5 And so one of the things that we're going to try to
6 do is look back at food safety assessments for those.
7 And that's only about -- off the top of my head, I
8 know for grinding establishments, that was only about
9 16 recall establishments.

10 So we're not talking about going back to
11 1,300 establishments, but maybe 20 or so, trying to
12 identify what changes took place to help us
13 understand whether any differences we see in a
14 checklist were due to changes implemented or possibly
15 to -- or alternatively to conditions that were in
16 place at the time.

17 DR. ENGELJOHN: Felicia, if I could, on the
18 reassessment attachment 3 notice, there were five
19 questions there. And, specifically, the inspectors
20 did answer the question as to whether or not
21 reassessment occurred, it did or it didn't. If it
22 did, what did they do, and if they didn't, why didn't

1 they, and one of those answers could be because they
2 previously did so.

3 And so that is captured. We didn't report
4 it in this, but that would be information that
5 ultimately will be part of the report. So we have
6 the information that the inspectors were able to
7 glean as to what the plant did as a consequence of
8 our telling the establishments they needed to
9 reassess.

10 MS. NESTOR: The reason I ask that question
11 is, in slide number 10 in Chris' presentation, it
12 says that 96 percent of the plants reassessed their
13 HACCP plans due to adverse *E. coli* trends. Unless
14 you asked them why did you reassess your HACCP plan,
15 I don't think you can make that statement. And it's
16 a very significant statement. I think if you ask
17 them honestly, I think probably a good percentage of
18 them reassessed their HACCP plan because they were
19 threatened with an FSA if they didn't.

20 And consumers need to know that. We need,
21 you know, we need to know whether -- what is
22 triggering the food safety activities in the plants.

1 If it's a threat of an FSA, that's significant.

2 And, you know, Dan you were talking about
3 finger pointing in the industry. And I'm assuming
4 that was about supply plants taking action against
5 smaller plants that tested, you know? Again, as a
6 consumer, I have no authority, I have no impact at
7 all whatsoever on the industry. But it is my job to
8 have an impact on you. And if a small plant gets
9 contaminated product, it is more incumbent upon the
10 Agency to find out why contaminated product, why is
11 it bearing the USDA seal of approval.

12 And when you describe, you know, what
13 you're going to go back and look at, you know, that
14 you're going to start to look at what the
15 relationship is between contamination and what goes
16 on in the slaughter plants, as far as I understand,
17 that's basic HACCP 101. You're supposed to do an O2
18 procedure to find out through out the whole process
19 if you had contamination at the end what happened. I
20 mean, we're, what is it, ten years into HACCP, and
21 inspectors have been telling me for years if there's
22 contamination at the end, why don't they look at the

1 slaughter plant? Why don't they go back to the
2 supplier plant?

3 I mean, you know, somewhere else you said
4 this is the first time the Agency has evidence that
5 primal cuts are being used and bench trim is being
6 used. Well, maybe this is the first time Washington
7 has had the information, but certainly the inspectors
8 in the field have been well aware of it and have been
9 talking about it, you know? So my recommendation
10 would be that you listen to your people on the front
11 line.

12 I mean, a lot of this, perhaps, illnesses,
13 depths could have been avoided if the Agency were
14 willing to step in and take regulatory action, set
15 guidelines, set rules, as opposed to, you know, the
16 incredible discretion that the industry has to -- you
17 know, not to take proper steps to keep the product
18 clean.

19 DR. GOLDMAN: Dan, do you have an answer to
20 the question about the 96 percent? Do we have an
21 answer to that? There was a question there about 96
22 percent --

1 DR. ENGELJOHN: Other than the Agency did
2 ask why, and we have those parsed out. It wasn't
3 part of the report. And we don't have that. I don't
4 think it's going to say, though, because they were
5 threatened with an FSA. But there will be a
6 reason -- we'll report what the inspectors documented
7 and we'll go from there.

8 MS. WALLS: My name is Isabel Walls with
9 USDA's Foreign Agricultural Service. I just wanted
10 to say 2007 really was a bad year, and I think, you
11 know, it was unexpected and we don't really
12 understand we suddenly got this series of outbreaks
13 and illnesses. And I heard this morning some
14 suggestion that it could be due to pre-harvest
15 issues. And I think one of the things that FSIS did
16 looking at the testing of the trim, pushing it back
17 on the supplier was the right direction to go.

18 But I want to throw out for this audience
19 just something to think about. Should we be pushing
20 back further. And we heard a question this morning
21 as to whether APHIS should be doing food safety on
22 the job. Food safety is FSIS' responsibility. It's

1 not APHIS' job. And so I'm wondering again for
2 discussion, is it time to think about FSIS asking for
3 regulatory authority on the farm.

4 And I'm thinking this could include looking
5 at the animal feed because there was some suggestion
6 that changes to the animal feed are responsible. I'm
7 thinking about the water that the animals drink,
8 maybe the conditions, the housing conditions, maybe
9 the control of manure. And so I ask is it time to
10 start thinking about that?

11 DR. ENGELJOHN: From my perspective -- this
12 is Engeljohn -- from the risk management point of
13 view, I don't think anything is off the table in
14 terms of what is it that the Agency needs to do and
15 where do we need to apply those controls. I would
16 say that we believe that the HACCP regs that we put
17 in place do start at the slaughter operation, but
18 they do, in fact have the provision there that what
19 comes in the door needs to be addressed. And if
20 there are effective interventions that could be
21 applied pre-harvest, then we would expect that they
22 would be incorporated into part of their food safety

1 system.

2 But in terms of the overall approach of us
3 being on the farm, being FSIS, obviously, it's a
4 legislative issue that would have to be undertaken,
5 and we do think there's a great deal that can still
6 be done under the current legislative authorities
7 that we have.

8 DR. GOLDMAN: Before we get to Dr. Huffman,
9 let me check again on the phone and see if we have
10 questions there.

11 OPERATOR: At this moment, showing no
12 questions on the phone line.

13 DR. GOLDMAN: Okay. Thank you.
14 Dr. Huffman?

15 DR. HUFFMAN: Thank you. Randy Huffman,
16 American Meat Institute Foundation. Thanks for the
17 opportunity. Just a few questions about the
18 checklist, some clarification for my purposes. And I
19 guess the first one would be relative to slide number
20 14 when you asked the questions concerning -- of
21 grinders concerning the use of validated
22 interventions both in finished ground beef and in the

1 ground beef component. So I'm just curious what does
2 the -- what interventions did the Agency expect with
3 respect to those two product types especially with
4 respect to ground beef? Was there an expectation
5 that there should be -- I'm surprise -- not 100
6 percent.

7 DR. ENGELJOHN: Yes, there was an
8 expectation on behalf of FSIS. There are
9 interventions available at all points in the
10 distribution. And the question had some specific
11 interventions that may in fact have been used in
12 terms of those validated points. And as I recall, it
13 did identify the gaseous ammonia which we know to be
14 an effective treatment against 0157 or radiation is
15 an effective treatment against radiation [sic].

16 DR. HUFFMAN: Okay.

17 DR. ENGELJOHN: We know that there are
18 other anti-microbial treatments that are used either
19 on the primal cuts before the product is tenderized
20 or enhanced. And so those were examples that we
21 gave, and then we left an option for there to be an
22 other to be filled in for which Chris is still going

1 to be analyzing that. But, yes, there is an
2 expectation that there are interventions available.
3 And we issued the questionnaire in the manner to find
4 out what was being used since we don't have a means
5 to track that at this point.

6 DR. HUFFMAN: Okay. Next question really
7 has to do with how these data may be used in a risk
8 assessment approach in the future or now, and maybe
9 you have this data and it's just not part of this
10 presentation, but I guess I -- the slides that
11 Dr. Danielson asked about. How important or how
12 useful is the information about number of
13 establishments responding to some of these questions
14 versus a metric such as the amount of product
15 represented by those answers? Is that specific
16 enough?

17 MR. ALVARES: Well, I think that,
18 certainly, volume of product is an important factor.
19 I guess there's two parts to that. In terms of
20 number of establishments, I think it's important to
21 understand whether a large number of establishments
22 are using a component or not. If no one is using a

1 component, then it doesn't, in a general sense and
2 maybe an analytical sense, it's not a significant
3 factor if no one is using. But if a lot of people
4 are using it, even if it's a small amount of volume
5 that could still be something that needs to be
6 considered.

7 Now, not necessarily -- I'm not talking --
8 you know, I'm certainly not here to say that we're
9 going to make policy changes just because of the
10 number of establishments, but I think when you get to
11 that point, you're right. We do have to think about
12 the volume that's being used as well --

13 DR. HUFFMAN: And is that captured in the
14 checklist?

15 MR. ALVARES: Well, unfortunately, I think
16 the checklist only captured an overall volume and did
17 not break it down by component type. And as we
18 mentioned, we are going to look at the strengths and
19 weaknesses of this checklist as it might apply to
20 designing future checklists.

21 DR. HUFFMAN: Okay. Thanks.

22 DR. ENGELJOHN: I would also add, Randy, on

1 the issue of -- this also is the first information
2 the Agency has specifically on who produces what,
3 which matters to the Agency in terms of, as we think
4 about how should we construct our verification
5 testing programs, and as I mentioned, we allocate a
6 very large number to the grinding operations and a
7 substantially smaller number to the trim operations
8 in part because there are substantially fewer trim
9 operations.

10 But the issue becomes one of how could and
11 should the Agency be constructing its sampling frames
12 for verification as one issue, what's the population
13 of plants. And then the second issue being, in part,
14 do we have the right type of information to our
15 inspectors that perhaps are in those operations. As
16 an example, is there special training that may need
17 to be done as certain operations are being conducted.

18 And, again, the Agency is using this tool
19 in a number of ways, one of which is to identify who
20 produces what and then to the extent that we can
21 identify what they're doing, how much they're doing,
22 and what interventions and what level of control that

1 they have. So there'll be a number of uses for the
2 information.

3 DR. HUFFMAN: One final, one, if I may, and
4 maybe this is more of a comment or --

5 UNIDENTIFIED MALE SPEAKER: Okay.

6 DR. HUFFMAN: -- maybe you can confirm my
7 clarification statement. But on slide 18 where it
8 talks about grinders purchase specification
9 requirements for each component class, I guess I
10 would just like to make a point, and I hope I'm
11 correct, that those values, which are relatively low
12 responses on some of those particular categories
13 don't necessarily infer that interventions aren't
14 being used, for instance, at slaughter or at
15 fabrication. It simply represents what may be in
16 agreement between a supplier and a customer.

17 And so I assume the answers to those
18 questions will be found in the checklist for the
19 slaughter establishments? Is that --

20 MR. ALVARES: Well, yes, these are about
21 purchase specifications. There are questions about
22 the actual interventions and testing that are being

1 done. Those are other questions on the checklist.
2 And off the top of my head, I don't recall which ones
3 are specific to slaughter establishments. But they
4 are available on the Web.

5 DR. HUFFMAN: I just want to make a point
6 that I don't that -- you know, about -- I think it's
7 40 or 50 to 60 percent -- it's not this graph that's
8 up now. It's further down. But I don't think that
9 50 percent of plants have slaughter intervention. I
10 think it's much higher than that. And that's the
11 only point I'm making. This just represents an
12 agreement that may be in place between a customer and
13 a supplier.

14 MR. ALVARES: It may represent that. And
15 part of the issue, again, for the Agency, was to
16 capture who has what as part of their food safety
17 system in the operation in terms of a written
18 requirement that may be there that identifies
19 controls that are in place. And the Agency's
20 interest was how well is the concept of prerequisite
21 programs being used with regards to the control of
22 this pathogen as product moves from the slaughter

1 floor where there likely is in many -- most plants a
2 critical control point on trim fabrication, where
3 there generally isn't the same level of control as in
4 the slaughter floor and certainly lesser controls
5 evidence at grinding operations. And that's what the
6 Agency was capturing to these questions.

7 DR. GOLDMAN: Okay. Next question.
8 Ms. Buck?

9 MS. BUCK: My name is Patricia Buck, and
10 I'm with CFI. And I have some questions. Most of
11 them got asked as I was waiting in line here, but I
12 have a statement I think of sorts to make, and that
13 is this. The system that we have right now isn't
14 working, and we're seeing that evidenced. In 1982,
15 0157:H7 was discovered as an adulterant and in cow --
16 cattle feces. And since then it has been growing and
17 growing and growing as a problem, as has the other O
18 antigen *E. coli*. And we've discussed that.

19 The thing that I find amazing as the
20 grandmother of, you know, dead kid is that we're not
21 looking at this logically. These are enteric
22 bacteria that come from cows or pigs or wherever.

1 But that's where they reside. That's where they
2 live. We have got to start taking those steps back
3 like the woman before me suggested and start looking
4 at the farm and seeing what in the world is going on.

5 I have talked with one man. He has this
6 marvelous, you know, system that all he does is apply
7 to any hard surfaces and it acts like a bug zapper
8 and will kill any bacteria. And I said you should
9 take that FSIS. And he said -- or the industry --
10 and he says no. They're pathogenic load is too high.
11 The animals are too infected. They're not sick. The
12 animals aren't sick, mind you, but they are infected
13 with this, and we have allowed it over the 30 years
14 to become entrenched.

15 And now we have a problem. So I'm just
16 wanting really, really very much for everybody in
17 this room to do just as Dr. Raymond suggested and
18 that is pull together to figure out what we can do.
19 But industry is being given an impossible job. You
20 are being given an impossible job because the animals
21 are the source. I don't think you have a response to
22 that. I'm not asking for a response to that. That's

1 just my personal observation on this.

2 As far as a question, what are the next
3 steps? What are the barriers to coming together? Do
4 you need more legislation? Do you need to have
5 incentives for industry so that they can be
6 compensated for the extra work their now going to be
7 required to do? What are those barriers? Are you
8 going to outline it in your report? I'd very much
9 like to see that.

10 I'd also like to know what are the
11 definitions. How are you going to define the
12 adulterant in foods? This has huge implications for
13 the future. If we don't get a handle on this now --
14 which is what I call food safety at the crossroads.
15 If we don't get a handle on this now, what's it going
16 to be like 30 years from now? I think that's
17 something all of us need to keep in mind.

18 I find this meeting to be very encouraging.
19 I agree 100 percent with Nancy Donley. It's very
20 encouraging. But we still have a lot of work to do
21 unless people are willing to roll up their sleeves
22 and do the work. We'll be at the same place 10 years

1 from now where we -- you know, let's get together and
2 do it. Thank you.

3 DR. GOLDMAN: Thank you, Ms. Buck. I will
4 let Ms. Buck know that some of your questions about
5 the animal loads, the live animal issues will be
6 addressed in part tomorrow, not completely answered,
7 but in this meeting tomorrow. So hope you can stay
8 for that.

9 Yes, sir?

10 MR. SMITH: Tom Smith once again. I don't
11 know quite what to say after that last -- wow. Just
12 real simply. Is tumble marinade considered not
13 intact?

14 DR. ENGELJOHN: This is Engeljohn. I think
15 it matters the conditions. If the marinade is
16 injected below the surface, obviously, yes, it would
17 be. Anything that jeopardizes the surface such that
18 contamination gets below that, it would be.

19 It matters as well whether or not a vacuum
20 is applied during the tumbling such that we know that
21 a vacuum tumbler draws the contaminants from the
22 outside into the interior. So if the surface is

1 manipulated such that product can be contaminated
2 below the surface, then I would say yes.

3 MR. SMITH: The very idea of marinating is
4 to bring what's outside in whether it's mechanical or
5 whether it's -- we call it static -- or whether it's
6 just soaking. I mean, anybody who marinates
7 something obviously has in mind to bring the outside
8 in. So, really, under that definition, would static
9 marinade by the very nature of marinating be -- does
10 any marinating leave a product intact --

11 DR. ENGELJOHN: Okay. Again, I think it
12 matters on the circumstances. As the current
13 definitions for what is an adulterant on whole muscle
14 product is that if that product likely is going to be
15 processed in a manner such that the surface is going
16 to be well-cooked and the interior may, in fact, be
17 raw, undercooked, that is an adulterant. So it
18 matters on the circumstances. And I think you need
19 to identify what your particular operations does.
20 It's not a simple yes or no.

21 MR. SMITH: And just one more question,
22 please, or a comment, I guess. That questionnaire I

1 think was a very good start. But I would -- you
2 know, I think if Barak and Hillary and John can all
3 get out there and beat the Bushes, I think it's hard
4 to be able to learn to drive a car by reading *Car and*
5 *Driver*.

6 I would invite anybody -- invite or hope
7 that the folks here in D.C. would get out into the
8 plants and see -- I mean, you can ask your IIC's, but
9 until you go see and you see how these things fall
10 down and affect the small businessmen, which this
11 country was built on -- and hopefully -- you know,
12 small meat shops are falling by the wayside and, you
13 know, small hardware stores, blah, blah, blah. I
14 would just, you know, almost beg you to get out
15 there -- excuse me -- and get into some small plants
16 and see how these decisions cascade downhill or the
17 lack of decision.

18 I laid awake a little bit last night
19 because I'm kind of a country kid, and I'm thinking
20 I'm going to D.C. and I don't know, you know, whether
21 they really care what I'm going to say or make a
22 legitimate attempt. Honestly I think the last

1 presentation, while it did provide a lot of the
2 answers to the questions I had, it seemed like I
3 didn't have to ask them and you kind of brought them
4 to the forefront. So I think that was a good thing,
5 because I feel a little bit uncomfortable as a small
6 processor asking some questions, whether they're
7 totally warranted or not, and I was glad that you
8 brought them up rather than me having to ask
9 uncomfortable question --

10 DR. ENGELJOHN: We appreciate -- we do
11 appreciate your input, and I will say the Agency
12 makes a very specific and concerted effort to look at
13 the operations that are large, small, and very small
14 and those that produce a large amount of product
15 versus a small amount of product versus a multitude
16 of products differently. And we do not want to have
17 a one size fits all. We do start there and then we
18 work to enhance that, and we welcome any input you
19 and your colleagues can provide the Agency on what we
20 need to attend to. So we appreciate your input.

21 DR. GOLDMAN: Go ahead. Yes,
22 Ms. Rosenbaum.

1 MS. ROSENBAUM: Yes, hi. Donna Rosenbaum
2 with STOP, Safe Tables Our Priority. I had just a
3 general question and then a couple of more specific
4 questions. Do you intend to continue with these
5 types of questions and do them over again as you
6 incorporate information from seminars such as this if
7 there are maybe topics you haven't touched about --
8 touched yet in the question set?

9 DR. ENGELJOHN: I will answer first, and
10 Chris certainly can add because he's the one who is
11 going to be analyzing it, but yes. The issue is we
12 do learn as we go forward, and the issue will be what
13 we missed, we will add, what we asked that was not
14 clear will be clarified. And it is important to us
15 to identify what are the most critical questions.
16 And I think we look at this as a tool that will be
17 improved upon and will be repeats.

18 MS. ROSENBAUM: Okay. There's some
19 questions -- oh, did you want to comment on --

20 MR. ALVARES: Well, I guess from an
21 analyst's point of view, you know, more data is
22 always better, and I think, you know, the checklist

1 in itself I think can become more powerful if you can
2 monitor these kinds of best practices and these kinds
3 of activities over time and determine what the trends
4 are, and I think that would lend more information and
5 more guidance towards how to direct resources.

6 MS. ROSENBAUM: Yeah, I agree. Best
7 practices are good to have as guideposts. There are
8 some issues in looking over the agenda for this whole
9 seminar, not even just this specific subsection, that
10 from a consumer advocacy group's point of view we're
11 sort of missing from the whole discussion. It was
12 mentioned really briefly in Dr. Samadpour's one of
13 his slides this morning, and that is the issue of
14 employee turnover and workover turnover in plants
15 themselves as a possible mechanism for interventions
16 not working. And I was wondering if you have had any
17 information like that or have gathered any
18 information that could be useful?

19 DR. ENGELJOHN: I would answer -- this is
20 Engeljohn -- that we didn't collect that kind of
21 information and certainly can look into what it is
22 that we can do. Again, it will be our FSIS

1 inspectors that are responding to these
2 questionnaires. And I will identify that we did
3 specifically make it clear to the inspectors if they
4 were to complete it, that they were to share it with
5 plant management in order to make sure that at least
6 if there was other information to substantiate
7 different answers, then the plant could provide that
8 information. And then the frontline supervisors
9 could look at it.

10 But I would say that there are other things
11 the Agency is looking at. We announced last fall
12 that we were going to begin looking at corporate
13 information perhaps to better inform the Agency. And
14 we are aware of some work that's been done from an
15 economics perspective in terms of financial
16 information. There has been some work to demonstrate
17 that that may be a useful tool to identify whether or
18 not the viability of a corporation is such that they
19 may be changing practices as a consequence of their
20 financial viability. And so it's those kind of
21 things that the Agency is not just looking at what
22 information we have available in the plants that we

1 regulate, but there are other tools available to us
2 that we have expertise and the capacity to tap into,
3 and we will.

4 MS. ROSENBAUM: Okay. Because it's our
5 opinion that in many cases, the efficacy of the
6 interventions depend highly on the skill level of the
7 plant employee that might be applying them. And
8 we've been led to believe by various people who have
9 approached us in the course of the last few years
10 that some of those key employees might, for instance,
11 be subject to INS raids and such forth that they're
12 losing a lot of people with a lot of good, in-depth,
13 long-term knowledge on these types of interventions,
14 and perhaps that's an issue here. Thank you.

15 DR. GOLDMAN: Thank you. Mr. Painter?

16 MR. PAINTER: Stan Painter with the
17 National Joint Council. I'm wondering, does team
18 inspection and risk-based inspection and/or risk-
19 based inspection play a role in the reduction of the
20 *E. coli* and, if so, how?

21 DR. ENGELJOHN: I'm sorry. I don't -- this
22 is Engeljohn. I don't have an answer to that, nor

1 have we looked into it, nor has I think it actually
2 been something that we would have focused on. The
3 Agency's perspective is looking at what is in place
4 in the operations, what do our own data tell us.

5 To the extent that we can look at our data
6 to parse out what we think might be happening, we
7 can, but I don't think that we have the intention or
8 ability to look at that particular issue.

9 MR. PAINTER: Thank you.

10 DR. GOLDMAN: All right. Are there any
11 questions from our call-in participants?

12 OPERATOR: We do have a question from Ilene
13 Arnold. You may ask your question, and please state
14 your organization.

15 MS. ARNOLD: Yes, Ilene Arnold here with
16 Multi-Development Division. I've been -- listen to
17 the questions and comments being made. I can tell
18 you that sometimes it's pretty difficult. There is a
19 lot of background noise. I apologize if someone has
20 already answered this question. But the comments
21 particularly associated with inspector and what they
22 know or have known that headquarters may or may not

1 know or be aware of is of interest in the context of
2 the checklist information collected. When inspection
3 program personnel are assigned to verify regulatory
4 compliance, if they certainly observe conditions that
5 create unsanitary conditions or practices or
6 certainly observe product adulteration -- observe
7 conditions that -- from determining that product is
8 not adulterated or mis-branded, certainly those
9 inspectors have the authority to take regulatory
10 control action.

11 With that in mind, the *E. coli* 0157:H7 was
12 reviewed as Dr. Engeljohn indicated by the frontline
13 supervisors, and that was actually directed by the
14 OFO district offices prior to the electronic
15 submission.

16 So this is my question. Has there been any
17 feedback from the frontline supervisors associated
18 with them documenting deficiencies of knowledge or
19 deficiencies in execution in the inspection program
20 personnel they supervise that would demonstrate that
21 the checklist information was not appropriately
22 collected or that would -- or any other FSIS program

1 area evaluating data to believe the data collected is
2 not accurate?

3 DR. ENGELJOHN: This is Engeljohn to
4 answer. I would say that, at this time, no, there
5 has not been that kind of analysis, nor do I know
6 that we've collected it, but it certainly is an issue
7 for which we need to take attention to and address.
8 But, to my knowledge, we have not.

9 DR. GOLDMAN: Okay. Thank you all for your
10 questions and comments and participation in this
11 session. We are at a break. If I could ask people
12 to return in 15 minutes, we'll end the day with four
13 presentations from FSIS. Thank you.

14 (Off the record.)

15 (On the record.)

16 DR. GOLDMAN: Before we begin this last
17 session, it was pointed out to me that the
18 opportunity for comments are specified as comments on
19 issues and topics presented in the preceding session.
20 So as is customary for FSIS, we want to invite anyone
21 who has a general public comment to do so at the end
22 of this session. So you will have an opportunity to

1 make a general comment if you'd like. There is a
2 designated public comment opportunity tomorrow as
3 well. But for anybody who may be leaving today and
4 you'd like to make a general comment that's not
5 relevant to a particular topic, you are welcome to do
6 so.

7 Let me now move to the last session.
8 Again, we'll have four relatively short presentations
9 by FSIS and then we'll have again an opportunity at
10 the end for about a half an hour or so for any
11 specific comments or questions about these
12 presentations, and then any general comments.

13 Let me introduce first Dr. Carl Schroeder,
14 who is currently our deputy director of the Risk
15 Assessment and Residue Division and was formerly
16 affiliated with the University of Maryland. And Carl
17 will talk to us about the two existing risk
18 assessments related to *E. coli* and our plans for
19 updating those risk assessments. Thank you, Carl.

20 DR. SCHROEDER: Good afternoon. As David
21 mentioned, today I'll tell you about the risk
22 assessment work that FSIS has done for *E. coli*

1 0157:H7, focusing on a risk assessment we did in
2 2001, one we completed in 2002, and two that we are
3 currently working on. Next slide, please.

4 Before I talk about the risk assessments,
5 before I talk about the risk assessments, let me take
6 you through the process in general how we work. When
7 we set out to do a risk assessment, we begin
8 developing a risk assessment plan and gathering data
9 for that risk assessment. We then work with our risk
10 managers to formulate and refine risk management
11 questions. We then develop a risk assessment model
12 in an attempt to answer those questions.

13 When we're done with that, we have the
14 model peer-reviewed independently so that we can get
15 an understanding of what we've done well and what we
16 need to improve. We then have public presentations.
17 We receive comments from stakeholders. We revise our
18 risk assessments in light of those comments and post
19 them to the FSIS website. I'll stress that
20 throughout this process, it's an iterative process
21 especially when we work with our risk managers to
22 refine our questions and our assessment. Next slide,

1 please.

2 In 2001, we completed a risk assessment for
3 *E. coli* 0157:H7 in ground beef. And this was meant
4 to give a baseline estimate and to help our risk
5 managers see what mitigations might be effective for
6 reducing *E. coli* in ground beef. On the next slide,
7 the two questions that we were asked was what is the
8 risk of illness for *E. coli* 0157:H7 in ground beef
9 and what is the occurrence and extent of *E. coli*
10 contamination at points along the farm to table
11 continuum. Next slide, please.

12 This was one of the first models that we
13 developed, and we developed what we call process
14 model. Without going into depth, what that basically
15 means is at every point along the processing chain
16 from farm to table, we try to get data to inform
17 those points and model them. The next slide.

18 Based on that model, we came up with the
19 following results. In answer to the question on risk
20 of illness, we predicted that in June to September,
21 the warmer months, 1 out of every 600,000 ground beef
22 servings cause illness. And in October to May, that

1 risk reduced to about 1 out of every 1.6 million
2 ground beef servings.

3 Some of the risk factors for contamination
4 of ground beef with *E. coli* included the prevalence
5 of *E. coli* at feedlots, the occurrence and extent of
6 carcass contamination, the effectiveness of
7 procedures used to decontaminate carcasses, and the
8 effect of carcass chilling. The next slide, please.

9 Excuse me. The conclusion from this is
10 really the mitigations during cattle production and
11 slaughter were predicted to be very effective for
12 reducing the risk of illness from *E. coli* 0157:H7 in
13 ground beef. So this gave our risk managers a
14 beginning, a baseline from which to work.

15 On the following slide, in 2002, we did a
16 risk assessment, a comparative risk assessment for
17 0157:H7 in intact or non-tenderized beef steaks
18 compared to non-intact beef steaks. This was
19 requested by our risk managers in light of some
20 epidemiologic evidence that suggested steaks were
21 causing illness and in light of a report from the
22 National Advisory Committee on Microbial Criteria for

1 Food. Next, please.

2 The question that we were asked: Do non-
3 intact, blade-tenderized beef steaks pose a greater
4 risk to the consumer from *E. coli* 0157:H7 compared to
5 intact beef steaks? Again, without going through it
6 point by point, this is another what we would call
7 process model, relatively complex, where we tried to
8 model each step in the pathway.

9 And on the next slide, our results
10 suggested that, in terms of contamination, about 2.6
11 of every 10 million servings of intact beef steaks
12 were contaminated with *E. coli*, and about 3.7 of
13 every 10 million for non-intact. And that translated
14 to a risk of illness of roughly 1 illness per 16
15 million servings of intact beef steaks and 1 illness
16 of roughly -- for every 14 million servings of non-
17 intact beef steaks. And so from this we concluded
18 that the risk of illness from *E. coli* 0157:H7 in non-
19 intact beef steaks is not significantly higher than
20 the risk of illness from intact beef steaks. Next
21 slide.

22 So those are the two risk assessments that

1 we have completed. The two that we've recently begun
2 I'll tell you about to close my talk. The first one
3 is 0157:H7 pre-harvest risk assessment. We heard
4 several questions in the last session regarding pre-
5 harvest mitigations. And this is one where our risk
6 managers want to know the effectiveness of various
7 pre-harvest mitigation such as vaccination et cetera.

8 On the next slide, the objectives were to
9 develop a screening tool to allow more rapid
10 assessment of pre-harvest risk management options and
11 to determine if pre-harvest intervention is a cost-
12 effective food safety strategy under the most
13 optimistic assumptions. Next slide, please.

14 This is one where I think we're learning
15 from some of what we've done earlier, and many times
16 to answer the questions that were asked, we probably
17 don't need the types of complex process models that
18 we create, and so we're trying to answer this
19 question with a simplified model, but we're in the
20 very early stages. On the next slide, please.

21 We hope to have the pre-harvest portion of
22 this risk assessment completed in fall of 2008. I

1 would like to stress that in working with our risk
2 managers, we anticipate expanding this risk
3 assessment to look at all mitigation options not just
4 pre-harvest, similar to what we did in 2001.

5 And, finally, on the next slide, we are
6 updating the comparative risk assessment that I told
7 you about for intact and non-intact beef where we
8 essentially did not see a difference in the risk of
9 illness. We're updating that in light of some
10 epidemiologic data in the past several years that
11 suggests that needle tenderized steaks might be a
12 risk of illness for *E. coli*.

13 Our objective is the same, to estimate the
14 risk of illness from *E. coli* 0157:H7 in intact versus
15 non-intact beef steaks. And the proposed model, we
16 plan on using a model that's very similar to the one
17 we used in 2002, but we're working with our partners
18 at the Agricultural Research Service to get new data
19 so that we can use those data to inform the updated
20 model.

21 We're looking at studies that would tell us
22 about translocation and distribution of *E. coli* in

1 mechanically and chemically tenderized beef, data for
2 the growth of *E. coli* in non-intact at various
3 temperatures, the effect of cooking on *E. coli* in
4 non-intact steaks, and the effect of sanitation
5 processes on removal of *E. coli* from blades and
6 needles that are used to tenderize beef. And we're
7 in the preliminary stages. We would hope to have
8 that completed by the fall of 2009 once those new
9 data become available.

10 And so, lastly, to summarize, in addition
11 to the two risk assessments that we've completed, the
12 one in '01 and '02, we're conducting a risk
13 assessment to look at pre-harvest interventions for
14 *E. coli* and beef. We will then expand the scope of
15 that assessment to look at other mitigations. We are
16 in the process of updating our comparative risk
17 assessment for *E. coli* in intact and non-intact beef.

18 And, lastly, all of our risk assessments
19 are response to comments, public comments that are
20 submitted, and so forth. We make available at our
21 website that you see listed there. Thank you very
22 much.

1 DR. GOLDMAN: Thank you, Carl. Next up
2 we'll hear from Mr. Loren Lange, who is my deputy in
3 the Office of Public Health Science. We're glad to
4 welcome him back into public. He's going to sit up
5 here to deliver this presentation.

6 But Loren has been the executive sponsor
7 for the baseline programs in our Agency for a number
8 of years. And most of you are very familiar with the
9 trim baseline study, which was conducted largely over
10 calendar year 2006 and ended early in 2007. It is
11 continuing to undergo various forms of analysis, and
12 Loren is going to present with you -- to you the
13 early results of this trim baseline as well as the
14 plans for future analyses. Thank you, Loren.

15 MR. LANGE: Apologize for sitting, but I
16 can say I'm very happy to be here. This is my sort
17 of first day out except for things like physical
18 therapy since February 1st. So it is good to be
19 here.

20 The data I'm going to present briefly today
21 hopefully will be available in the first of two
22 documents that we will publish on the beef trim

1 baseline. In preparing this presentation, I did
2 finally get all my comments to Dr. Goldman, handed
3 them in today as I got here. So we will be making
4 some revisions in the report that I'm summarizing
5 today and hopefully get that into Agency clearance by
6 the end of next week. So I would hope that this
7 within a month will be posted on the Web.

8 The second paper I'll talk a little bit at
9 the end about some of the types of analysis that
10 we'll cover, but the main thing, the second analysis,
11 which is still ongoing, is taking a lot of production
12 data that we have collected and doing the statistical
13 analysis that turns the actual laboratory findings
14 from the study into estimates of national product
15 prevalence, accounting for non-responses and
16 accounting for plants that are currently under
17 production that weren't included in the baseline
18 study.

19 So with that I'm going to just briefly sort
20 of give you an overview of what the baseline study
21 was and then talk about some of the major policy
22 decisions we made or design decisions we made that

1 sort of kind of were relevant to a lot of the
2 discussion earlier today. And, lastly, then I'll
3 present the results.

4 On the overview, as Dr. Goldman said, these
5 samples were collected really from late December 2005
6 through early January of 2007. That was essentially
7 a calendar year baseline. The last analyses were
8 completed in early 2007. The design was based on
9 getting at least 2000 samples to make further
10 analyses of the results. These samples were analyzed
11 at our three laboratories, which are in Athens,
12 Georgia, St. Louis, Missouri, and Alameda,
13 California, and at a contract lab, Food Safety Net
14 Services, Ltd., at San Antonio, Texas.

15 It was a management decision that even
16 though our contract lab is an ISO 17025-accredited
17 lab for analyzing for *E. coli* 0157, because those
18 were, you know, regulatory findings, the management
19 council decided that we would, you know, do all the
20 0157 analysis at our labs, so that also created
21 complications. At each sampling event, we actually
22 had our inspectors collecting two samples, one for

1 0157 in our labs and one for the contract lab.

2 The analyses that were conducted were
3 basically sort of what was recommended by our
4 National Advisory Committee for Microbiological
5 Criteria for Foods is that we would do both presence,
6 or prevalence and onification (ph.) for *E. coli*
7 0157:H7, *Salmonella*, generic *E. coli*, coliforms,
8 Enterobacteriaceae, and aerobic plate count.
9 Existing laboratory's methods would be used where
10 they were available. If not, they would be AODC-
11 approved methods if there wasn't something that we
12 actually did in our labs.

13 Now, I mentioned there were a lot of design
14 issues, and some of them certainly have been related
15 to -- the questions that have been raised today on,
16 you know, how to collect samples of beef trim. So
17 we'll go a little bit over where, when, how, and
18 what.

19 First topic of where, by where I mean at
20 what type of facilities. There was a decision made
21 that we would collect these samples, the beef trim
22 baseline, at the plants where carcasses were -- no --

1 cattle were slaughtered, turned into carcasses, those
2 carcasses were chilled and then fabricated and
3 produced the trim. At that time, we -- in the
4 initial design, we wanted to collect data on the
5 interventions that were used in the slaughter
6 facilities and samples would be collected at
7 facilities where at least those facilities had an
8 opportunity to make adjustments on the kill floor to,
9 you know, adjust risk.

10 We recognized at the time there were -- the
11 trimmings are produced, as they've been called today,
12 bench trim, where people by primals and cut steaks
13 and roasts and produce bench trim, trimmings -- also
14 produced at retail. Just baseline, though, the trim
15 would be those trimmings produced at the slaughter
16 facilities.

17 The major issue at that time for not even
18 attempting to try to deal with those downstream
19 trimmings was a microbiology decision in the sense
20 that we knew we could collect the samples at the
21 slaughter facilities essentially the day after the
22 carcass was slaughtered, chilled, and then produced

1 the next day. We have no real feel for the time for
2 when those downstream trimmings are produced.

3 So, you know, people in the audience know a
4 lot better than me about that. Some could be a week
5 later. Some could be two weeks later. We may or may
6 not be able to capture, you know, how long it had
7 been since, you know, those trimmings or the primals
8 were produced. And so there would be a lot of
9 questions raised about handling temperatures and
10 handling. So, you know, when microbiology weighs in
11 on a baseline, they want every sample collected at
12 the same time, shipped at the same time, arriving at
13 the lab at the same time, all under the same
14 conditions. So was actually the major situation
15 there.

16 The when. We really have a lot of
17 discussions within the Agency on two major options.
18 One would be collecting beef trim at the end of the
19 boning line where the carcasses, you know, finish
20 fabrication, and the other after the product was
21 accepted for use in raw ground beef. Proponents for
22 the end of the boning line argue that, well, then our

1 baseline would be a really good measure of how well
2 the slaughter process was working in keeping down
3 both indicator organisms and pathogens.

4 But our risk assessment, which is one of
5 our major clients of baseline information really
6 wanted data on the contamination levels in trim that
7 was available for use in raw ground beef. So the
8 population that we were really measuring in became
9 beef trimmings produced in slaughter boning
10 operations that had passed existing food safety
11 systems and had been cleared or were available for
12 use in raw ground beef production.

13 The how. We had a lot of discussions with
14 both industry scientists and ARS scientists from the
15 early days before we even started this baseline. We
16 had discussions about, you know, the pros and cons of
17 collecting purge, poor drilling devices, and various
18 amounts of surface slices. We've heard a lot of
19 references to N-60. There were people talking N-25,
20 N-30 at that time. I think those were the three
21 major sort of numbers of surface slices. The
22 decision was made to use what people have been

1 referring to as the N-60, a sample of 60 thin surface
2 slices from a production lot.

3 Comment on purge, we were collecting
4 samples from where the trimmings are going into these
5 big 2,000 pound combo bins. Purge wasn't available
6 at that time, or, you know, they're big, heavy --
7 most of the time they're heavy plastic liners, so if
8 there was purge, it was the bottom. But we were
9 collecting as they were getting filled and getting
10 ready for use, shipping out of the plant or -- so, I
11 mean, there really wasn't an opportunity to find at
12 that time for us, baseline, you know, a purge from
13 the, you know, bottom of the container.

14 One last comment on the N-60. I said the
15 number 60 was based. Well, it references the
16 publications put out by IC-MSF. 60 is probably the
17 most intensive sampling program in those publications
18 and really is sort of referred to as, you know, a
19 sampling program to use where you have a severe
20 hazard and the conditions are, you know, such that
21 you might have an increase in the hazard.

22 As I think Dr. Samadpour pointed out, it is

1 sort of in the text if you go there. It talks about
2 95 percent probability of detecting, you know, a
3 organism that's present at a certain level. So it's
4 not -- you wouldn't go to either I think there's
5 actually three different publications that talk about
6 the 60 samples. They're not going to say, oh, this
7 is the sampling method we recommend for beef trim,
8 but they are the sampling method sort of for a severe
9 hazard and other conditions. So it was more -- it at
10 least has its tie-in to sort of the most intensive,
11 you know, sampling program that's referenced in those
12 documents.

13 The what. We defined the term beef
14 trimmings at that time to also include the subprimal
15 cuts, such as boneless cuts, if they were being
16 produced and used as components of all ground beef.
17 I think in our development of the instructions, you
18 know, if we got a question from the field on, well,
19 when the sample of the subprimal not a subprimal, is
20 how is it being processed in the plant. I mean, so
21 if a combo bin of boneless chucks is right there with
22 a combo bin of small trimmings, large trimmings, and

1 it's being handled as trimmings, then it was part of
2 the baseline. If it was handled more how the primals
3 and subprimals were going out, then it wouldn't have
4 been included in the baseline.

5 We had a lot of discussions on whether or
6 not to try to characterize the fat content of the
7 trimmings we were sampling. And there was interest
8 at that time. There was concern that, you know, high
9 fat trimmings might be different. That was about the
10 first time we were hearing about that.

11 But two considerations weighed in. One is
12 we didn't think it would be always available to our
13 inspectors. And, as I know some of the staff pointed
14 out, someone could go to a 70/30 combo bin and
15 actually collect a 50 percent fat trim and someone
16 could go to another one and -- we had no control
17 over, you know, really the ability of the people to
18 sort of say, well, if the combo bin is 30 percent fat
19 and you know that, make sure that your 60 slices are
20 30 percent fat.

21 So it was just deemed to be beyond the
22 scope of the ability for us to really carry out at

1 that time. And this baseline study did not include
2 any of the other components that you've heard
3 mentioned today, the head meat, the organ meats, the
4 AMR product, the very high fat content, you know,
5 trimmings that would be destined for finely textured
6 beef or partially defatted, you know, product and
7 stuff that aren't really used as an ingredient in raw
8 ground beef.

9 So that sort of covers some of -- a lot of
10 the issues we went into. I've summarized the results
11 in this publication that will be coming out soon on
12 the next slide for the prevalence results. People
13 seem mostly interested first in what did we find on
14 the pathogen levels. Out of 1,900 samples analyzed
15 at FSIS labs, we had 13 positives, .68 percent, which
16 is a percentage of positive samples, not our estimate
17 yet of national product prevalence. Wanted to make
18 that clear. *Salmonella* findings, out of 1,719
19 samples, there were 22 positives or 1.28 percent.

20 Why the difference, the 1,900 and 1,719, I
21 don't think it's entirely attributable to how FedEx
22 delivers samples in Texas. The main reason was we

1 did have a higher temperature allowance. We allow a
2 sample to come in for 0157 analysis at our regulatory
3 labs at 15 degrees centigrade. For the analysis that
4 was going to be conducted at the contract lab,
5 microbiology determined that we didn't want samples
6 coming in over 10 degrees. So we probably had some
7 samples rejected at the contract lab because of
8 temperature that would have been acceptable for our
9 lab. So that is one reason. It may not explain all
10 of them, but we're still kind of looking into that.

11 I will point out on this slide the one
12 thing that was mentioned, that there's a lot of zeros
13 with generic *E. coli*. Yes, we found generic *E. coli*
14 only in 15.7 percent of our samples. Just our look
15 back at history is sort of -- 15.8 was the percentage
16 of on the cow/bull baseline study back in the '90s.
17 I think steer/heifers were somewhere around 8
18 percent. So, you know, there are still a lot of
19 zeros when you're looking at generic *E. coli* in beef
20 trim or on carcass samples. Those earlier baselines
21 were not sponges like we do today. They were
22 actually incision type baselines.

1 The reason we added Enterobacteriaceae was
2 because we thought it would be, you know, present a
3 lot more and may be a better indicator of sanitary
4 dressing procedures. And, yes, we found it 59
5 percent of the time. So that is a positive indicator
6 organism that, in the sense of each criteria, is it
7 present a lot? Yes, it was present a lot in the
8 beef --

9 Now, the next slides briefly summarize what
10 we found in our quantification. And I do apologize.
11 We have to sort of imagine yourself that your down at
12 the ground level looking through a three-dimensional
13 bar. So the first one is really six although it
14 looks like it's about 5.6 on the stuff. It's a
15 projection back to the line. So if anyone -- it's
16 confusing.

17 This slide shows 6, 3, and 3. As I
18 mentioned, we had 13 positives. We were able to
19 quantify or run our MPN number on 12 of those. Six
20 were below our MPN level of detection. That doesn't
21 mean they're zero. I mean, you got two things that
22 could happen. If we take 325 grams and we analyze

1 five 65-gram subs to determine prevalence, take 325
2 grams for the MPN method, but you don't -- you really
3 only get 65 analyzed at the same original method. So
4 the level of detection really does change. So those
5 six in the first graph that quantify, you know, they
6 could actually be negatives because it's a
7 heterogeneous, you know, distribution of the product
8 or they really were below our level of detection.

9 The six that we quantified, the average was
10 .56 colony-forming units per gram. It's always good
11 for me to think in terms of a quarter pound
12 hamburger. That's 113 grams. The .56 is 63 to 64,
13 you know, colony-forming units in a quarter pound of
14 ground beef although this is trimmings, just to sort
15 of put it, you know, in a perspective that, you know,
16 is easier to sort of visualize.

17 The range was from 4 to 170 if we put it in
18 this. And, as I said, one sample wasn't positive.
19 Generally, I guess we would say that the levels were
20 pretty low. The highest level we found was 1.5 CFU
21 per gram, which, as I said, in a quarter pound, that
22 equates to 170 organisms.

1 The next slide is just for comparison. We
2 started in about July quantifying our raw ground beef
3 samples coming into the lab. We had nine results
4 from July to the end of the year that were
5 quantified. Again, six of those, six out of nine of
6 those were below our ability to quantify our MPN
7 method. We did find one fairly common, you know,
8 contaminated ground beef sample that had a result of
9 43 colony-forming units per gram. It was a raw
10 ground beef sample collected in late September. So
11 that's sort of -- since we've been trying to quantify
12 *E. coli* 0157, that's certainly been our highest
13 number.

14 The next slide, as I mentioned earlier, we
15 had 22 positives for *Salmonella*. We were able to,
16 you know, quantify all those 22 positives. Well, we
17 ran the MPN method on all of them. Our level of
18 detection here is higher in order of magnitude than
19 it is for 0157. So 13 show up as less than 0.3,
20 which for our method at the contract lab for
21 quantification was there. The average was certainly
22 higher when we did find positives in 0157, where I

1 said it was .56. The average was 12.6 colony-forming
2 units of *Salmonella*. And it ranged from up to 46 was
3 the high, 46 CFUs per gram bundled up.

4 Staff wanted me to just sort of make one
5 comment. There's been a lot of comments about our
6 level of detection, what's on -- are posted on the
7 Web. There's a lot of sort of level of detection,
8 and there's a lot of sort of art and uncertainty to
9 it, level of detection and looking to these organisms
10 can vary on the fat content for the sample. It can
11 vary on the competing organisms, what's the microbial
12 flora in the sample. It can vary. We use 0157 for,
13 you know, fermented sausage and raw ground beef, that
14 that now changes.

15 We post an LOD because our crediting body
16 wants us to post an LOD. So the one that's referred
17 to there is .23 colony-forming units per gram is
18 something we demonstrated in our lab validation
19 studies. If you ask the question do you think we
20 ever find one unit at one of these samples? No,
21 because it is enriched. Yes, I think our
22 microbiologists will say sometimes we get, you know,

1 one of our positive samples may be -- grow out of a
2 single organism.

3 Do we always find one organism in a 65-gram
4 sub? Probably not. You know, there just isn't
5 enough resources around to sort of generate the types
6 of study you would really need to sort of say what is
7 our level of confidence of finding one, our level of
8 confidence of finding two, and then you'd have to do
9 it across different products, different fat content,
10 different background levels of competing organisms.

11 So I promised our micro division I would at
12 least comment on what looks like a high LOD isn't
13 necessarily what we do find in our lab on our
14 regulatory samples. We believe most of the time we
15 find very, very low levels.

16 The last slide -- well, next to the last
17 slide here I put up just as illustration when the
18 report comes out. We did this type of chart and this
19 type of distribution for all our indicator organisms.
20 This one happens to be for the generic *E. coli*, where
21 we have 270 positives. What was -- 50.7 percent. I
22 forget exactly. We did get a quantification on all

1 those, but as you see here again, over 84 percent
2 were negative by our method. You can see there are a
3 few out buyers, the last 20, 10, and 1 are fairly
4 high levels of indicator organism. We're going to be
5 looking a lot more at the data, the levels -- and the
6 different indicator organisms.

7 And I'll finish with our sort of what our
8 next steps are. Well, first you will see a summary
9 of these results, hopefully, very soon on our Web.
10 We need to complete the analysis of, you know, the
11 data to generate our estimates and national product
12 prevalence. Just so people know what we did collect
13 when we collected the samples, we've collected a lot
14 of data on the interventions that were used. We've
15 collected data on the age of the carcasses, whether
16 it was a line kill versus a bed kill, and we tested
17 whether or not the plant was doing routine testing
18 for 0157 and a lot of information on the lot size
19 that was sampled and the daily production on the
20 plant.

21 So we've got a lot of work to do with
22 policy and looking at the types of, you know, further

1 analysis we want to do in our second report we'll put
2 out on the baseline. The reports will try to look at
3 pathogens versus indicator organisms, but, probably,
4 if you find the pathogen so few times, it's, you
5 know, it's really problematic to sort of say you're
6 going to be able to demonstrate sort of a
7 relationship between the indicator organisms.

8 We think there's a lot more promising
9 analysis that's going to be done in terms of, you
10 know, the data that we collected on interventions and
11 the levels of indicator organisms. And, of course,
12 we will be looking at the full analysis of this
13 baseline and exploring implications of policy
14 changes. I thank you and sorry I had to sit.

15 DR. GOLDMAN: Thanks very much, Loren.
16 Next, we'll hear from Dr. Ken Petersen who is our
17 assistant administrator for our Office of Field
18 Operations, and he is going to discuss his
19 conclusions from some of the finds related to FSAs
20 that were conducted last year in plants that may have
21 had a positive *E. coli* 0157s. Dr. Petersen?

22 DR. PETERSEN: Okay. Thank you. Good

1 afternoon. Good to have everybody here with us
2 today. Actually, I've got two things I want to talk
3 about. First, to go over some of the information
4 we've seen in our recall data over the last few years
5 particularly related to *E. coli* and then also
6 illnesses, and then we'll get into some activities we
7 did for food safety assessments related to *E. coli*.

8 So last year -- we touched on some of this,
9 but I don't think all of it -- 21 Class 1 recalls due
10 to *E. coli*, which as you'll see in a minute was a
11 rather significant trend in the wrong direction from
12 what we've been seeing in recent years. Total
13 poundage, over 33 million pounds. Some of that was
14 driven by several large recalls multi-million pound
15 recalls which we had not seen in a while.

16 Not unusually, most of them were ground
17 beef-related. We had one or two, or really one kind
18 of odd one that we hadn't seen in well over ten
19 years, a pepperoni-related 0157 recall and then one
20 or two, I believe, related to mechanically tenderized
21 steaks, which was an issue we thought we had
22 addressed several years earlier, really, for similar

1 reasons, where we were seeing outbreaks and folks
2 were not adequately considering potential hazard with
3 that product and that pathogen.

4 So breaking them out, how did we come to
5 find these 21 *E. coli*-related recalls? Eight of them
6 due to FSIS testing, which, given the fact that
7 there's a recall by definition means the plant did
8 not hold the sampled lot. Three were due to industry
9 sampling, where either they got a late result or
10 something else happened and the product was already
11 in commerce. These would be the results they brought
12 to us. Ten were due to some kind of outbreak-related
13 activity, and that's kind of the big changes we'll
14 see. The next slide.

15 I think we don't need to get so much hung
16 up on the numbers on this slide. For one, you
17 probably can't see them in the back. But look at the
18 colors of the bars, and I'll kind of tell you what
19 you're looking at here. The far left side, the high
20 bars, the purple bars, are total recalls by year.
21 And, again, the trends are just important. They're
22 important here. Not the raw numbers. The far left

1 side is the 2000 calendar year. The far right side
2 is last year calendar year '07.

3 And so we see the first three bars, the
4 purple bars, 2000, 2001, into 2002. 2002, 113
5 recalls, lots of outbreaks, *E. coli*, deaths, *Listeria*
6 recalls, a very bad year for public health. The next
7 colored bar down, kind of the reddish bars, are the
8 recalls in that year that were related to *E. coli*,
9 and then the beige bars down at the bottom were the
10 recalls that were due to some kind of outbreak.

11 So when you get past 2002 -- and, of
12 course, in the fall of 2002, specifically October, is
13 where we pushed out policies expecting plants to
14 reassess due to *E. coli*. Coincidentally, fall of
15 2002 is when we graduated our first class, I believe,
16 of the EIOs. So we had a new capacity to really
17 assess what was going on as far as food safety
18 systems.

19 Then, in subsequent years, obviously, the
20 number of recalls went down quite markedly, 68 the
21 next year, 48, 53, and then 58 last year. But you
22 also see the recalls related to *E. coli* down

1 significantly. Twelve in 2003, then 6 in '04, 5, 8,
2 and then spiked up to 21. Similar story for
3 outbreaks, down markedly. And that parallels some of
4 what we've heard here earlier.

5 Agency pathogen *E. coli* results in products
6 we were testing was down and largely became flat
7 during that period, in the .17 to .2 percent range we
8 were testing. Our strategy was to focus on product
9 that was going into the marketplace in its raw state.

10 And then so it would be reasonable to
11 conclude that things were -- could be improved, but
12 things were static at a low level in the time period
13 from 2003 to 2006. And then things became somewhat
14 undone last summer. And then that's obviously why
15 we're here today, with both *E. coli*-related up from 8
16 in '06 to 21 in '07, *E. coli*-related outbreaks zero
17 that led to a recall in '06 and up to 10 in '07.
18 Okay.

19 Then moving on to the food safety
20 assessments-related notice 6507, which was put out
21 last year. We've had some discussion on this. FSIS
22 personnel did awareness meetings with the plant, said

1 here's the notice, here's kind of what's in it,
2 here's what we expect you to be doing, ascertained
3 whether and how the establishment had reassessed, and
4 then, as was described earlier, walked through the
5 checklist. Okay.

6 And collecting a variety of information,
7 identify operations that were not employing certain
8 multi-point strategies to deal with *E. coli* 0157:H7.
9 The converse of that would be finding plants that
10 were doing things well. Capture production control
11 practices so that we can analyze that data. It would
12 help us prioritize food safety assessments, and we'll
13 see how we did that in a minute. And, then,
14 ultimately, use the data to inform our risk-based
15 strategies, including which -- how to focus our risk-
16 based testing.

17 The constellation of plants that were
18 subject to the reassessment -- we saw this number
19 earlier -- was about 2,322. There's about 5,300
20 plants in the federal system that are subject to
21 HACCP and SSOP regulations. So out of the 5,300,
22 just over 2,300 were under 6507. Then on the far

1 right side, I gave some percentages, I think, to give
2 some frame of reference here.

3 Of the 5,300 plants that we have nationally
4 for all, you know, all products, again, HACCP and
5 SSOP, about 51 percent of the overall population is
6 very small plants in the big pool. Here, it was a
7 little bit above that. About 58 percent of the ones
8 under the *E. coli* reassessments were very small. So
9 a little bit above the 51 percent in the broader
10 pool.

11 Small plants here was about 39 percent.
12 They make up about 40 percent of the overall pool.
13 So that's pretty much spot-on. Because very smalls
14 are over-represented, somebody has got to be under-
15 represented in this particular survey, and so the
16 large plants, of which there were 61 making up 3
17 percent, they make up about 7 percent of the overall
18 5,300-plant population. Okay. Next slide.

19 Then, in mid-November, after the survey had
20 been out for a while, we started initiating some food
21 safety assessments at certain beef-producing plants
22 to assess the outcomes of their reassessments. And

1 we established some criteria for prioritizing how we
2 were going to work our way through the food safety
3 systems. And basically a two kind of pronged
4 approach.

5 Starting out with large volume plants that
6 slaughter and/or processing moving down to small/very
7 small slaughter operations with low volume, focusing
8 on slaughter because, obviously, that'd be the entry
9 point for the pathogen and then moving down to
10 small/very smalls that grind or produce non-intact
11 steaks with a large volume and then very smalls that
12 grind or produce with the low volume.

13 So each district would work their way down.
14 Some districts don't have a lot of large plants, so
15 they, you know, end up with a second tier. Some have
16 a lot of large plants, so they'd perhaps be spending
17 some time at the upper tier. Then we gave the
18 districts basically two distributions. One was large
19 beef slaughter, the top 100 beef slaughter plants in
20 the country. That would help them obviously with
21 large slaughter volume. Once you get below the top
22 100, those plants below that slaughter are less than

1 20,000 a year. So 20,000 and above pretty much makes
2 up the top 100 beef slaughter plants in the country.

3 Then we gave them a list of the top 40 or
4 50, I believe it was, grinders. So that was kind of
5 their metric to start working their way through.
6 Okay.

7 Then, within each of those categories --
8 again, they kind of worked their way down it. If I
9 don't have any large plants, then I go to the small
10 plants, and on. They worked through this
11 prioritization. First of all, first of all, did the
12 plant not reassess? Obviously, that'd be a good
13 candidate to do a food safety assessment. As we saw
14 earlier, very few plants were in that category, but
15 there were a few. And we had made it known early on
16 they would expect to see us pretty soon. And they
17 did.

18 Then, those plants that reassessed within
19 the last six months. Some plants, which is good, saw
20 the difficulty that was occurring earlier in the
21 summer, June, July, and so they reassessed then.
22 They didn't wait for the Agency to tell them, hey,

1 you need to reassess. So some of them that's why we
2 came up with the six-month window. When we saw
3 trends in 0157, did some, you know, come out of the
4 box early?

5 But if they did reassess, did they make no
6 changes? That could be a perfectly appropriate
7 decision, but it might not be. And if they made
8 changes to their HACCP plan or prerequisites or SSOP,
9 those with inadequate support were a focus for a food
10 safety assessment, meaning they made some changes.
11 It's difficult to understand why they made those
12 changes or those rationale for making those changes.
13 That leads us perhaps with some questions about the
14 integrity of the plant.

15 And then plants with either no
16 interventions or using measures that were
17 inconsistent with the best practices. Again, the
18 best practices were not a requirement, but they
19 did -- they do kind of lay out a consistent way of
20 controlling the pathogen. Okay.

21 So then, with that, our EIOs went out and
22 conducted food safety assessments using those

1 criteria, and between mid-November and a couple weeks
2 ago, we did 224 food safety assessments pretty much
3 related to this activity. 95 plus percent, probably
4 closer to 98 percent were driven by one of these
5 criteria. We also in this time window had about 13
6 *E. coli* positives through Agency sampling. So some
7 of those would have been caught up in this. We
8 didn't list that as a priority, but it's already a
9 priority. If you pop a positive, we're going to be
10 in there looking at what you do. So 224 was the
11 number that we did. Okay.

12 So what did we find? All 15 districts were
13 involved. Obviously, beef production, certain
14 slaughter is focused in certain geographic areas, but
15 some parts of the country do more, some do less. 34
16 of the 224 food safety assessments basically resulted
17 in no action, acceptable findings, the plant had a
18 well-articulated program, and they were implementing
19 it effectively.

20 190 had various actions, kind of, you know,
21 moving from least severe up to most severe; 9 of them
22 we issued what we call a reassessment letter, used to

1 call it a 30-day reassessment letter. That basically
2 is we think your plant looks pretty good, looks like
3 you have everything there, but we didn't quite
4 understand a few things, and so we give you 30 days
5 to kind of re-articulate to us what it is you're
6 doing; 122 the outcome was one or more non-compliance
7 records, where there was some individual non-
8 compliance, the aggregate of non-compliance did not
9 rise to the level of a actual enforcement action, and
10 so that was the appropriate outcome. 55 resulted in
11 a notice of intended enforcement, which is where
12 looking at their program in aggregate, we have
13 sufficient questions that we give you basically 72
14 hours to make some revisions or we'll move you into a
15 suspension, and 2 of the 55 resulted in a suspension.
16 So 55 out of 224 basically resulted in an enforcement
17 action. Okay.

18 And this breaks it out a little bit by
19 plant size. So of the 224 food safety assessments,
20 we had 55 NOIEs. Six of those NOIEs, those
21 enforcement actions, or just over 10 percent, 11
22 percent, were in large plants. Of the 55 NOIEs, 25

1 were in small plants, about 45 percent, and of the 55
2 NOIEs, about 224 were in very small plants. And then
3 one small plant was suspended, and one very small
4 plant was suspended.

5 The overall kind of NOIE, 55 out of 224.
6 This was kind of a biased sampled, you know, if we
7 worked through the prioritization here. So that's
8 about a 24 percent, or so, overall rate of
9 enforcement actions taken as a result of these food
10 safety assessments. That's higher than we see, you
11 know, on average.

12 On average, over the course of a year, we
13 do however many food safety assessments. Some are
14 for cause. Some are not for cause. Some are for
15 some pathogen-related programs. On average, we
16 usually -- outcomes of a food safety assessment -- 8
17 to 9 percent tend to result in an enforcement action,
18 meaning an NOIE. So, here, it's significantly higher
19 than that, 24 percent, but it was a biased population
20 because we hit them based on, you know, information
21 we got from their questionnaire. Okay.

22 So common findings. Before we get -- kind

1 of work into these, let me make sure we understand
2 kind of what we do in a food safety assessment. In a
3 food safety assessment, really, it's called a
4 comprehensive food safety assessment because I look
5 at the entire system, the entire relationship of what
6 the plant theoretically wants to do, what is their
7 scientific support for what they're doing, are they
8 doing it on an ongoing basis, and are they assessing
9 and correcting as time goes on. So what's your
10 theoretical plan and are you implementing your plan?

11 And some plants have -- not necessarily
12 these ones. But you look at plants across the board.
13 Some plants have one HACCP plan. Some plants have 60
14 HACCP plans. So the complexity is all across the
15 board. Some of these plants no doubt had ready-to-
16 eat products, and that would be part of the food
17 safety assessment, because we look at everything.
18 Sanitation performance standards, SSOPs, HACCP, what
19 are you doing, and how is it working.

20 So these are kind of common findings that
21 kind of rose to the surface, meaning we saw them more
22 than once. And, typically, as we work through I

1 think there's five or six of them, you would see
2 these things in aggregate. You'd have to have
3 multiple kind of issues. I wouldn't want you to be
4 sitting there thinking one of these just gives rise
5 to, you know, an enforcement action, meaning a
6 suspension. But they give you some insight into kind
7 of what we found because, again, they were
8 consistent.

9 Failure to conduct hazard analysis or
10 identify the hazards associated with key steps. You
11 have points, you have steps in your process,
12 receiving, you know, marination, grinding, whatever.
13 If you don't understand what your hazards are at each
14 step, then there's no way you can decide how you're
15 going to control that hazard, much less, know that
16 you're doing it effectively. So you have to know the
17 steps in your process. You have to know the hazards
18 that may occur so that you can then decide how or
19 whether you need to control those particular hazards.

20 For example, not articulating the hazards
21 for a mechanical tenderization step to produce non-
22 intact cuts. We addressed that issue years ago.

1 You're penetrating the surface of a steak. That's
2 introducing a potential hazard. You need to think
3 about how you're going to prevent, reduce, or
4 eliminate that hazard from being reasonably likely to
5 occur. So that didn't occur in several firms.

6 Failure to provide supporting documentation
7 for decisions on selection of CCPs and critical
8 limits. Critical control point is to prevent,
9 eliminate, or reduce the hazard. And critical limits
10 are your parameters for executing that.

11 So, for example, and there's a variety of
12 these, but concentration of lactic acids. A plant
13 would say our concentration of lactic acid is 2
14 percent, and that controls the hazard. Well, how do
15 you know that? What science tells you that that
16 works on that hazard at that point in your process?
17 And they're unable to articulate that. And we see
18 that through other interventions, other critical
19 limits, failure to understand or have adequate
20 support for what it is you're doing. Okay.

21 Failure to carry on monitoring or
22 verification procedures according to your

1 prerequisite program. And a prerequisite program is
2 designed to show that the hazard is not reasonably
3 likely to occur. In this case, *E. coli*.

4 For example, certificates of analysis. Are
5 you receiving them on every load? Do you receive
6 different rigor of analysis from different customers?
7 Is one customer -- we talked about N-60 earlier.
8 There is N-15 testing. There is N-25 testing. So
9 why would you receive different rigor of samples,
10 results, from different firms? You can perhaps
11 describe why. I can't think of it offhand. But why
12 does that make sense in your program, that this
13 supplier is an N-15, this supplier is an N-25?
14 That's going to lead to some questions. So
15 certificates of analysis.

16 Then what do you know about what that
17 supplying firm is doing on an ongoing basis? What do
18 you know about validated interventions that they're
19 using, that it's effective, supplier letters that
20 could be, you know, provided at a lower frequency.

21 And then basic monitoring or verification?
22 Are you doing what you said you would do? You said

1 the hazard was not reasonably likely to occur. Fine.
2 How do you know that and know that that is true on an
3 ongoing basis? Okay. Next slide.

4 Failure to take appropriate corrective
5 action according to your HACCP or exit program? We
6 want you to -- all plants should assess what they're
7 doing. Things can go wrong. That's perfectly
8 understandable. Then you react appropriately and
9 make a determination on whether it was something
10 isolated or perhaps something structural or
11 systematic. You got to assess kind of what's
12 happened. What does your information tell you?

13 For example, failure to reject and control
14 incoming beef with positive *E. coli*. If your plan
15 doesn't provide for receiving positive samples, how
16 is it that you did receive it? Whether you processed
17 it is a whole different question, but even if you
18 allow that to come into your plan, that's a failure.
19 How did that happen? What was your corrective
20 measures? How did you keep it out of your process?
21 And so that was something else we saw.

22 Failure to maintain proper HACCP or -- show

1 CCP monitoring. Calibrations, frequencies and
2 corrective actions are taken according to your plan.
3 We can have a very good plan, well designed. I'm
4 going to monitor at this frequency. I'm going to
5 make sure my monitoring instruments are accurate.
6 But how do you know that's true yesterday, today,
7 next week, next month? Can you do that through
8 monitoring records following your plan? And if we
9 have a plan and we don't follow it, then we get back
10 into questions about whether you're controlling the
11 hazard of concern, which, in this case, is obviously
12 *E. coli*. So that's not at all uncommon, failure to
13 follow a plan, which doesn't make any sense. I spent
14 time to design it, so why not execute it? Okay.

15 Failure to validate and verify the ongoing
16 effectiveness of interventions designed to control
17 the pathogen, including failure to describe
18 procedures used in the application of the
19 intervention. We'll use the lactic acid example, 2
20 percent. Well, what does that mean? What pressure?
21 What temperature? We have the concentration. There
22 are different parameters. That's part of validation.

1 I mean, you've got to execute them on an ongoing
2 basis. Now, you can use as a safe harbor, a
3 scientific study as long as you follow that study the
4 way it's written. But then that study, you have to
5 decide if it works in your facility. And that's
6 ongoing -- that's initial validation. And then
7 verify that what you set up last year is still
8 working, that it's still doing what you thought it
9 would do.

10 So initial validation is a problem. You're
11 verifying that it's still working on an ongoing
12 basis, some kind of verification activity, and that
13 you're monitoring the right parameters in the
14 predicted intervention if that is what you're using.
15 So that's, again, not at all uncommon.

16 Failure to consistently implement
17 segregation and disposition procedures to control
18 product intended for grinding that is untested or
19 that test presumptive positive or positive. You
20 don't have to test the product. If you don't, and
21 it's for raw, we're going to think that it's really
22 intended for grinding -- I mean intended for cooking.

1 So failure to understand. Some of my process I'm
2 testing. Some I'm not. What are you, you know, what
3 are you, what are you doing? What are you doing with
4 that product and are you implementing it correctly?
5 You don't have to test it if you want to divert to
6 cooking. That's fine. But you need to understand
7 the segregation, the separation between those
8 particular products.

9 Or if you do the right thing -- and,
10 actually, this was a recall or two -- doing the
11 testing, getting a presumptive positive, and then
12 diverting that product to where it should go, which
13 is for terminal leave alley, failure to do that. Or
14 getting a presumptive positive, maybe holding that
15 product for some period of time, which is fine as
16 long as you control and segregate it, and then golly,
17 gee, lose track of it, and it makes its way into the
18 raw grinding stream. That's not a good thing. So it
19 can go both ways. Understanding kind of what you're
20 doing in your system or errors in a plan that was
21 really well-designed -- you look at the pathogen, but
22 failure to control it when you did pop a positive.

1 So that happens. Okay. And I think that's it.

2 Okay.

3 DR. GOLDMAN: Thank you very much, Ken.

4 All right. We're almost there.

5 Our last presentation today will be from
6 Dr. Sally White, who is currently the director of our
7 International Equivalence Staff in the Office of
8 International Affairs. She is both an attorney and a
9 food scientist by profession. And she has
10 responsibility for determining eligibility of other
11 countries to export products to the U.S., responsible
12 for equivalence determinations, and prior to her
13 current position in OIA, she was on the -- director
14 of the Regulations Development Staff in our Policy
15 Office.

16 Prior to joining FSIS, she served as a
17 senior trial attorney with the Office of General
18 Counsel at USDA and was also at one time counsel to
19 the IG for USDA. She also is an adjunct instructor
20 for those in the State Department's Foreign Services
21 on international negotiations. Please welcome
22 Dr. White to talk about our efforts with imported

1 products.

2 DR. WHITE: Thank you. I've been asked
3 today to talk to you about the developments that we
4 have been making with respect to implementing FSIS
5 requirements in the countries that ship these
6 products to the United States. And so today my
7 discussion will be very general. I will go over some
8 general areas such as our notification procedures,
9 the status of our reviews of those country's systems,
10 compensating controls that we've put in place, the
11 equivalence process itself in general, and then also
12 I'll touch on some port of entry issues.

13 Let me say that I don't have any charts for
14 you today.

15 (Laughter.)

16 DR. WHITE: I'm sorry. But I think you've
17 had lots of charts and lots of data and lots to think
18 about from the other speakers. And, of course, all
19 of this information will apply, if doesn't already,
20 eventually to the countries that ship to us. Next
21 slide, please.

22 These are the countries currently that are

1 shipping the products of interest today in this
2 discussion. In the calendar year of 2007, these
3 countries ship nearly 1.3 billion pounds of these
4 products to the United States representing 22 percent
5 of the total U.S. production of these products.
6 There were five of these countries that shipped 1.2
7 billion pounds. And those countries were Australia,
8 New Zealand, Canada, Uruguay, and Nicaragua.

9 Now, you'll notice that there are some
10 countries that aren't on this list that are eligible
11 to ship meat. They're simply not eligible because of
12 animal disease restrictions to ship these particular
13 products at this time. And those countries, when and
14 if they become eligible, will also have to put the
15 requirements in place. Next slide.

16 I want to talk a little bit about
17 notification. We notified the countries by letter in
18 October 19th of 2007. We let them know that we had
19 this new control program that we're going to put in
20 place in the United States and advised them that they
21 had to implement or an equivalent process. Next
22 slide.

1 On October 23rd, a few days later, we sent
2 them another letter. And in that letter we advised
3 them that we planned to extend our sampling at port
4 of entry for *E. coli* 0157:H7 to the products. And,
5 later, we also notified them through the WTO process
6 of our new requirements, what it meant to them, which
7 gave them an opportunity to comment within 60 days.
8 This is a separate type of notification process we
9 have to do for foreign countries that we don't do
10 here domestically within the United States. These
11 countries had until February 20th of this year to put
12 our requirements or something equivalent in place.
13 Next slide.

14 Now, part of our notification process was
15 not merely just sending out a letter with a list of
16 notices of the requirements for them to put in place.
17 But we actually had conference calls with each of the
18 chief veterinary officers and their scientists along
19 with our scientists in order to explain just what
20 this program meant. At these conference calls --
21 sometimes they were multiple calls to countries -- we
22 had representatives from our OPHS, from our policy

1 office, as well as our office to discuss the various
2 issues and how it would impact their programs. Next
3 slide.

4 Well, this is the status. This is the next
5 area I'd like to cover is the status of where we are
6 with respect to reviewing these requirements. The
7 countries were requested to send in the documents
8 that demonstrated that they had, in fact, implemented
9 these programs, and that is the first step usually in
10 an equivalence determination. You look at the paper.
11 And as most of you know, that's just the first step.
12 There's usually two other steps that follow. The
13 second step would be an audit of some sort during the
14 year. And also, of course, continuous port of entry
15 testing.

16 In the case of the document review process
17 that we are now currently undergoing, there are four
18 countries that we have completed, and they have been
19 found to be equivalent. These countries have
20 actually put in place our program, and in some cases,
21 they have put in additional testing and verification
22 programs that exceed our levels of testing. Next

1 slide.

2 That leaves us with the following countries
3 on this slide which we are still reviewing. As you
4 can see, these countries are all Spanish-speaking
5 countries with the exception of one, which means that
6 when we receive the thick packet of documents, these
7 are translated, reviewed, and in some instances in
8 order to clarify points within their program, we have
9 to institute conference calls or meetings in order to
10 make sure that we fully understand what it is that
11 they're implementing. At this time, we have not
12 completed our review, but with respect to most of
13 these countries, it appears that they are adopting
14 exactly what we have adopted in the United States.
15 But we will not know that for sure until we've
16 completed the review.

17 I want to mention at this point that it's
18 not just the control programs itself that we look at.
19 We also look at the methods that they use to test for
20 *E. coli*. We look at those issues related to the
21 control programs. Next slide, please.

22 Now, you've probably asking the question,

1 well, if you're reviewing these countries, what have
2 you put in place to ensure that, in fact, there's
3 protection for public health with respect to
4 shipments from those countries. And until we have
5 been able to make our final equivalence
6 determination, we put in place compensating controls.
7 And compensating controls simply means those measures
8 that the Agency determines is necessary to put in
9 effect until the process is completed.

10 In this case, what we decided to do was to
11 increase the sampling at port of entry for these
12 countries until we completed the review. So as each
13 country is found to be equivalent, then the testing,
14 the compensating control testing would be eliminated.
15 I want to clarify that this is additional testing in
16 addition to the routine port of entry testing that
17 we're doing now for *E. coli*, which we will -- which
18 I'll describe in a few minutes. Next slide, please.

19 I'd like to talk a little bit generally
20 about the equivalence process. I mentioned before
21 that there are three parts to equivalence process.
22 But what I want to talk about now is that part of the

1 document review and just give you a flavor for
2 exactly what it is we're doing with the countries'
3 submissions for those of you who aren't familiar with
4 the process.

5 Usually, when a country submits their
6 program to us to demonstrate that they've implemented
7 a requirement, in most cases, they're doing the same
8 thing that we're doing. And that is a form of
9 equivalence. That's compliance equivalence. But in
10 some cases, countries will, in fact, submit something
11 that is different, and they're asking for us to
12 accept that as equivalence.

13 So we have a process whereby after the
14 country sends in its request that we put together a
15 team of experts the particular documents. And, as
16 this slide indicates, we've done that with a team of
17 experts with people from the Office of Policy and
18 Program Development and the Office of Public Health
19 and Science, and in some case others in order to
20 review the documents and ensure that, in fact, they
21 are equivalent or they are the same. And, if
22 necessary, we develop criteria to apply the

1 particular facts at hand. Next slide, please.

2 Once that process is done -- and all of
3 that, by the way, is documented in a file called an
4 equivalence file. It's documented, minutes are taken
5 of the meeting, decisions that are made within those
6 meetings are documented, and, finally, then, when a
7 determination is made based on those experts'
8 recommendations, that, too, is documented and then of
9 course -- next slide, please. Then we notify the
10 country of equivalence decision.

11 And I think it's important here that you
12 remember the terminology. This is not an equivalence
13 agreement. This is a equivalence determination based
14 on sufficient scientific evidence that's presented by
15 the country and reviewed by our experts. That's what
16 the process entails, and that's what we're doing here
17 with respect to these countries and with respect to
18 the methods that they're using. Okay. Next slide.

19 All right. I'd like to talk about
20 something else here for a few minutes. In this
21 particular instance, with respect to *E. coli* and port
22 of entry testing, there have been some countries that

1 have come to us and asked for a risk -- for a
2 reduction in the testing at port of entry. And we
3 have developed some risk reduction criteria in that
4 case, in any case that those countries would request
5 it. And here are the criteria set forth on the
6 slide.

7 First of all, there's an indigenous risk
8 reduction. And if a country meets that -- and that
9 means that there's a reduced risk for contamination
10 compared to the United States because of indigenous
11 factors; for example, 0157:H7 is not as prevalent or
12 the animal-raising practices make it less prevalent,
13 whatever factors are in place. If a country can
14 demonstrate that, then they get a 50 percent
15 reduction in sampling at port of entry.

16 There also is the enhanced testing program.
17 And that means if a country decides to do additional
18 testing, more than we require and more than we do in
19 the United States, they have a more robust program,
20 then there's a possibility for them to further reduce
21 that number by another 50 percent.

22 And, finally, if they have an enhanced

1 government intervention program, they can reduce that
2 testing even further. I'd like to use as an example
3 one particular country -- well, two countries
4 actually.

5 Australia is one of the countries that
6 their program has been found to be equivalent, but
7 they met all three of those criteria. So they have a
8 further reduction for all three of those points. But
9 it's very important to understand that even with the
10 reduced levels of testing that it never gets to zero.
11 There's always some port of entry testing. And, of
12 course, it can change based on any additional
13 information we receive from the country, if factors
14 change in any way, audit results change the
15 situation. That can change as well. So it's a
16 continuous -- we do a continuous review.

17 One of the important things that Australia
18 and New Zealand did for us is that they're forwarding
19 their PFGE results to us so that we have that
20 information. They're also going to be sharing with
21 us their testing in their country. And they have a
22 significant amount of testing that they do both from

1 the industry and from the government. They're making
2 that information available to us. And we think that
3 that will be very helpful for us in the future in
4 terms of public health implications. Next slide.

5 Okay. I want to speak just briefly about
6 port of entry activities. I'm sure there will be a
7 lot of questions about this during the questioning
8 period. We began our port of entry testing for these
9 products on Tuesday, January 22nd, and to this day
10 there haven't been any positives with respect to this
11 testing. The products that are subject to testing
12 are listed, of course, there on the slide. Go to the
13 next slide, please.

14 The number of samples that are collected
15 per country have been determined, a statistically
16 based program. It's been determined by our Office of
17 Food Defense and Emergency Response along with the
18 Office of Public Health and Science. And, of course,
19 that number is fluid is as it's increased or
20 decreased based on circumstances or information we
21 get about the country. For example, compensating
22 controls. We add in another layer. Next slide,

1 please.

2 Basically, what we do is we assign a task
3 to test for this organism. The import inspectors use
4 the N-60 method to collect the samples, and we use
5 the labs with the same methods to test for the
6 organism. Next slide.

7 We recommend that the product be placed on
8 hold at the port of entry until a test's results are
9 received. And that usually happens. If a positive
10 test result is received and the product is held,
11 obviously the product will be refused entry. Next
12 slide.

13 We will at that point, either one of those
14 situations, we will be going back to the country to
15 request information on other like products that may
16 have been exported to the U.S. under the same
17 production lot code. And I'd like to mention at this
18 point that this is based on a presumption of
19 positive. It's not the final positive. We go
20 immediately back to the country.

21 If a positive test result is received and
22 the product is not held, the product with the

1 positive test result and the product produced under
2 the same production lot or code will be subject to
3 recall. Next slide.

4 And, of course, the foreign establishment
5 will be placed on intensive high inspection, and that
6 means that the next 15 lots are sampled and tested
7 and that those 15 lots that come in must be held at
8 the port of entry while, in fact, they are being
9 tested. And, of course, there is a variety of the
10 regulatory actions that we can take depending upon
11 the circumstances.

12 Basically, what I've talked about just
13 generally for you today, having the notification
14 procedures, the status of where we are in our review,
15 the compensating controls that we put in place until
16 we finish the review, or equivalence process in
17 general, how we do that, and then some of the port of
18 entry issues, I'd like to say I did receive some
19 information before I came.

20 We've been starting the audit process, and
21 I thought it would be of interest to you to know that
22 we are doing that. And the first country that we

1 have been able to go into and verify what the country
2 has said to us has gone very well. And so that
3 information as we go through the countries on audit,
4 along with what we're doing with the documents, they
5 would be all part of the final picture and final
6 report that we would do at the conclusion of this
7 process.

8 So even though we are not through the
9 process, I'm hoping that that gives you some
10 information as to where we are and where we're going
11 and what we hope to achieve. Thank you.

12 DR. GOLDMAN: All right. Thanks very much,
13 Sally, and to all of our presenters in this last
14 session of the afternoon. We do have time now for
15 your comments or questions about this presentation.
16 We'll start there first, and then if there are any
17 outstanding general comments you'd like to make, we
18 can do that. We'll hold those until the end if you
19 will.

20 And why don't we start in the room? Go
21 ahead, Mr. Smith.

22 MR. SMITH: Thank you. Tom Smith once

1 again, in case you don't remember. Hard to remember
2 or hard to forget, one of the two.

3 Dr. Petersen, I was under the impression
4 that four years ago there was supposed to be a
5 reassessment that had to do with mechanically
6 tenderizing or penning followed up by an FSA. That
7 didn't pan out. I had personal experience that
8 really never was about -- all the information I can
9 gather, it was supposed to be about mechanical
10 tenderization or non-intact beef cuts. And through
11 personal experience and also some verification from
12 some former very high up folks in your organization,
13 that never happened. And if it would have happened,
14 we might not be here today.

15 On the other hand, the most recent round of
16 similar information gathering seemed to work very
17 well. I guess a couple of things are giving me a
18 burr under my saddle here -- is that why isn't the
19 FSIS a source of the information or -- you know, we
20 don't all kill 40,000 head a day or grind 100 -- tons
21 and tons of ground beef. And I don't see the Agency
22 as an authority.

1 You bring up, well, how do you know that
2 your 2 percent lactic acid is doing what it's
3 supposed to be doing? I mean, we got enough people
4 to get the job done. We don't have statisticians and
5 biochem majors on staff. Why is that -- why are you
6 not a source of information for us rather than having
7 your inspectors be document proofers and sample
8 gatherers, because, to me, that's -- and no
9 disrespect. It seems like that's what they've been
10 relegated to.

11 DR. GOLDMAN: Okay. Can we --

12 MR. SMITH: And, additionally -- go ahead.

13 DR. GOLDMAN: You want to take that?

14 DR. PETERSEN: Sure. Okay. Well, a couple
15 things in there. As far as the source of the
16 information, I mean, we'll start today and maybe work
17 back, but today I think we're doing -- I mean, you're
18 the customer on this -- a pretty good job of getting
19 the scientific information out. This has been one of
20 Dr. Raymond's interests really the last year, year
21 and a half. Small plant outreach. Getting the
22 materials posted on the Web so you can access

1 materials. And not just throw everything on the Web.
2 Somehow structure the information so if you're a
3 grinder, you can go there. If you're a slaughterer,
4 you can go there. If you're ready meats, you can go
5 there.

6 Then we have, you know, a variety of course
7 for our inspectors. With every one of those courses,
8 the FSRE courses, we do have an industry regulatory
9 education session. They're all over the country. I
10 think they're pretty well attended. So, you know,
11 that's good.

12 But you do go back -- I do have to go back
13 a long time ago. 2 percent, if you're applying some
14 kind of intervention to prevent -- or eliminate the
15 pathogen, it is your responsibility to understand
16 what you want to do, is it the right agent, and is it
17 working correctly. Now, we can certainly help you
18 with some information, but it is your responsibility.

19 There are different ways to do that. There
20 are scientific studies. There are some studies
21 designed for small businesses that don't involve some
22 high-tech applications. If you follow the parameters

1 of the study, that is a good safe harbor for you.
2 And then you validate it on an ongoing basis, that it
3 is working for you.

4 I agree that sounds, you know, unusually
5 simple, but there is I think a lot of information,
6 you know, out there that can help you do what you
7 need to do. But at the end of the day, you have to
8 understand what you want to do on your plan. And
9 then you have to understand to execute it because
10 what we do see is some HACCP plans that get developed
11 outside of the plant business, handed to the plant.
12 They try to implement it, because they never really
13 understood it in the first place, and they're the
14 ones, frankly, that are getting in a little bit of
15 jeopardy. So the ones who kind of understand what
16 they want to do and understand when things perhaps
17 get off track a little bit, they tend to be the most
18 successful.

19 MR. SMITH: Thank you. Just to further
20 that a little bit. We're approached by chemical
21 salesmen, and they all have a song to sing.
22 Everybody says, hey, this works best, this works

1 best, this works best, and who are you left to
2 believe? I mean, you can read -- you can get swamped
3 down in studies and try to figure one from another,
4 but at the end of the day, you're taking somebody's
5 word for it. And it has been my experience that the
6 Agency is very non-committal in -- I'm not saying you
7 should endorse somebody's product. I understand the
8 implications of that. But the Agency has been very
9 non-committal in saying, well, you know what, if this
10 was my plant, this is what I would do. And that's
11 it.

12 DR. PETERSEN: We do also have -- I mean on
13 this thread, and I'll leave it at this -- a new
14 separate office of outreach to help people like you,
15 small businesses who don't have all day to find a
16 bunch of information but who need the information.
17 And so their job is to get it in a format that you
18 can understand and not in the format that necessarily
19 we understand. And that's led by Dr. Karlease Kelly.
20 So that's a good resource for you. But as you get
21 down the road, if that's not working for you, we want
22 to know so we can make it better.

1 MR. SMITH: Ms. Kelly approached me, so I
2 appreciate that --

3 DR. GOLDMAN: Let me just add one thing. I
4 think, hopefully, if you're in one of the industry
5 associations, your leaders are here. And they can
6 help you. We work with them, and, certainly, they
7 should be a resource for you as well. Randy?

8 MR. HUFFMAN: Thanks, Dr. Goldman. Randy
9 Huffman, American Meat Institute. We'd be glad to
10 help if we can. Get in touch, Tim [sic]. I've got
11 four questions. I'll make them as concise as
12 possible. I think we can get through quick, very
13 quick.

14 DR. GOLDMAN: Long line behind you.

15 MR. HUFFMAN: First three are for Carl on
16 the risk assessment. Why is the Agency not updating
17 the original risk assessment questions and I think
18 there were two primary questions in that, and maybe I
19 missed it in your presentation. I missed the very
20 beginning of that. And my reason for the question is
21 the majority of the data used in that was pre-2000.
22 We've got a lot better data now. And then a follow-

1 up to that is, has the Agency conducted a risk
2 assessment on intact primals?

3 DR. SCHROEDER: To your first question.
4 We're just at the beginning stages of the new --
5 sorry -- we're just at eh beginning stages of the new
6 risk assessment, and we've begun with the pre-harvest
7 stage, but we will certainly update, work with our
8 risk managers and I suspect ask questions very
9 similar to what was asked in the original risk
10 assessment. And you're correct. There are a lot of
11 new data out there now that will I believe let us
12 come up with an improved risk assessment.

13 To your second point, yes, the comparative
14 risk assessment that we did also included intact
15 steaks, and so it was two-part, non-intact versus
16 intact, and that's one that we're also in the process
17 of updating.

18 MR. HUFFMAN: Okay. The questions that are
19 in your slide don't necessarily address the risk of
20 the intact products. I just wanted to point that
21 out. Before that risk assessment, you mentioned -- I
22 think it's in the third to last slide -- some of the

1 factors and the data that would be addressed. And I
2 guess I would recommend, with respect to the use of
3 intact products that are typically in a vacuum
4 package that you consider the effective packaging and
5 the packaging environment.

6 There's some new data that will be
7 published soon, this summer, with respect to
8 packaging treatment and the effect of the anaerobic
9 conditions on 0157 over time through the shelf life.
10 I think that could be a significant factor.
11 Competitive microflora would play a role. So I would
12 recommend that being considered. So that was a
13 comment more. Not a question.

14 DR. GOLDMAN: How many questions?

15 MR. HUFFMAN: Just two more. Again, on
16 that risk assessment, I strongly recommend that you
17 consider the concept of integrated lethality as you
18 evaluate the effect of cooking temperature rather
19 than an end point temperature at the center of the
20 product. It's important to capture the effect of
21 heat throughout the process. I don't know if that's
22 in the plan, but I think it's important. It was

1 talked about it at our BIFSCo best practices session.
2 I think that Dr. Engeljohn attended, and we've got
3 plenty of data to share on that if needed.

4 The final one is real quick. For Loren,
5 with respect to the methodology described on
6 identifying the trim and what products were included
7 in trim, you mentioned that chucks or primals that
8 were destined for raw ground beef production were
9 considered and sampled as part of that baseline data.
10 Did you separate out the percentage with positives
11 that were primals in that baseline and is that data
12 available?

13 MR. LANGE: That's an easy one. No, we
14 didn't. Same as we didn't try to capture 90/10
15 versus 70/30 versus 50/50, we didn't identify things
16 as subprimals because they all would have come into
17 the lab. I mean, we would have had to have it done
18 on the form and we didn't.

19 MR. HUFFMAN: So --

20 MR. LANGE: There's only so much space on
21 the sample collection form, and when it came into the
22 lab, they should have all looked like similar -- like

1 the pictures that Dr. Samadpour showed that they
2 should be coming --

3 MR. HUFFMAN: Right.

4 MR. LANGE: So simple answer is no. It's
5 not there.

6 MR. HUFFMAN: Final follow-up, then, does
7 the Agency have any data on intact primals and the
8 prevalence of 0157?

9 MR. LANGE: Not that we --

10 MR. HUFFMAN: To support the positions that
11 we heard earlier.

12 MR. LANGE: If we have data, it's from ARS
13 or some outside -- I'm not aware. We certainly
14 haven't generated it from our own testing.

15 DR. GOLDMAN: Okay. Thank you.

16 MR. DANIELSON: Thank you. Dean Danielson,
17 Tyson. Dan, you may have to help me out on this in a
18 definition standpoint. If you've got a positive
19 piece of meat or positive combo trim, is it
20 considered to be adulterated at that point or is it
21 adulterated if it's not disposed of properly or
22 cooked?

1 DR. ENGELJOHN: This is Engeljohn. It's
2 adulterated unless there are controls in place that
3 demonstrates that it will be under control --

4 MR. DANIELSON: Okay.

5 DR. ENGELJOHN: Appropriately disposed of.

6 MR. DANIELSON: Okay. Good. So a lot of
7 times, there's systems in place that we utilize that
8 goes through a cooker, and we have under control, and
9 there's seal issues.

10 So going, Sally, to the imported product,
11 you said that if it's positive, it's rejected. If
12 it's not really adulterated, if you're going to be
13 able to manage controls, bringing it into a cooking
14 operation downstream that's under your control, why
15 aren't we allowed to do that?

16 DR. WHITE: The reason for that is that our
17 regulations in Part 327 of the Title 9 C.F.R. does
18 not allow us to do that with respect to imported
19 product. We consider it adulterated and it's
20 rejected. Now, there is a way to get around that,
21 and that would simply be that the regulations would
22 have to be changed.

1 MR. DANIELSON: I --

2 DR. WHITE: Because at this time that's
3 why.

4 MR. DANIELSON: Perhaps that might be an
5 interpretation, because is it really adulterated at
6 that point if there are controls in place?

7 DR. ENGELJOHN: This is Engeljohn. And I
8 would just clarify a bit on what Sally also offered
9 there is that when it's presented to FSIS, it's as if
10 it's a completed production lot. It's as if in this
11 country it completed it's pre-shipment review and was
12 released to go into commerce. And so from the
13 perspective of the U.S., it is adulterated and we
14 don't allow it to come into the country to be further
15 treated to remove the adulterant. So the distinction
16 is, it's imported, coming into the country as
17 adulterated. So there is a distinction between the
18 domestic where there is controls in place versus what
19 can come into the country. So we would not allow it.

20 DR. GOLDMAN: Okay. Let me just check on
21 the phone for a moment and see if we have any
22 questions from our callers.

1 OPERATOR: Yes, thank you. Barbara
2 Kowalcyk -- please state your organization --

3 MS. KOWALCYK: Hi, this is Barbara
4 Kowalcyk. I'm with CFI. And I have a comment and
5 then a few questions for Loren about the baseline
6 trim.

7 First of all, I just wanted to comment on
8 something that the first commenter mentioned, and --
9 his concerns. But I think FSIS should be a source of
10 information for small plants, in fact, all plants,
11 and should, in my opinion, be undertaking all sorts
12 of validation studies, looking at the effect of
13 the -- interventions and sampling methods. That was
14 a very good point and I just wanted to reiterate it.

15 Now, onto the baseline trim study, as you
16 know, I'm very interested in the baselines, and I
17 have a few questions for Loren. First, according to
18 the one slide, and it is difficult to hear when
19 you're on the phone, you have a slide where you said
20 how the samples were taken, and then you indicated
21 that when the study was -- there were a wide variety
22 of methods for sampling beef trim, which included --

1 purge, core drilling, and various amounts of surface
2 samples, surface slices.

3 Did FSIS actually collect trim samples
4 using all three of these methods? And, if so, did
5 you have a sufficient sample size to compare these
6 sampling methods, and if not, why not, because it's
7 an excellent opportunity for FSIS to get more
8 information -- the best way to do sampling.

9 The second question I have is could you
10 define what it means to -- what FSIS means by
11 randomly selecting samples?

12 And, thirdly, in the one chart in your
13 presentation where you present the positive/negative
14 results for the beef trim, there are more *E. coli*
15 than there are samples in all the other categories.
16 And it's about 200 samples more. I was just
17 wondering why the sample size was larger for 0157
18 than it was for the other category.

19 MR. LANGE: I'll start with the last
20 question. I did mention that there may be several
21 reasons. But the primary one was the *E. coli* 0157
22 samples were shipped to an FSIS laboratory and they

1 were accepted at 15 degrees centigrade. At the same
2 time a sample that was collected that went to FSIS,
3 we debate whether to call that -- sometimes a
4 companion sample -- it isn't the same sample. It is
5 a separate sample collected from the same lot at the
6 same time. It went to the contract lab food safety
7 net, and it had an acceptance criteria for the
8 analyses they were going to do of 10 degrees.

9 So we think one of the primary reasons we
10 had more samples lost because of arrival conditions
11 at the contract lab. That was a common cause for
12 that, but we're still looking into the -- we will
13 sort of summarize the details on what were the
14 different reject criteria. But we think that was the
15 primary one.

16 Back to your first one, no. I mean, for
17 the baseline study, we wanted to extent possible a
18 single sample collection method. We haven't done any
19 comparisons ourselves. We have seen I think some
20 limited -- this goes back to 2002 when we met with
21 ARS. They had some data on different sample
22 collection methods and results that they shared with

1 us. We had seen some industry data on the different
2 sample collection methods, but we did feel we had to
3 pick one and have it consistent across all the
4 baseline samples.

5 But, I mean, your question sort of does
6 raise something I didn't sort of mention. When you
7 present the results, it's not an absolute. A
8 baseline study is not an absolute. Those are the
9 results we found with a specific sample collection
10 method, with a certain packaging and shipping method,
11 and with specific laboratory analysis. So you really
12 can't separate out the numbers and sort of say, well,
13 this is what beef trim is. It's beef trim collected
14 at this point in time, you know, with this method of
15 sample collection, shipped this way, and analyzed
16 this way. And you change any of those variables,
17 yeah, it is true. You could easily change the
18 results. So the results are sort of, you know, part
19 of an overall comprehensive definition of what was
20 the baseline.

21 The question in the middle I can't remember
22 exactly. When we ran this baseline, we had a

1 sampling frame, and we had it stratified. And there
2 were a certain number of establishments in each
3 stratum, and each month, you know, there was a
4 program run to, you know, schedule the samples from
5 each of the different categories of size.

6 When we do let's say our regulatory
7 sampling, we always start with a list of plants that
8 produce a certain product and each month -- it
9 actually is done each week -- you know, there is a
10 program that randomly select from the establishments
11 that are part of a sampling frame.

12 DR. GOLDMAN: Okay. Thank you. And --

13 MR. LANGE: And, I mean, I guess the
14 question is we've always had a general instruction
15 for the inspectors to sort of randomly pick, but, you
16 know, we probably don't focus on that. We do
17 instruct the inspection program personnel to, you
18 know, randomly pick a sample from what's available in
19 the establishment.

20 DR. GOLDMAN: Thank you. Okay. We'll move
21 back to the room now.

22 MR. CORBO: Tony Corbo, from Food and Water

1 Watch.

2 Ms. White, are the equivalence
3 determinations on the 0157:H7 posted on the FSIS
4 website? If not, do you plan to? Because I think it
5 would be interesting to see what these other
6 countries are doing either to keep the levels down or
7 whether there are animal husbandry techniques that
8 are different from ours that are keeping the levels
9 down?

10 DR. WHITE: At this time, they're not
11 posted. That's a good suggestion. We should look at
12 that suggestion. I think at the end of -- when we've
13 concluded our complete review would be the time to
14 make a determination to do that.

15 You brought up a very good point, and that
16 is that in many times when we review some of these
17 countries' submissions, we see some very good
18 inspection methods and some very good suggestions
19 that our scientists can look at as well. That is one
20 of the benefits of looking at different countries'
21 inspection systems and being transparent about it.

22 MR. CORBO: Thank you.

1 DR. WHITE: Thanks for the question.

2 DR. GOLDMAN: Okay.

3 MS. DONLEY: Nancy Donley from STOP. I
4 really appreciated your presentation, Sally, about
5 the imported trim. And it's something that kind of
6 brings this full circle back to where we started
7 today, and that is non-0157 STEC. And I have seen
8 studies where countries that export to the United
9 States their trim, the pathogens of concern are
10 not -- is not 0157 STEC. It's different STECs. My
11 question to you is do you see -- and those countries
12 test for the pathogen, that particular STEC of
13 concern. Do you have any access to those records
14 where they are dealing with a different bug than the
15 bug that we're dealing with here?

16 DR. WHITE: That's a good question.
17 Generally, what happens is when we are on site during
18 an audit, part of the oversight that the auditor
19 does, the interviews that they do, they do talk to
20 the countries about any potential outbreaks or public
21 health concerns. So at that point we if we're going
22 to have any information, that's where we would have

1 it. I think that, more importantly, and related to
2 your question is that this meeting today where we're
3 talking about future decisions on policies on these
4 other organisms that that information definitely
5 would be something that we would have to look at.

6 MS. DONLEY: I guess I have a concern. I
7 know you ask questions, but do they when they do
8 their microbial testing, do they share any of that
9 with you? So are you made familiar with levels of
10 O111, for instance, or any of the others?

11 DR. WHITE: Normally, what happens is that
12 the auditor, when they're auditing, they audit
13 against the equivalence determinations and our
14 requirements. So they wouldn't be usually looking at
15 something that's outside the requirements for our
16 country.

17 MS. DONLEY: So I just want to post a
18 hypothetical situation here that gives me concern.
19 You could have a country that is dealing with a non-
20 O157 STEC. And let's just say they've tested it, and
21 it came up hot for that other STEC. And it would not
22 be a problem for the United States because that's not

1 something that we've looked at as necessarily being a
2 problem, and it would have been tested negative for
3 0157. Can that be shipped to us?

4 DR. WHITE: Product that is adulterated
5 cannot be shipped to us. With respect to any
6 information that we receive from that country about
7 adulterated product, we make sure that it's refused
8 entry --

9 MS. DONLEY: And I guess to that point is
10 that -- and that's the crux. That is the crux.
11 Right now it is not adulterated in the United States
12 definition of adulterated product. And we know that
13 those pathogens do cause illness here in the United
14 States, and we are importing 1.3 billion pounds in
15 2007. My point here is we've got a huge loophole
16 here, a huge gap in public health and safety.

17 DR. ENGELJOHN: And this is Engeljohn. And
18 I did want to just follow up as well. And we
19 recognize that as part of the purpose of the meeting
20 today. But I would also say that we do take into
21 account what is considered adulterants in other
22 countries. Countries can't ship us product that

1 would qualify as adulterated in their country as
2 well. So one of the factors that we look at as well
3 is what is, in fact, adulterants in other countries.
4 It may not be here, but there it still can't come to
5 the United States if it qualifies as an adulterant
6 there. It's just another consideration.

7 MS. DONLEY: But how are we looking for it?
8 How are we knowing that? Are we waiting for them to
9 say, yeah, we're shipping this to you? It's fine for
10 0157. What's the mechanism in place to make sure
11 that's not happening? That's my question.

12 DR. ENGELJOHN: Okay. It's the same as
13 what's here domestically. And at this point in time,
14 the Agency does not consider the other non-0157 STECs
15 as adulterants. It's the reason why we're here today
16 to talk about where do we have to go to go forward on
17 this particular issue.

18 MS. DONLEY: Okay. And I just, as one last
19 point, and this is with our first presentation, is
20 that to this point where you -- for the risk
21 assessment, where you looked at seasonality, is that
22 these countries that do export trim to the United

1 States, of course, their seasons are opposite from
2 us. And so I really think you might -- I don't know
3 if you've taken any of that into -- I know your risk
4 assessment was for 0157 specific. But have you taken
5 any consideration of that into -- consideration of
6 that opposite seasonality issue when you put your
7 numbers together for the U.S.' fall and winter
8 season?

9 DR. SCHROEDER: It's an astute point. When
10 we do our risk assessment, this particular one, it
11 was for product produced in the U.S. But we will --
12 I'll take that point back to my colleagues. It's a
13 good one -- considering seasonality if we include in
14 our risk assessment imported product.

15 MS. DONLEY: Thank you.

16 DR. GOLDMAN: All right. Thank you.
17 Felicia?

18 MS. NESTOR: Felicia Nestor, Food and Water
19 Watch. I don't know exactly who this question is
20 for, but I'm glad Dan is up there because I figure in
21 between Ken, Loren, and Dan, someone will probably be
22 able to answer this.

1 We heard earlier today that this N-60
2 method gives you a 95 percent confidence rate if the
3 contamination is 5 percent in the product? Right?
4 Is that the estimate?

5 DR. PETERSEN: That's the statistical basis
6 of it, yes.

7 UNIDENTIFIED SPEAKER: Yeah, yeah, yeah.

8 MS. NESTOR: Yeah. Okay. What's the level
9 of confidence if the contamination rate is .68
10 percent, which is what Loren found on the trim?

11 DR. PETERSEN: Dan's group -- this question
12 had come up quite some time ago -- not too long
13 ago -- in a food safety assessment some time last
14 summer. And we asked the policy office to look at
15 that, and they did some pretty good analysis on
16 looking at sampling over time. And I presume
17 that's --

18 DR. ENGELJOHN: Yeah, I don't have the --
19 this is Engeljohn. I don't have the numbers, and I
20 don't remember that. But it is in the form of
21 guidance that, actually, Dr. Kelly's group is also
22 working on to make available to small plants. So

1 that gives them some perspective as to if they're
2 using something other than N-60 or they're using N-60
3 over time, what does that tell them about their
4 production process and the statistics behind that.
5 So we have crafted what we think is a fairly simple
6 way to look at statistical data in various types of
7 sampling programs. And that should be ready to come
8 out to industry here very shortly. But I don't
9 remember the numbers offhand.

10 MR. LANGE: I'll just add, obviously, if
11 you have a lower true prevalence, which we haven't --
12 .68 is a percentage of positive samples, as I said,
13 we will have an estimate of prevalence. But it's
14 going to be less than 5 percent. So, yes, if it's
15 less than 5 percent, your probability of finding the
16 organism there certainly is going to be less than the
17 95 percent than it was when it was -- if it was
18 prevalent at 5 percent. So --

19 MS. NESTOR: Any way to --

20 MR. LANGE: That's why I tried to sort of
21 qualify is if that's sort of the -- at that time,
22 that was sort of what was viewed as the most rigorous

1 sample, surface sample collection method. You know,
2 you can't test the whole lot. So we have seen data
3 presented to us where, you know, where people have
4 taken lots that -- pass a good N-60 and then sample
5 the ground beef made from those. And, you know, it
6 seems to be a pretty effective, you know, sampling
7 program from what we have seen. You know, is it
8 perfect? No.

9 MS. NESTOR: And you say you've seen data
10 meaning this is data you got from the industry?

11 MR. LANGE: Yeah.

12 MS. NESTOR: Okay.

13 MR. LANGE: We've seen industry data
14 showing tests done on negative N-60 lots.

15 MS. NESTOR: And we saw this morning that,
16 you know, not all N-60 is equal depending on, you
17 know, who is doing it and what little -- I mean, I
18 think it's very important because the Agency is using
19 N-60 for the baseline. If I'm not mistaken, the
20 Agency uses it for follow up testing whenever there's
21 a positive. The Agency is using it to determine when
22 trim is okay to carry the seal irrespective of

1 whether the 2,000-pound or ten of the 2,000-pound
2 combos prior to this combo -- if all of those tested
3 positive but this combo tested negative under N-60,
4 it's my understanding the Agency will allow that to
5 carry the seal because you're saying that N-60 is
6 reliable. You're relying on it. And it's also used
7 for import sampling.

8 So, Dan, when you are going to give us
9 this, are we going to get studies -- I mean, for
10 instance, Ken just told a small plant own that, you
11 know, the small plant owners get in trouble if they
12 get some kind of method from someone but they don't
13 understand it and they don't really understand why
14 it's being used. Are you going to be able to give us
15 documentation to show that the Agency understands
16 this, understands what the limits are, understands
17 why it's being used? I mean, I would like to know,
18 if there's .68 percent of contamination on the
19 product and you're allowing plants to use N-60 to
20 release product as raw product, I would like to know
21 that the Agency knows what the confidence level of
22 that is.

1 DR. ENGELJOHN: Yeah, this is Engeljohn --

2 MS. NESTOR: I would like someone to know.

3 DR. ENGELJOHN: Yeah, the Agency does know
4 what those confidence levels are. And we accepted
5 that back in 2003 when the Agency began focusing on
6 point source contamination and accepting industry-
7 negative results. It is a practical means that
8 industry was able to conduct their testing of their
9 production lots. And we accepted that. And that has
10 become, to some extent, the industry standard today,
11 in that it is a level of testing that is giving us
12 what we consider to be a removal of a substantial
13 amount of positive product in the marketplace.

14 But I would say, Felicia, on your point
15 about the prior positives and just accepting those
16 that are negative, we also said in the re-assessment
17 notice from 2002 and again in 2005 that it matters
18 about the relatedness from one testing production lot
19 to another. You cannot ignore the fact that you have
20 positives and go unchecked. It's not acceptable to
21 just divert product.

22 And that's why any time that we the Agency

1 talk about sampling, it is in conjunction with a
2 program that's designed to have in place to the best
3 extent possible sanitation and dressing procedures
4 that are verified to be effective coupled with a
5 testing program that is, in fact, diverting product
6 and that there is an understanding of what is the
7 expected positive rate in a facility and then a
8 reaction if, in fact, there's trendings towards
9 exceeding that.

10 So it is a combination of multiple things.
11 But we have accepted that N-60 from a practical
12 implementation perspective is, in fact, acceptable
13 from the Agency's perspective of a release of
14 product.

15 MS. NESTOR: Okay. That is not a
16 scientific basis. The fact that it's practical is
17 not a scientific basis. And consumers need to
18 understand that you've accepted it just because it's
19 practical. But if you do understand what the
20 confidence level is at .68, maybe you can tell us
21 tomorrow morning what that is because that would be
22 interesting in a discussion. You know, since N-60 is

1 such a cornerstone of your program, it would be
2 interesting to bring that information into this
3 discussion.

4 DR. ENGELJOHN: Okay. Just one follow-up
5 on that is that, again, because we consider that the
6 trim-testing program is a critical element to what we
7 modified the program last March to accomplish, which
8 was to remove manufacturing trim from the market that
9 could be used for raw beef production is that we did
10 design the sampling program that we have, the 3,742
11 samples, or so, that we take a year, is designed for
12 us based on what we believe to be the positive rate
13 in trim that we found in the baseline study and that
14 would give us a discernment as to whether or not the
15 trends that we see in the positive rate are
16 statistically significant.

17 So we did design the program so that we
18 ourselves through our verification can make some
19 assessment as to whether or not if we see more
20 positives than as usual what the statistics are
21 behind that. So we have, again, the percent positive
22 rate is very important to the Agency because it tells

1 us to some extent whether or not the changes we are
2 significant.

3 MS. NESTOR: Right, but that's the not the
4 only way you're using it. So I'm hoping you can give
5 us -- you know, it doesn't have to be .68 -- 1
6 percent, 2 percent. I would be interested in that
7 information.

8 DR. GOLDMAN: Okay. Thank you.

9 MS. NESTOR: That leads to my second
10 question.

11 DR. GOLDMAN: Felicia, can we ask you to
12 hold that possibly unless it's a burning question.
13 We have --

14 MS. NESTOR: It is a follow-up, okay?

15 DR. GOLDMAN: Okay.

16 MS. NESTOR: Dan, you talked about the
17 trends, the number of combos that would be positive
18 before you wouldn't accept that N-60. Can you tell
19 me how many combos would have to be positive before
20 you would not accept the N-60?

21 DR. ENGELJOHN: The Agency doesn't have
22 that information that we react to. We react to the

1 individual establishment's data that they have and
2 the rationale for why they allow product to be
3 produced and shipped. And so it's on a plant-by-
4 plant basis and the rationale that they would have to
5 discern whether or not positives from one production
6 lot to another have relevance.

7 MS. NESTOR: But you're putting the seal on
8 it. You can't put the seal on it until you have
9 determined. So it doesn't matter what the plant
10 determines. You are making a determination when you
11 put the seal. So I would expect that there would be
12 some articulable standard.

13 DR. GOLDMAN: All right. Thank you.
14 Mr. Wood?

15 MR. WOOD: Yeah, I'm Richard Wood. I'm
16 with Food Animal Concerns Trust, and my questions I
17 think are more to Carl Schroeder than to others, and
18 I know we're running short of time. I'd be glad to
19 chat more afterwards.

20 But we're very supportive and very
21 interested in the 0157 pre-harvest risk assessment
22 that you presented to us today on slides. When I was

1 at the table in 1995, or whenever it was, when HACCP
2 was being put together, we were hoping there was
3 going to be some kind of specific kind of
4 intervention that would be a part of that plan, and
5 we're glad to see that you've come back to that point
6 now and are looking at that.

7 But I would like to just better understand
8 that risk assessment and what all is being planned
9 and hoped for. I have three quick questions. Is
10 that plan going to follow the risk assessment process
11 that you identified in one of the earlier slides, you
12 know, developing a plan, formulating questions, peer
13 review, and then what I'm most interested in is the
14 one that says public presentation. Is there going to
15 be any kind of stakeholder involvement feedback
16 reality check in that process.

17 Secondly, with the risk assessment, how
18 will the selected risks and interventions be
19 identified? What kind of criteria are going to be
20 used? And in one slide, you went on to say that you
21 anticipate to look at all the mitigation options.
22 Are you going to be looking at them, you know, in

1 global terms, in very specific terms, and what's
2 going to shape that kind of query?

3 And, finally, something that's been
4 reflected here in a couple of other questions earlier
5 in the day, will the risk assessment be limited in
6 any way by the lack of FSIS authority to go on the
7 farm, particularly as you're looking at mitigating
8 steps or risk assessment to come? Is that going to
9 in any way skew or limit the range that this risk
10 assessment might take a look at?

11 DR. SCHROEDER: Yeah, in answer to the
12 first question, yes, sir, we will do our best to
13 follow that process that I outlined.

14 In answer to the second and third
15 questions, the one regarding scope and the one
16 regarding where we could potentially use those
17 mitigations, what we do as risk assessor is really
18 stay focused on answering all the risk management
19 questions. And so we're just developing this risk
20 assessment. We'll work very closely with
21 Dr. Engeljohn's group to see how that progresses. I
22 think on your third question, specifically, I'm

1 probably best served to defer to Dr. Engeljohn on
2 that.

3 DR. ENGELJOHN: Remind me what the last
4 part of it, but I can answer the issue on there is a
5 common period associated with this process. And as
6 the risk manager responsible for identifying those
7 risk management questions we need to answer, I would
8 welcome any questions that you think would be
9 appropriate for us to consider. So just think of
10 that as you --

11 MR. WOOD: And that would happen -- those
12 comments would be in a public forum where we could
13 see what is on your plate that others are asking from
14 other sources as well or how transparent is this
15 whole process I guess is my question.

16 DR. ENGELJOHN: Oh, okay, well, the process
17 that we have for risk assessment at the Agency has
18 traditionally been that we do put together the
19 risk -- and Carl can certainly go back over this, but
20 it is a public process in that we do craft the risk
21 assessment, we do identify the risk management
22 question, we generally have a public meeting that

1 presents what it is that we're working on. We ask
2 for input. We get a peer review process of that. We
3 address the peer review comments, and then redo it.
4 And then from that we use the results from it. So --

5 MR. WOOD: Very good --

6 DR. ENGELJOHN: So there will be a very
7 public process.

8 MR. WOOD: Okay. Great. I was at the very
9 first risk assessment at USDA I think in the
10 beginnings of *Salmonella*, and that was the case then,
11 and I'm glad to see that it's continuing.

12 DR. GOLDMAN: Thank you. Mr. Painter?

13 MR. PAINTER: Stan Painter with the
14 National Joint Council. My first question is
15 directed to Dr. Petersen. And in the slide that was
16 showing some criteria for prioritizing FSA at a beef
17 establishment, number three, more specifically, spoke
18 to small and very small plants that produced a large
19 volume. I'm wondering what's considered a large
20 volume at a small and very small plant?

21 DR. PETERSEN: Well, we have some "small
22 plants" that produce a whole lot of ground beef as

1 you well know. So what we gave them is what I
2 suggested in my talk. We have the top -- we gave
3 them a list of here's the top 100 slaughter plants.
4 Most of those slaughter plants tend to generate trim.
5 Then we gave them a list of here's the top 40 or 50 I
6 think was the number of grinders. So that was just
7 the metric to get on with the food safety assessments
8 because it was a procedural thing. So we didn't go
9 into the data and say this plant does 1,000 pounds,
10 this plant does 10,000 pounds. That was kind of the
11 cut off. For prioritizing the food safety
12 assessment, that was kind of the metric, as I recall
13 it.

14 MR. PAINTER: Am I hearing you say that a
15 large volume is subjective?

16 DR. PETERSEN: For the purpose of just
17 those food safety assessments. Again, I entered into
18 those with questions about the process, and some of
19 them, they answered the questions. So for the
20 purposes of doing that work, those were the
21 benchmarks, top 50, top 100.

22 MR. PAINTER: Okay. And the next part goes

1 to the imports of the approximately 1.3 billions of
2 pounds of product that was imported. Do we know how
3 many samples were taken and if so how many of those
4 samples resulted in a positive?

5 DR. WHITE: We do know how many samples
6 were taken per country and whether we had positive
7 results were not. I personally don't have that
8 information. Mr. Lange, do you have that? But my
9 understanding was if they were either zero -- minimum
10 for the countries.

11 MR. PAINTER: Okay. And then my last
12 question was how many inspectors do we have that's
13 sampling that 1.3 billion pounds?

14 MR. LANGE: We have 70.

15 DR. WHITE: Seventy.

16 MR. PAINTER: How many of the positions are
17 filled?

18 MR. LANGE: It fluctuates. It depends, you
19 know, on the vacancies and --

20 DR. WHITE: This is Mr. Loren Lange who is
21 the deputy director of the Import Division,
22 Inspection Division.

1 DR. GOLDMAN: You want to repeat the
2 answer, Sally?

3 DR. WHITE: Yeah -- we'll bring the answer
4 tomorrow.

5 DR. GOLDMAN: Okay.

6 MR. PAINTER: Okay. Thank you.

7 DR. WHITE: Yes.

8 DR. GOLDMAN: I want to thank Stan. I
9 think in every line of questioning, he's been the
10 closer. We need a closer, and we appreciate that.

11 MR. LANGE: Yes. In response to one thing,
12 I misspoke a little bit. Dean Danielson's question.
13 When we implemented -- 53 or 54 -- the components in
14 addition to trim, we are starting to collect FSIS
15 data on components that include boneless chuck, cheek
16 meat, and -- but that started in late December, so I
17 wasn't thinking of that when you asked the question
18 do we have any data on the primals. We probably have
19 few samples that have come into the lab identified as
20 samples of boneless chuck now.

21 MR. DANIELSON: I know -- Randy Huffman,
22 but he's the one that asked that question. I can

1 understand you getting confused.

2 (Laughter.)

3 MR. LANGE: I thought you asked it. Okay.
4 I'm sorry.

5 DR. GOLDMAN: Let me just last one last
6 time if there are any questions on the phone since
7 we've exhausted the questions here.

8 (No response.)

9 DR. GOLDMAN: Okay. Great. I do want to
10 thank everyone for your time and attention today. We
11 will resume at 8:30 tomorrow morning, and we hope
12 you'll return for that session.

13 (Whereupon, at 5:09 p.m., the meeting was
14 concluded.)

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C E R T I F I C A T E

This is to certify that the attached proceedings
in the matter of:

SHIGA TOXIN-PRODUCING *E. coli*

ADDRESSING THE CHALLENGES,

MOVING FORWARD WITH SOLUTIONS

Washington, D.C.

April 9, 2008

were held as herein appears, and that this is the
original transcription thereof for the files of the
United States Department of Agriculture, Food Safety
and Inspection Service.

Dominico Quattrochiocchi, Reporter

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